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Modelling of nuclear fuel cladding under loss-of-coolant accident conditions

#### Abstract

We present a unified model for calculation of zirconium alloy fuel cladding rupture during a postulated loss-of-coolant accident in light water reactors. The model treats the Zr alloy solid-to-solid phase transformation kinetics, cladding creep deformation, oxidation and rupture as a function of temperature and time in an integrated fashion during the transient. The fuel cladding material considered here is Zircaloy-4, for which material property data(model parameters) are taken from literature. We have modelled and simulated single-rod transient burst tests in which the rod internal pressure and the heating rate were kept constant during each test. The results are compared with experimental data on cladding rupture strain, temperature and pressure. The effects of heating rate and rod internal pressure on the rupture strain are evaluated by systematic parameter variations of these quantities. The principal uncertainty in our simulations is the treatment of creep deformation in the twophase ( $\alpha + \beta$ ) region of the considered alloy, for which no definite constitutive relation is yet available.

#### **Project information**

SSM:s contact is Jan In de Betou SSM reference: 2008-139

#### Sammanfattning

Vi presenterar en integrerad modell för beräkning av brott i zirkoniumbaserad bränslekapsling under postulerat härdhaveri orsakad av kylmedelsförlust (LOCA, loss-of-coolant ac- cident) i lättvattenreaktorer. Modellen behandlar tidsberoendet (kinetiken) hos zirkonium- legeringars fasomvandling i fast tillstånd, kapslingskrypning, oxidering och brott som funk- tion av tid på ett enhetligt sätt under transienten. Bränslekapslingen som beaktas här är Zircaloy-4, för vilken materialegenskaper (modellparametrar) hämtas från öppen litteratur. Vi har modellerat och simulerat enstavs transienta brottprov i vilka det inre stavtrycket och värmningshastigheten hölls konstanta under varje test. Resultaten jämförs med ex- perimentella data för brottöjning, brottemperatur och inre stavtryck. Inverkan av värmn- ingshastighet och inre stavtryck på brottöjning utvärderas genom systematiska variationer hos dessa parametrar. Den huvudsakliga osäkerheten i våra simuleringar är behandligen av krypdeformation i tvåfas ( $\alpha + \beta$ ) området hos aktuellt kapslingsmaterial, för vilket det inte finns något definitivt kosntitutivt samband tillgängligt.



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# 2013:24

Modelling of nuclear fuel cladding under loss-of-coolant accident conditions

This report concerns a study which has been conducted for the Swedish Radiation Safety Authority, SSM. The conclusions and viewpoints presented in the report are those of the author/authors and do not necessarily coincide with those of the SSM.

# Contents

1	<ol> <li>Introduction</li> <li>Governing equations</li> </ol>			
2				
3	Computations			
	<ul> <li>3.2 Comparison with experimental burst data</li></ul>	8 12		
4	Discussion	15		
5	5 Conclusion			
Re	eferences	23		

# **1** Introduction

In a postulated loss-of-coolant accident (LOCA) in light-water reactors (LWRs), zirconium alloy fuel claddings are subjected to high temperatures (700 - 1500 K) and internal pressures. These can cause excessive deformation (ballooning) which eventually may lead to rupture of cladding following the accident. The cladding tube hoop (tangential) strain at failure determines whether rod-to-rod contact would occur in a fuel assembly. Moreover, it would decide the degree of coolant blockage in the refilling and flooding phase of LOCA (Parsons, Hindle, and Mann 1986; Pettersson 2009).

In the LOCA safety analysis (required for the licensing of reactor fuel system) a failure criterion model is needed to predict the temperature and time at which cladding ruptures, and also the total hoop strain at, or close to, the location of rupture. The physical behaviour of cladding during the accident is governed by phase transformation, oxidation, creep deformation and rupture of zirconium alloy within a time scale of about 120 s. The objective of this note is to delineate a unified generic computational method to account for these phenomena based on empirically attained material property data reported in the literature. We synthesise the previously described kinetics of zirconium alloy phase transformation (Massih 2009b) with the equations for cladding creep, oxidation and burst (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Rosinger 1984) to calculate the rupture time/temperature during a transient.

In our study, we evaluate the behaviour of Zircaloy-4 fuel cladding tube with nominal chemical composition: Zr-base, 1.5Sn-0.2Fe-0.1Cr-0.12O by wt%. Zirconium alloys in solid state undergo a phase transformation from the low temperature hexagonal closed-packed (hcp)  $\alpha$ -phase to body-centred cubic (bcc)  $\beta$ -phase (Lemaignan and Motta 1994). Solid state phase equilibria of Zircaloy-4 has been investigated experimentally by Miquet et al. (Miquet, Charquet, and Allibert 1982), who reported a prevalence of four phase domains: ( $\alpha + \chi$ ) up to 1081 K, ( $\alpha + \beta + \chi$ ) from 1081 to 1118 K, ( $\alpha + \beta$ ) between 1118 and 1281 K, and  $\beta$ -phase above 1281 K. Here,  $\chi$  refers to the intermetallic hexagonal Laves phase Zr(Fe,Cr)<sub>2</sub>, see e.g. (Bangaru, Busch, and Schemel 1987). A short survey of literature on zirconium alloy phase transformation behaviour was presented in our preceding paper (Massih 2009b).

