



Strål  
säkerhets  
myndigheten

Swedish Radiation Safety Authority

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Research

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Effects of additives on  
uranium dioxide fuel behavior



## **SSM perspective**

### **Background**

There is a large interest in the nuclear fuel field, both in Sweden and internationally, for doping nuclear fuel pellets with non-absorber additives in order to improve the light water reactor (LWR) fuel performance. The suppliers that manufacture fuel for Swedish power plants each have developed their own product line and their own type of doped fuel pellets. In the last few years, the fuel products have reached a level of maturity sufficient for the usage of nuclear fuel with additives in large scale in commercial reactors.

### **Objectives**

Nuclear fuel that is used in Swedish commercial reactors shall be thoroughly tested according to systematic test plans and proven to be of high quality and performance before it can be introduced. It is also important that the behavior of the fuel can be described well with analytical tools. To meet these requirements, proven operation and ability to model, testing and research are necessary. Based upon the results of the research conclusions about the fuel behavior can be drawn and databases for model development can be built. From a regulator point of view it is important to monitor that the research is properly performed and that models are well substantiated.

Nuclear fuel pellets with additives have somewhat different properties compared to standard LWR nuclear fuel. For example, an additive fuel has a higher density and larger mean grain size, which leads to a lower fuel densification and a higher degree of fission products trapping, respectively, during reactor operation. Tests of the behavior of nuclear fuel pellets have been performed by different fuel suppliers in different test reactors. SSM have commissioned Ali Massih at Quantum Technologies AB to gather the publically available information to make a comparison between different types of additives and tests and also to contribute to the development of models to be used in analytical tools.

### **Results**

Ali Massih has a long experience of design of nuclear fuel and the models that are necessary to analyze fuel behavior. Ali has collected the publically available information, sorted out the parameters that are important to the models, and presents their mathematical descriptions. Quantum Technologies AB develops and uses the codes and competence that SSM requires for supervision of fuel performance analysis.

This report will form a basis for the reviews that SSM does when the license holders apply for use of nuclear fuel with additives. The report is also intended as a reference to the models that Quantum Technologies AB has developed. The report and the models will be a valuable source of information for SSM and other parties when discussing the performance of doped fuel.

**Need for further research**

Research in the field of nuclear fuel with additives is continuing. Some of the current questions regard the way fission products are trapped within the pellets; which kinds of compositions do they form, in which material microstructure does the compounds reside. From the answers to these questions a better understanding of the behavior of fuel pellets with high burnup and the fuel rod behavior during power transients will be gained.

**Project information**

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This report concerns a study which has been conducted for the Swedish Radiation Safety Authority, SSM. The conclusions and viewpoints presented in the report are those of the author/authors and do not necessarily coincide with those of the SSM.

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## Abstract

The main incentive to dope  $\text{UO}_2$  fuel with a small amount of metal oxides, such as  $\text{Cr}_2\text{O}_3$  is to enlarge fuel grain size, increase fuel density and possibly make softer fuel pellets. Enlarging fuel grain size ( $> 30 \mu\text{m}$ ) will extend the diffusion path for fission product gases to grain boundaries, through which most of the gas is released from fuel pellet. Hence, the outcome would be a delay in thermal-activated gas release at a given fuel temperature. Increasing fuel density puts more  $^{235}\text{U}$  mass per fuel assembly, while leading to less fuel densification during irradiation. Softer pellets, i.e. fuel with a higher creep rate and/or lower yield strength can reduce the intensity of pellet-cladding mechanical interaction during reactor power ramps, alleviating the risk of cladding failure. Additives may also affect the thermophysical properties of  $\text{UO}_2$  fuel, such as heat capacity, thermal expansion and thermal conductivity. However, experimental data and theoretical analysis indicate that if the concentration of the additive is low (e.g. for  $\text{Cr}_2\text{O}_3$  dopant  $< 0.2 \text{ wt}\%$ ), these properties are hardly affected.