Similar past modelling approaches comprise the works of (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Rosinger 1984), which however, did not include phase transformation kinetics model. More recent modelling efforts are the works of Forgeron et al. (2000) and Brachet et al. (2002) and the implementation of such models in a fuel performance code (VanUffelen, Győri, Schubert, van de Laar, Hózer, and Spykman 2008). Although our general modelling approach is similar to that presented in (Forgeron, Brachet, Barcelo, Castaing, Hivroz, Mardon, and Bernaudat 2000; Brachet, Portier, and Forgeron 2002), our basic equations for phase transformation kinetics, combined creep and oxidation equations and mechanical equilibrium are different. In addition, we provide the numerical details of our computations, which have impact on the results, and are usually lacking in open literature.

The organisation of this report is as follows. Section 2 presents the model and the basic equations used for phase transformation, creep, oxidation and cladding burst. Sample com-

putations, simulating transient single-rod burst experiment in which the heating rate and rod pressure were kept constant in each test, are presented in section 3. Section 3 also includes comparisons between model computations and experimental data, plus parametric studies using the integrated model. In section 4, we discuss the limitations and applicability of the sub-models utilised and section 5 concludes the report.

#### 2 Governing equations

The present method considers a set of three differential equations for describing the kinetics of phase transformation, the creep deformation and oxidation of zirconium alloys. The latter two equations are numerically solved simultaneously, while the solutions of the phase transformation equations provide input to the creep rate equation and the cladding burst stress-temperature relation in the two-phase ( $\alpha + \beta$ ) region of the Zr alloy.

The phase transformation model has been detailed in our preceding articles (Massih and Jernkvist 2009; Massih 2009b). It expresses the rate of the transformed volume fraction (y) according to

$$\frac{\mathrm{d}y}{\mathrm{d}t} = \frac{y_s(T) - y}{\tau_c(T)},\tag{1}$$

where  $y_s(T)$  is the equilibrium value y at temperature T and  $\tau_c(T)$  is the characteristic time of phase transformation. The expressions for  $y_s$  is given as (Massih 2009b)

$$y_s = \frac{1}{2} \Big[ 1 + \tanh\left(\frac{T - T_{cent}}{T_{span}}\right) \Big],\tag{2}$$

where  $T_{cent}$  and  $T_{span}$  are material specific parameters related to the centre and the span of the mixed-phase temperature region, respectively. For Zircaloy-4, we use  $T_{cent} = 1159$  K and  $T_{span} = 44$  K. The expression for  $\tau_c$  is given in (Massih 2009b) for Zircaloy-4.

The steady-state creep strain rate of Zr alloy cladding in single-phase ( $\alpha$  domain or  $\beta$  domain) is expressed by a Norton law (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Rosinger 1984)

$$\frac{\mathrm{d}\varepsilon_{\theta}}{\mathrm{d}t} = A_{\theta}f(x)\exp(-Q/RT)\sigma_{\theta}^{n},\tag{3}$$

or alternatively by a Harper-Dorn law (Kaddour, Frechinet, Gourgues, Brachet, Portier, and Pineau 2004) in the form

$$\frac{\mathrm{d}\varepsilon_{\theta}}{\mathrm{d}t} = \frac{A_{\theta}}{T} f(x) \exp(-Q/RT) \sigma_{\theta}^{n}, \tag{4}$$

where  $\varepsilon_{\theta}$  and  $\sigma_{\theta}$  are the hoop (tangential) strain and stress of the cladding tube, respectively,  $A_{\theta}$  the strength coefficient, Q the activation energy, R the gas constant, T the absolute temperature, n the stress exponent, and f(x) accounts for the effect of oxygen concentration x, written in the form (Burton, Donaldson, and Reynolds 1979)

$$f(x) \equiv \exp[B(x)]. \tag{5}$$

The creep strength coefficient  $A_{\theta}$ , as in (Rosinger 1984), is calculated from

$$A_{\theta} = \left[\frac{1}{4}(F+G) + H\right]^{(n-1)/2} \left(H + \frac{1}{2}F\right)(F+G)^{-(n+1)/2}A_z,\tag{6}$$

SSM 2013:24

where the Hill anisotropic factors F, G and H (Hill 1948) are used in the  $\alpha$ -phase and isotropic values of F = G = H = 0.5 are used for the  $(\alpha + \beta)$ - and  $\beta$ -phase domains. For the anisotropic  $\alpha$ -Zr alloy, we assume F = 0.956, G = 0.304 and H = 0.240 (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982). We should note that the effect of oxygen concentration x is not included in equations (1) and (2) for the phase transformation.

All the parameters appearing in equations (3) and (4) are described in tables 1 and 2 for Zircaloy-4, respectively. The strength coefficient  $A_{\theta}$  for the Zr alloy is calculated from the corresponding uniaxial value  $A_z$  through the anisotropic factors by Hill's relation (Neitzel and Rosinger 1980; Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Rosinger 1984). For the oxygen-dependent term, equation (5), we use Burton et al.'s empirical relation B(x) = -342x (Burton, Donaldson, and Reynolds 1979), where  $x \leq 0.015$  is the oxygen weight fraction in the  $\alpha$ -phase of zirconium alloy. The effect of oxygen on creep in  $\beta$ -phase is neglected (Burton, Donaldson, and Reynolds 1979; Sagat, Sills, and Walsworth 1984).

For symmetrical deformations, the hoop stress  $(\sigma_{\theta})$  in a slender tube (cladding) under a differential pressure  $\Delta p$  can be related to the hoop strain as (Matthews 1984; Shewfelt 1988)

$$\sigma_{\theta} = \sigma_0 \exp(2\beta \varepsilon_{\theta}),\tag{7}$$

where  $\sigma_0 = \Delta p r_0/w_0$ , and  $r_0$  and  $w_0$  are the initial cladding mid radius and wall thickness, respectively. In addition,  $\beta = 1 + \lambda/2$ ,  $\lambda = (G - F)/(F + 2H)$ . When there are end restraints on the tube,  $\beta = 1$ . Thus, using equation (7), we write equation (3) as

$$\frac{\mathrm{d}\varepsilon_{\theta}}{\mathrm{d}t} = A_{\theta}f(x)\exp(-Q/RT)\sigma_{0}^{n}\exp(2n\beta\varepsilon_{\theta}),\tag{8}$$

Assuming that oxygen will uniformly get distributed in the cladding, the oxidation rate of Zr alloy follows a parabolic law

$$\frac{\mathrm{d}x}{\mathrm{d}t} = \frac{1}{2x}\eta^2,\tag{9}$$

$$\eta = 0.724 \exp(-10481/T) \frac{1 + \varepsilon_{\theta}}{w_0 \rho},$$
(10)

where  $\rho$  is the Zr alloy density  $\rho = 6.56 \text{ g cm}^{-3}$ ; and equation (10) was found from experiments made under the assumption of isothermal steam oxidation of Zircaloy-4 cladding material within a temperature range of 973 to 1573 K and an exposure time of  $\leq 15$  minutes (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982).

Finally, we use the relation for the rupture (burst) stress vs. temperature T and oxygen concentration x in the form (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982)

$$\sigma_B = a \exp(-bT)g(x),\tag{11}$$

where a and b are material dependent constants (table 3) obtained experimentally and

$$g(x) = \exp\left[-\left(\frac{x - x_0}{0.00095}\right)^2\right].$$
(12)

Here,  $x_0 = 0.0012$  is the as-fabricated oxygen weight fraction of the Zr alloy (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Rosinger 1984). Cladding rupture occurs when  $\sigma_{\theta} = \sigma_B$ .

The strain rate in the mixed  $(\alpha + \beta)$ -phase region follows a separate mechanism than in the single phase region (Nuttall 1976; Rosinger, Bera, and Clendening 1979). For computational convenience some authors have suggested ad-hoc correlations in the mixed-phase region for Zircaloy-4 (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Rosinger 1984) or have considered homogenisation according to (Pirogov, Alymov, and Artyukhina 1989; VanUffelen, Győri, Schubert, van de Laar, Hózer, and Spykman 2008)

$$\dot{\varepsilon}_{\alpha\beta} = \dot{\varepsilon}_{\alpha}(1-y) + \dot{\varepsilon}_{\beta}y, \qquad (13)$$

or alternatively

$$\dot{\varepsilon}_{\alpha\beta} = \dot{\varepsilon}_{\alpha}^{1-y} \cdot \dot{\varepsilon}_{\beta}^{y}, \qquad (14)$$

where over-dot denotes time derivative, subscripts  $\alpha$  and  $\beta$  the respective phases, and y the volume fraction of the  $\beta$ -phase calculated from equation (1). Similarly, the rupture stress in the ( $\alpha + \beta$ )-phase region is calculated using an ad-hoc correlation (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982) or employing a mixing rule of the form (Forgeron, Brachet, Barcelo, Castaing, Hivroz, Mardon, and Bernaudat 2000)

$$\sigma_{\alpha\beta} = \sigma_{\alpha}^{1-y} \cdot \sigma_{\beta}^{y} \,. \tag{15}$$

	1 1	0 2	0 /:	<b>5 1</b> ( )
Parameter	Unit	$\alpha$ -phase	$\beta$ -phase	$(\alpha + \beta)^*$
$\overline{A_z}$	$MPa^{-n}s^{-1}$	19400	7.9	0.24
n	-	5.89	3.78	2.33
$A_{\theta}$	$MPa^{-n}s^{-1}$	1489	3.97	0.15
Q/R	Κ	38487	17079	12316

Table 1: Creep law parameters for Zircaloy-4 (Rosinger 1984), cf. Eq. (3).

\* Valid for strain rates  $\leq 0.003 \text{ s}^{-1}$ , otherwise linear interpolation of parameters between  $\alpha$  and  $\beta$  phases are used.

Table 2: Creep law parameters for 2	Zircaloy-4 alloy	<sup>,</sup> (Kaddour, H	Frechinet, (	Gourgues,	Bra-
chet, Portier, and Pineau 2004), cf. E	Eq. (4).				

Parameter	Unit	$\alpha$ -phase ( $\sigma \le 15$ MPa)	$\alpha$ -phase ( $\sigma > 15$ MPa)
$\overline{A_z}$	$KMPa^{-n}s^{-1}$	$1.00 \times 10^{6}$	$1.63 \times 10^{8}$
n	-	1.3	5.0
$A_{\theta}$	$KMPa^{-n}s^{-1}$	$5.04 \times 10^5$	$1.80 \times 10^7$
Q/R	Κ	22852	38006
		$\beta$ -phase	$\beta$ -phase
$A_z$	$KMPa^{-n}s^{-1}$	$1.00 \times 10^4$	$1.00 \times 10^4$
n	-	4.25	4.25
$A_{\theta}$	$MPa^{-n}s^{-1}$	$4.70 \times 10^{3}$	$4.70 \times 10^{3}$
Q/R	K	18041	18041

 Table 3: Material dependent parameters in the burst stress vs. temperature, Eq. (11) for

 Zircaloy-4 cladding tube (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982).