The aim of this report is to assess data and models for some important properties of  $\text{UO}_2$ -base fuel containing additives. The additives considered are those investigated and reported in the literature. The main additive discussed here is  $\text{Cr}_2\text{O}_3$ , but also we include  $\text{Al}_2\text{O}_3$ ,  $\text{MgO}$  and  $\text{Nb}_2\text{O}_5$ . Appropriate models for thermophysical properties are assessed and recommended for  $\text{M}_2\text{O}_3$ -type (M: metal) additives and even for  $\text{MgO}$ -doped  $\text{UO}_2$ . Fission gas diffusivity data and correlations are assessed and used in a standard model for fission gas release and gaseous swelling to evaluate these quantities. Moreover, the effects of grain size on gas release and swelling are assessed. Available data and correlations for thermal creep of  $\text{Nb}_2\text{O}_5$ - and  $\text{Cr}_2\text{O}_3$ -doped fuels are evaluated critically, and possible creep mechanisms are delineated. The results of some in-reactor irradiation programs, ramp tests and transients on additive fuel are briefly reviewed. The report also intends to provide a foundation for model implementation in a fuel rod performance code.

## Sammanfattning

De främsta skälen till att tillföra små mängder av metalloxider, som exempelvis  $\text{Cr}_2\text{O}_3$ , till kärnbränsle av  $\text{UO}_2$  är att öka materialets kornstorlek och densitet, samt om möjligt göra bränslekutsarna mjukare. En ökning av bränslets kornstorlek ( $> 30 \mu\text{m}$ ) ökar diffusionslängden för gasformiga fissionsprodukter till materialets korngränser, genom vilka den största delen gas avges från bränslekutsen. Resultatet torde vara en fördröjning av termiskt aktiverad gasavgivning från bränslet vid en given temperatur. En ökning av bränslets densitet ger större mängd  $^{235}\text{U}$  per bränsleknippe och leder till mindre bränsleförtätning under bestrålning. Mjukare kutsar, det vill säga bränsle med en högre kryptöjningshastighet och/eller lägre sträckgräns, kan mildra mekanisk växelverkan mellan kuts och kapsling under effekthöjningar (ramper) vid reaktordrift, vilket skulle minska risken för kapslingsbrott. Tillsatser kan också påverka  $\text{UO}_2$ -bränslets termofysikaliska egenskaper, såsom värmekapacitet, termisk längdutvidgning och värmeledningsförmåga. Experimentella data och teoretisk analys antyder emellertid att om koncentrationen av tillsatserna är låg (t.ex.  $< 0.2$  viktprocent av tillsatsämnet  $\text{Cr}_2\text{O}_3$ ), så påverkas dessa egenskaper endast marginellt. Målet med denna rapport är att utvärdera data och modeller för viktiga egenskaper hos  $\text{UO}_2$ -baserat bränsle innehållande tillsatser. Tillsatserna som beaktas är de för vilka studier finns rapporterade i öppen litteratur. Huvudakligen diskuteras  $\text{Cr}_2\text{O}_3$ , men vi inkluderar även  $\text{Al}_2\text{O}_3$ ,  $\text{MgO}$  och  $\text{Nb}_2\text{O}_5$ . Lämpliga modeller för termofysikaliska egenskaper utvärderas och rekommenderas för  $\text{UO}_2$ -bränsle med tillsatser av typen  $\text{M}_2\text{O}_3$  (M: metall), men även för  $\text{MgO}$ -dopad  $\text{UO}_2$ . Data och korrelationer för diffusivitet hos fissionsgas i  $\text{UO}_2$  med nämnda tillsatsämnen analyseras och används i en standardmodell för fissionsgasavgivning och gassvällning, i syfte att utvärdera dessa egenskaper och hur de påverkas av tillsatsämnen. Även inverkan av bränslets kornstorlek på gasavgivning och svällning utvärderas. Tillgängliga data och korrelationer för termiskt kryp i  $\text{Nb}_2\text{O}_5$ - och  $\text{Cr}_2\text{O}_3$ -dopad bränsle utvärderas kritiskt, och möjliga krypmekanismer beskrivs. Resultat från utvalda reaktorbestrålningprogram, rampprov och transienter på bränsle med tillsatser granskas översiktligt. Rapporten avses tjäna som underlag för implementering av modeller i beräkningsprogram för bränsle-stavanalys.