Parameter	Unit	$\alpha$ -phase	$\beta$ -phase	$(\alpha + \beta)$
a	MPa	830	2300	3000 at $T_{\alpha\beta}$
b	$K^{-1}$	0.001	0.003	0.003 at $T_{lphaeta}$

For Zircaloy-4 according to (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982) the boundary temperatures are:  $T_{\alpha} = 1085.15 + 14.28(q)^{0.28}$  (K) with q heating rate (Ks<sup>-1</sup>);  $T_{\beta} = 1248.15$  (K); mid temperature of the  $(\alpha + \beta)$ -phase  $T_{\alpha\beta} = (T_{\alpha} + T_{\beta})/2$ . Linear interpolation of  $\ln a$  and b in the  $(\alpha + \beta)$ -phase between the temperatures  $T_{\alpha}$ ,  $T_{\alpha\beta}$  and  $T_{\beta}$ .

### **3** Computations

#### **3.1** Temperature history evaluations

Let us now, using the integrated model outlined in section 2, calculate a sample case from single-rod transient burst tests, which were conducted within the REBEKA program using fuel rod simulators with indirect electric heating (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982). In these tests to achieve well-defined experimental boundary conditions, the internal over-pressure and the heating rate were kept constant during the deformation process. Figure 1 illustrates schematically the test procedure. The test parameters, rod over-pressure and heating rate, were in the range of 1 to 14 MPa and 1 to 30 Ks<sup>-1</sup>, respectively. The cladding tubes used were made of Zircaloy-4 with inner and outer diameters of 9.30 mm and 10.75 mm, respectively (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982).



Figure 1: Schematic of the conditions of transient single-rod burst tests performed by Erbacher et al. (1982) in the parameter range:  $\dot{T} = 1 \rightarrow 30 \text{ Ks}^{-1}$  and  $p = 1 \rightarrow 14 \text{ MPa}$ .

In our sample computations, we use a heating rate of 10 Ks<sup>-1</sup> and assume a rod internal over-pressures of  $\Delta p = 1$  MPa and  $\Delta p = 8$  MPa with restrained ends ( $\beta = 1$ ). Zircaloy-4 cladding with the property data presented in table 1 and in ref. (Massih 2009b). The initial cladding mid radius and wall thickness used in equation (7) are  $r_0 = 5.0125$  mm and  $w_0 = 0.725$  mm, respectively.

We have implemented the aforementioned governing equations and material property data in a computer program for calculating Zircaloy-4 phase transformation, cladding creep, oxidation and burst as a function of time and temperature in tandem. We solve equation (1) for a given temperature time-history using the Runge-Kutta algorithm of order 4 and 5 (Press, Teukolsky, Vetterling, and Flannery 1992) with the initial condition y(0) = 0 and T(0) = 900 K. The results, the fraction of  $\beta$ -phase transformed as a function of temperature for the alloy, are presented in figure 2.

The cladding creep and oxidation equations (8) and (9) are solved simultaneously by the same kind of Runge-Kutta algorithm (extended to a system of differential equations) with the initial conditions  $\varepsilon_{\theta}(0) = 0$  and x(0) = 0.0012. In the computations presented here, we have employed equation (3) and table 1 for the creep rate. For the burst stress, equation (11) and table 3 were used. That is, the mixing rules given by relations (13)-(15) in the two-phase  $(\alpha + \beta)$  domain for the creep rate and burst, respectively, were not utilised. The results are depicted in figures 3 and 4 as a function of temperature up to the cladding failure time. The cladding burst stress versus temperature is plotted in figure 5. The calculated time, temperature and hoop strain at the onset of cladding rupture are 44.5 s, 1345 K and 29.8% for  $\Delta p = 1$  MPa; and 21 s, 1110 K and 77.3% for  $\Delta p = 8$  MPa, respectively. The time step in computations was  $\Delta t = 0.1$  ms.



Figure 2: Volume fraction of  $\beta$ -phase as a function of temperature at a heating rate of 10  $Ks^{-1}$  for rod internal over-pressures of 1 and 8 MPa.



Figure 3: Cladding hoop strain due to creep deformations versus temperature during the transient at a heating rate of 10  $Ks^{-1}$  for rod internal over-pressures of 1 and 8 MPa.



Figure 4: Cladding oxygen weight concentration versus temperature during the transient at a heating rate of 10  $Ks^{-1}$  for rod internal over-pressures of 1 and 8 MPa.



Figure 5: Variation of burst stress with temperature during the transient at a heating rate of 10  $Ks^{-1}$  for rod internal over-pressures of 1 and 8 MPa.

#### 3.2 Comparison with experimental burst data

In this section, we compare the results of computations with a number of cladding tube rupture experiments performed under pressurised water reactor LOCA conditions. The experiments considered are reported in (Erbacher, Neitzel, and Wiehr 1979; Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Karb, Sepold, Hofmann, Petersen, Schanz, and Zimmermann 1982; Erbacher and Leistikow 1987).

As mentioned in the previous subsection, single-rod burst tests in steam were performed within the REBEKA program using fuel rod simulators with indirect electric heating and 325-mm heated length. The rod simulator comprised  $Al_2O_3$  pellets (instead of  $UO_2$  fuel pellets) clad with Zircaloy-4 tubing (Erbacher, Neitzel, and Wiehr 1979; Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982). The temperature history of the cladding during the test was measured by thermocouples spot-welded on the outer surface of the cladding. The deformation of cladding as a function of time was recorded by X-ray cinematography by using a high-speed camera, which allowed the observation of cladding ballooning process during the test. Data on burst temperature, burst pressure and burst strain are presented in (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982). The heating rate in these tests ranged from 0.8 to 35 Ks<sup>-1</sup>.

In the Karb et al. (1982) experiments, the main objective was to investigate the possible influence of nuclear environment on fuel cladding failure mechanisms, see also (Karb, Prüßmann, Sepold, Hofmann, and Schanz 1983). In these experiments both unirradiated and pre-irradiated PWR-type tests fuel rods (with Zircaloy-4 cladding tube with inner and outer diameters of 9.30 mm and 10.75 mm, respectively) were subjected to temperature

transients simulating the second heat up phase of a LOCA. Nuclear environment was primarily characterised by the heat generated in  $UO_2$  fuel and the heat transfer from the fuel to the cladding outer surface in the FR2 reactor at Karlsruhe, Germany. In addition, 8 reference samples with electrically rod simulators with  $Al_2O_3$  pellets were tested in the in-pile loop under conditions identical with those of the nuclear tests (Karb, Sepold, Hofmann, Petersen, Schanz, and Zimmermann 1982).

In these tests, the burst data (temperature at rupture, rupture pressure and rupture strain) of the nuclear fuel rods did not indicate differences from the results obtained from electrically heated fuel rod simulators, and nor did they show the effect of irradiation exposure (up to 35 MWd/kgU). In our study, we only consider the unirradiated rods and the electrically heated fuel rod simulators by prescribing temperature histories to the cladding and evaluate the burst behaviour with the aforementioned model (section 2). The heating rate of the unirradiated rods varied between 7 to 19 Ks<sup>-1</sup>, whereas that of the electrically heated rod simulators had heating rates of 12-13 Ks<sup>-1</sup>.

Erbacher & Leistikow (1987) have presented Zircaloy-4 burst data obtained from multi-rod burst tests performed within the REBEKA program. The data represent tests that had the potential for maximum ballooning, i.e. burst taking place in the  $\alpha$ -phase of Zircaloy around 800°C. The heating rate during heat-up in the tests was 7 Ks<sup>-1</sup>. The burst pressures in the test were between 5 and 7 MPa and the measured hoop strains ranged from 0.28 to 0.55. Moreover, the circumferential temperature variation in the tests varied between 20 and 70 K. For further details on the burst data, see (Erbacher and Leistikow 1987).

Cladding burst computations, for comparison with experimental results, are carried out by varying the constant internal over-pressure in the range from 0.1 to 14 MPa (in steps of 0.1 MPa) at two different constant heating rates, 1 and 35 Ks<sup>-1</sup>. The same model assumptions as in the foregoing subsection are made. The resulting cladding burst curves, calculated in this way, i.e. (i) burst temperature versus internal over-pressure and (ii) cladding hoop burst strain versus burst temperature, together with burst test data (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Karb, Sepold, Hofmann, Petersen, Schanz, and Zimmermann 1982; Erbacher and Leistikow 1987) are plotted in figures 6a and 6b, respectively. Figure 7a depicts the calculated hoop strain versus time for a load combination of internal over-pressure/heating rate of 8 MPa/35 Ks<sup>-1</sup>. This calculation represents one point on the burst curves for 35 Ks<sup>-1</sup> shown in figures 6a-6b. The final (maximum) calculated hoop strain value is calculated at  $\approx 6.6$  s amounts to  $\approx 0.694$ . The burst hoop strain, calculated from the burst correlation, at this instant in time is  $\approx 0.718$ . Figure 7b shows that the strain increases by about 2.5% in the last 0.1 ms time step.

It has been noted by Erbacher & Leistikow (1987) that in single-rod experiments in the  $\alpha$ -phase and  $(\alpha + \beta)$  domain of Zircaloy-4 tube there is a direct relationship between the hoop burst strain and the azimuthal temperature difference around the circumference of cladding tube. Small azimuthal temperature gradients (few degrees) cause a relatively homogeneous decrease of cladding wall thickness around its circumference, therefore leading to relatively large burst strains. On the other hand, large azimuthal temperature gradients, which may occur during the course of deformation lead to a localised reduction in wall thickness on the hotter part of the cladding tube circumference, thus resulting in small burst strains. As such, the magnitude of the azimuthal temperature gradient around tube's circumference is an important factor affecting cladding burst strain.



Figure 6: Calculated burst curves for Zircaloy-4 cladding generated by varying constant internal over-pressure in the range from 0.1 to 14 MPa at two different constant heating rates, 1 and 35 Ks<sup>-1</sup>. Creep rate is calculated according to Rosinger (1984) and burst stress criterion according to Erbacher et al. (1982). The cladding outside diameter and wall thickness used in the calculations are 10.75 and 0.725 mm, respectively. Three sets of measured burst data (Erbacher, Neitzel, Rosinger, Schmidt, and Wiehr 1982; Karb, Sepold, Hofmann, Petersen, Schanz, and Zimmermann 1982; Erbacher and Leistikow 1987) are included for comparison.



Figure 7: (a) Hoop strain as a function of time, calculated for a heating rate of 35  $Ks^{-1}$  and internal over-pressure of 8 MPa, until the instant of cladding burst. (b) A closeup of the hoop strain for the last 2 ms before cladding burst. The ring symbols mark calculated time intervals.