# 1 Introduction

Addition of small amounts of certain metal oxides, such as  $\text{Cr}_2\text{O}_3$  and or  $\text{Al}_2\text{O}_3$ , to  $\text{UO}_2$  fuel enlarges the fuel grain size, increases fuel density and possibly makes the fuel pellets softer. Enlarging fuel grain size ( $> 30 \mu\text{m}$ ) will extend the length of the diffusion path for fission product gases to grain boundaries, through which most of the gas is released from the fuel pellet. Hence, the outcome would be a delay in thermal-activated fission gas release at a given fuel temperature. In like manner, the main gaseous swelling contribution in  $\text{UO}_2$  emanates from grain boundary gas bubbles, which would reduce as a results of larger grain size [1]. Increasing fuel density puts more  $^{235}\text{U}$  mass per fuel assembly while generating more fission products per fuel volume and also leading to less fuel densification during irradiation. Softer pellets, i.e. fuel with a higher creep rate and/or lower yield strength can reduce the intensity of pellet-cladding mechanical interaction during reactor power ramps, thus lessening the risk of cladding failure. Additives may also affect the thermophysical properties of  $\text{UO}_2$  fuel. These comprise enthalpy, heat capacity, thermal expansion and thermal conductivity, if the dopant level is sufficiently high, say  $\geq 0.5 \text{ wt}\%$ .

The additive oxides experimented with since 1960s, both in laboratory and in-reactor, include  $\text{TiO}_2$  [2–5],  $\text{Nb}_2\text{O}_5$  [5–9],  $\text{Cr}_2\text{O}_3$  [10, 11],  $\text{V}_2\text{O}_5$  [3],  $\text{La}_2\text{O}_3$  [2, 6],  $\text{MgO}$  [12, 13], Al-Si-O [14–16].  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  fuels have also been irradiated in commercial boiling water and pressurized water reactors (BWR and PWR), while  $\text{MgO}$  doped  $\text{UO}_2$  fuels have been irradiated in an advanced gas cooled reactor (AGR) as reported in the literature [17–19] and [20, 21]. There are other additives such as  $\text{Gd}_2\text{O}_3$  [22] and  $\text{Er}_2\text{O}_3$  [23, 24] used as burnable absorbers (BAs) in  $\text{UO}_2$ . These additives are utilized for in-core fuel management schemes and can be subjects of separate studies with emphasis on the neutronic aspects and, hence, are not discussed here. However, for  $\text{Gd}_2\text{O}_3$ , extensive thermophysical data and models are available in the literature and we may take advantage of those studies to use them as analogy to assess the properties of  $\text{Cr}_2\text{O}_3$ , if applicable.

The specific dopants influence the trapping and diffusion of fission product gases xenon and krypton, and also the self diffusion of  $\text{U}^{4+}$  ions in  $\text{UO}_2$ . The prevailing defects in  $\text{UO}_2$  are oxygen vacancies and interstitials. Additions of, e.g., trivalent chromium, aluminium, or gadolinium as  $\text{Cr}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  should, in general, increase the concentration of vacancies in  $\text{UO}_2$ , thereby decreasing the concentration of uranium vacancies via the equilibrium between cation and anion vacancies [25]. Hence, the rate of uranium diffusion is expected to be reduced by introduction of trivalent atoms in  $\text{UO}_2$ . On the other hand, an addition of pentavalent niobium ions, e.g.,  $\text{Nb}_2\text{O}_5$ , should enhance cation diffusion. These effects, in turn, affect the diffusion and release of fission product gases produced during reactor operation in and from fuel pellets. An important factor is the state of oxygen in the fuel, namely the chemical potential of oxygen, which itself is controlled by the oxygen-to-uranium ratio of the compound and the temperature.