#### 3.3 Parametric study

In the computations presented in the foregoing subsections, the creep rate and burst stress of cladding were calculated according to correlations of Rosinger (1984) and Erbacher et al. (1982), tables 1 and 3, respectively. Since in these correlations, the behaviour in the mixed-phase ( $\alpha + \beta$ ) are constructed in an ad-hoc fashion, i.e. using parameter fitting on a limited set of data and conditions, their wider applicability is questionable, see section 4. Hence, it is worthwhile to utilise the output of the phase transition model, i.e. the fraction of  $\beta$ -phase as a function of time (temperature), to calculate the creep rate and the burst stress in the ( $\alpha + \beta$ ) domain through equations (14) and (15), respectively.

Figure 8a illustrates the difference between the two ways of computations regarding the prediction of burst strain-temperature behaviour for heating rates of 1 and 35 Ks<sup>-1</sup>. The assumptions for the computations are the same as those used to calculate the lines in figure 6. It is seen that in the case of 1 Ks<sup>-1</sup>, the difference between the two procedures is negligible, while at 35 Ks<sup>-1</sup>, the two methods differ in the ( $\alpha + \beta$ ) domain, 1100-1250 K. Nevertheless, the difference between the results is within the experimental scatter of the data (cf. figure 6). The corresponding calculations for cladding burst temperature versus internal rod over-pressure is shown in figure 8b.

Finally, figures 9a-b depict computations of burst strain versus burst temperature as a function of heating rate for the two aforementioned procedures. It is seen that phase mixing method smoothens the anomalies seen in figure 9a, which are artifacts of the discontinuities in the creep rate equation of Rosinger (1984) and burst criterion of Erbacher et al. (1982), tables 1 and 3, while keeping the trends correctly. Table 4 lists the burst data as a function of pressure for the heating rate of 10 Ks<sup>-1</sup> corresponding to figure 9a. The diagrams show the prominent role of heating rate on burst strain. In the  $\alpha$ -phase the burst strain decreases with increasing the heating rate, whereas in the mixed ( $\alpha + \beta$ ) domain and  $\beta$ -phase the situation is more complex. For example, at 1300 K ( $\beta$ -phase), the burst strain increases with increasing rate up to a heating rate of 10 Ks<sup>-1</sup>, then declines consecutively at 30 and 100 Ks<sup>-1</sup>. Also, the higher heating rates shift the burst temperatures to higher values for burst strains below 50%.



Figure 8: Calculated (a) burst strain versus burst temperature and (b) burst temperature versus rod internal over-pressure at two different heating rates, 1 and 35 Ks<sup>-1</sup>. Solid lines: Creep rate and burst stress are calculated according to correlations of Rosinger (1984) and Erbacher et al. (1982), tables 1 and 3, respectively. Broken lines: Creep rate and burst stress in the two-phase ( $\alpha + \beta$ ) region are calculated by combining the single-phase creep rates of Rosinger (1984) and burst stress of Erbacher et al. (1982) according to equations (14) and (15), respectively.



Figure 9: Calculated burst strain versus burst temperature for various heating rates. Each curve covers Zircaloy-4 tube internal over-pressures in the range of 0.1 to 14 MPa in steps of 0.1 MPa. (a) Creep rate and burst stress are calculated according to correlations of Rosinger (1984) and Erbacher et al. (1982), tables 1 and 3, respectively. (b) Creep rate and burst stress in the two-phase ( $\alpha + \beta$ ) region are calculated by combining the single-phase creep rates of Rosinger (1984) and burst stress of Erbacher et al. (1982) according to equations (14) and (15), respectively.

Table 4: Calculated burst data at a heating rate of $10 \text{ Ks}^{-1}$ vs. Zircaloy-4 tube interval	ternal over-
pressure. Creep rate is calculated according to Rosinger (1984) and burst stress	ss criterion
according to Erbacher et al. (1982).	
Over-pressure Time Temperature Hoop strain Hoop stress	
MPa s K % MPa	

MPa	S	K	%	MPa	
1	44.5	1345	29.8	12.5	
2	34.2	1242	66.0	51.8	
3	29.9	1199	63.7	74.2	
4	27.3	1173	63.1	97.7	
5	25.3	1153	70.1	140.5	
6	23.7	1137	75.0	186.1	
7	22.2	1122	78.7	233.6	
8	21.0	1110	79.9	273.2	
9	19.8	1098	74.6	276.6	
10	18.7	1087	69.9	279.8	
11	17.2	1072	65.9	284.1	
12	15.7	1057	62.3	288.3	
13	14.5	1045	58.9	292.0	
14	13.3	1033	55.8	295.4	

#### **4** Discussion

We have presented an integrated model for the rupture of zirconium alloy fuel claddings in a postulated loss-of-coolant accident in nuclear power reactors. We have solved the kinetic equations for phase transformation, creep and oxidation of cladding in a unified fashion, where the latter two coupled equations were solved simultaneously, but separately from the phase transformation kinetics.

In the calculations presented in section 3, we have used material parameters from literature for oxidation, creep rate and burst stress of Zircaloy-4 cladding applicable to LOCA conditions. For the latter two quantities, we have used correlations in  $\alpha$ -Zr and  $\beta$ -Zr separately, while for the mixed  $(\alpha + \beta)$ -Zr, we have either utilised explicit correlations for the region or employed mixing rules according to relations (14) and (15). The latter was done by combining the correlations in the single phase regions with the output of the phase transformation model described by equation (1) and the mixing rules. Using such recipes to obtain the creep rate and the burst stress in the two-phase region must be supported by experimental data. For the burst stress parameters the data presented and evaluated in (Forgeron, Brachet, Barcelo, Castaing, Hivroz, Mardon, and Bernaudat 2000; Brachet, Portier, and Forgeron 2002) endorse the use of relation (15). On the other hand, the situation for creep rate is quite different. Rosinger (1984) offered an empirical correlation for the creep rate of Zircaloy-4 in  $(\alpha + \beta)$  domain which is presented in table 1. The values for  $A_z$ , Q and n, listed in table 1, were derived from measurements of the uniaxial steady-state creep rate of Zircaloy-4 fuel cladding (Rosinger, Bera, and Clendening 1979). More specifically, these values are for  $\alpha$ -Zr, from 823 to 1085 K, for  $(\alpha + \beta)$ -Zr, from 1085 to 1248, K and for  $\beta$ -Zr, from 1248 to 1873 K.

We believe, at the fundamental level, the main uncertainty in our computations stem from the lack of appropriate creep law in the  $(\alpha + \beta)$  domain of zirconium alloys. It had been noted by Kaddour et al. (2004) that Zircaloy-4 in the  $\alpha$  domain (T < 1023 K) experimental data exhibit two creep regimes: At low stresses ( $\sigma < 15$  MPa) the creep stress exponent is  $n \approx 1$ , cf. equations (3)-(4), suggesting that deformation mechanism could be "diffusional creep"; while at higher stresses ( $\sigma > 15$  MPa) the deformation mechanism is most likely "dislocation climb induced creep", with n in the range of 4-5; see (Kassner 2009) for a review of creep mechanisms. In the  $\beta$  domain, Kaddour et al. (2004) observed only one regime, i.e. "dislocation climb induced creep", cf. table 2. In the mixed phase  $(\alpha + \beta)$ domain, however, the creep behaviour is more complex and as such no simple creep law has been established. Kaddour et al. (2004) have observed that for very low applied stresses (1-2 MPa), strain rates in the  $(\alpha + \beta)$  domain are substantially higher than those measured in the single-phase domains including the high-temperature region of the  $\beta$ -phase. The estimated stress exponent  $n \approx 1.5$  by Kaddour et al. (2004) suggests that in the  $(\alpha + \beta)$ domain the deformation mechanism could be controlled by "interphase interface sliding", which is the hallmark of superplasticity. Superplasticity is the tendency of a polycrystalline to deform extensively at elevated temperatures ( $T > 0.5T_m$ ), prior to rupture, where  $T_m$  is the melting point of the solid (Kassner 2009; Mukherjee 1993). One of us (Massih 2009a) has recently evaluated the creep rate data of Kaddour et al. (2004) on Zr-1wt%Nb alloy by using the mixing rule given by equation (14), but the outcome in the  $(\alpha + \beta)$  domain was unsatisfactory.

As has been pointed out by Mukherjee (1993), the three main requirements for superplastic behaviour are (i) fine (less than roughly 10  $\mu$ m) and equiaxed grain size that is fairly stable during deformation, (ii) a temperature which is higher than about half of the melting point of the solid, and (iii) a strain rate that is typically not too high (less than 0.001 s<sup>-1</sup>) or not too low (more than 10<sup>-6</sup> s<sup>-1</sup>). These requirements are satisfied by nuclear grade Zircaloy materials in the ( $\alpha + \beta$ ) domain. For example, Kaddour et al. (2004)'s Zircaloy-4 samples had equiaxed grains with a mean size of 5  $\mu$ m.

Simple constitutive relations of the form defined by equations (3)-(4) with parameters based on fitting a limited set of data seem to be inadequate for describing the superplastic behaviour in the  $(\alpha + \beta)$  domain of zirconium-base alloys. A more general relation for the steady-state creep rate is given by (Mukherjee 1993)

$$\frac{\mathrm{d}\varepsilon_{\theta}}{\mathrm{d}t} = \frac{ADGb}{k_BT} \left(\frac{b}{d}\right)^p \left(\frac{\sigma}{G}\right)^n.$$
(16)

Here A is a material-dependent (dimensionless) constant, D is the appropriate diffusion coefficient,  $D = D_0 \exp(-Q/k_BT)$ ,  $D_0$  is the frequency factor, Q the activation energy of diffusion,  $k_B$  the Boltzmann constant, G the shear modulus, b the magnitude of the Burgers vector, d the grain size, and p the inverse grain size exponent. In this setting, Spingarn & Nix (1979) note that at small stresses (and strains) the exponents in relation (16) are given by p = 3 and n = 1, which describe the diffusional creep. At large stresses, however, p = 1 and n = 5, which characterise creep that is controlled by edge dislocations. Spingarn & Nix (1979) suggest that the transition from n = 1 to n = 5 behaviour corresponds to the superplastic domain. But no specific constitutive relation in the form of equation (16) is provided for this domain.

Garde et al. (1978) have investigated the uniaxial stress-strain behaviour of Zircaloy-4 at temperatures 973 to 1673 K. They observed a superplastic peak at 1123 K. At 1123 to 1173 K, they found that at low strain rates ( $< 10^{-4} \text{ s}^{-1}$ ) the predominant mechanism of superplasticity was grain boundary sliding, whereas at higher strain rates ( $> 10^{-4} \text{ s}^{-1}$ ) dislocation creep was prevailing. In particular, they found that for equiaxed Zircaloy-4, the value of the exponent *n* very much depended on the strain rate in the ( $\alpha + \beta$ )-Zr. For example, at 1123 K,  $n \approx 1.25$  for a low strain rate of  $\dot{\varepsilon} \approx 3 \times 10^{-6} \text{ s}^{-1}$ , then increasing continuously to  $n \approx 5$  as the strain rate was increased to  $\dot{\varepsilon} \approx 2 \times 10^{-3} \text{ s}^{-1}$ . For Zircaloy-4 with a basketweave (acicular) grain structure, the variation in the exponent was from  $n \approx 3.3$  to  $n \approx 5$  in the same span of strain rate.

Rosinger et al. (1979) by curve fitting various experimental data on the creep of Zircaloy-4 in the mixed phase  $(\alpha + \beta)$  domain to equation (3) deduced an average value of  $n \approx 1.88$  for  $\dot{\varepsilon} < 3 \times 10^{-3} \text{ s}^{-1}$ . Later, Rosinger (1984) changed this value to  $n \approx 2.33$ , as listed in table 1 (at the same time he changed the values for  $A_z$  and Q, so the overall output were similar). To deduce the parameters for the creep law in the superplasticity region in this manner is very simplistic. So is the phase mixing method described by relation (14) to combine the creep mechanisms in single-phase domains. Rosinger et al. (1979) note that due to "the dramatic change in stress exponent (of the creep rate) it is not possible, unambiguously, to identify the mechanism operating in the mixed  $(\alpha + \beta)$ -phase. Additional experimental effort is required to determine the exact nature of creep equations with their limits of applicability and to identify the mechanisms controlling the creep in the mixed  $(\alpha + \beta)$ -phase." In other word a constitutive relation for creep in this region is missing.

To find out the difference between the phase mixing method and that recommended by Rosinger (1984), we have have compared computations of creep strain as a function of temperature (time) for a heating rate of 10 Ks<sup>-1</sup> using the choice of parameters given in table 1 for  $(\alpha + \beta)$ -Zr and the phase mixing method given by relation (14). The results are presented in figure 10. A significant difference in strain behaviour between the two methods of calculation is seen in the  $(\alpha + \beta)$  region. To elucidate further, we have plotted the corresponding creep rates obtained from the two methods in figure 11. Despite the marked difference, the overall impact on the rupture strain versus rupture temperature is not as dramatic, cf. figure 9.



Figure 10: Hoop strain of Zircaloy-4 cladding due to creep versus temperature during the transient at a rod internal over-pressure of 1 MPa. Phase mixing (dashed line) refers to the rule per equation (14) as in figure 3, while the solid line is the outcome of the parameter designations in table 1 for  $(\alpha + \beta)$ -Zr (Rosinger 1984).



Figure 11: Creep rate  $\dot{\varepsilon}_{\theta}$  of Zircaloy-4 versus temperature during the transient at a rod internal over-pressure of 1 MPa. Phase mixing (dashed line) refers to the rule per equation (14), while the solid line is the outcome of the parameter designations in table 1 for  $(\alpha+\beta)$ -Zr (Rosinger 1984).

In the present note, we did not make an in-depth analysis of creep behaviour in the mixedphase  $(\alpha + \beta)$  domain. This is a topic for a separate study. But comparing the works of Rosinger et al. (1979) with Kaddour et al. (2004), it is ironic that not much progress in understanding of the phenomenon has been gained in the span of 25 years. The identification of the mechanism of creep in  $(\alpha + \beta)$  domain still remains speculative. Our limited analysis indicates that the present models for creep laws cannot be applied without extensive parameter fitting in the mixed-phase regime and the resulting correlations would probably have a restricted applicability.

As discussed in our preceding paper (Massih 2009b), oxygen concentration affects the phase transition temperature in Zr alloys and it is expected to have an impact on the kinetics of phase transition. Thus, strictly speaking, equation (1) should also depend on oxygen concentration. Experimental data, however, are required for a quantitative modelling of this phenomenon. When such data become available an extension of our model to account for this effect is straightforward. Then the three coupled differential equations will be solved simultaneously.

The impact of rod internal pressure on cladding rupture limit was evaluated briefly here (table 4). Increasing rod internal pressure shortens the time to rupture and lowers the rupture temperature. If the differential pressure is varying during the transient, equation (7) can be scaled linearly with pressure by a factor  $p/p_0$ , where  $p_0$  is the initial pressure and p the current pressure. The computational method described here can readily be imple-

mented in a transient fuel rod modelling code for assessing the cladding rupture under LOCA (Manngård 2009).

The effect of azimuthal temperature distribution in the cladding on its deformation is accounted in the fuel rod simulator code FRAPTRAN-1.3, see (Cunningham, Beyer, Medvedev, and Berna 2001; Manngård 2007) and references therein. In FRAPTRAN-1.3 the cladding tube can be divided into a number of circumferential elements each with a uniform temperature distribution. During each time-step, cladding strain computations are performed for each element individually, and at the end of each time-step, the strains for each element is summed. Computations are carried on in this way until the element with the highest stress reaches the burst stress equation (11), upon which failure is assumed to have occurred. Then the final results comprising rupture time, temperature and strain are determined.

# 5 Conclusion

A unified computer model for the rupture of fuel cladding during loss-of-coolant conditions has been presented. The model consists of interconnected modules for Zircaloy phase transformation, creep, oxidation and rupture under LOCA conditions. The main part of the model uncertainty at the fundamental level is the treatment of creep deformation in the two-phase ( $\alpha + \beta$ ) domain of the alloy, for which neither the existing correlations nor the phase mixing rules seem to be appropriate. The modelling of creep rate in the two-phase domain of zirconium alloys requires further investigation.

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#### 2013:24

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