Nevertheless, there are also appreciable differences between the various trivalent compounds or so-called sesquioxides. For example, the ionic radii for  $\text{Al}^{3+}$ ,  $\text{Cr}^{3+}$ , and  $\text{Gd}^{3+}$  are 0.5, 0.64 and 0.94 Å, respectively [26]. The corresponding solid solubility limits for  $\text{Al}_2\text{O}_3$  and  $\text{Cr}_2\text{O}_3$  in  $\text{UO}_2$  at 1700°C are 70 and 700 weight part per million (wppm), respectively [27], while for  $\text{Gd}_2\text{O}_3$  it is substantially higher than that for  $\text{Cr}_2\text{O}_3$  [28]. The former two dopants are grain enlarger while  $\text{Gd}_2\text{O}_3$  is not. Recent atomic scale computations suggest that the trivalent oxides comprising  $\text{Cr}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  preferentially enter  $\text{UO}_2$  by associating the substitutional ion with an oxygen vacancy [29]. The larger cation ions, e.g.,  $\text{Gd}^{3+}$ , tend to form oxygen vacancy clusters, whereas the smaller ones, e.g.,  $\text{Cr}^{3+}$  generate prefer-

entially isolated defects. Middleburgh et al.'s results [29] indicate that the solubility limit of the smaller cation containing trivalent oxides, such as  $\text{Cr}_2\text{O}_3$ , is controlled by the oxidation state of the uranium dioxide, that is, the amount of  $\text{Cr}^{3+}$  that can enter solution is highly dependent on the degree of hyperstoichiometry. On the other hand, larger cations, such as  $\text{Gd}^{3+}$ , which already are highly soluble in  $\text{UO}_2$ , would not be much more stable in  $\text{UO}_{2+x}$  and hence their solubility is not greatly affected by the degree of hyperstoichiometry. The objective of this report is to assess data and models for some important properties of  $\text{UO}_2$ -base fuel containing additives. The additives considered are those investigated and reported in the literature. The main additive discussed here is  $\text{Cr}_2\text{O}_3$ , but we also consider the attributes of  $\text{Al}_2\text{O}_3$ ,  $\text{MgO}$  and  $\text{Nb}_2\text{O}_5$  in uranium dioxide. The report also intends to provide a foundation for model implementation in a fuel rod performance computer code. The plan of this report is as follows. Section 2 reviews briefly some physical and material characteristics of the dopants. Appropriate models for thermophysical properties, comprising enthalpy, heat capacity, thermal expansion and thermal conductivity, are assessed and recommended for  $\text{M}_2\text{O}_3$ -type (M: metal) additives, and even for  $\text{MgO}$ -doped  $\text{UO}_2$  fuel, in section 3. In section 4, fission gas diffusivity data and correlations are assessed and used in a standard model for fission gas release and gaseous swelling, to evaluate these quantities as a function of temperature and irradiation time. Moreover, the effects of grain size on gas release and swelling are evaluated in this section. Section 5 reviews briefly available data and correlations for thermal creep of  $\text{Nb}_2\text{O}_5$ - and  $\text{Cr}_2\text{O}_3$ -doped fuels. These are evaluated critically, and possible creep mechanisms are delineated. In addition, data on the effects of additives  $\text{Cr}_2\text{O}_3$  and Al-Si-O on the yield stress of  $\text{UO}_2$  at high temperatures are briefed. The results of some in-reactor irradiation programs, ramp tests and transients on additive fuels are briefly reviewed in section 6. Section 7 concludes the report with a summary and some remarks. The mathematical formulae for the thermophysical properties and the fission gas release model are placed in the appendices.

## 2 UO<sub>2</sub> plus additives

As noted in the preceding section, the main impetus for introducing additives in UO<sub>2</sub> fuel is to improve fuel performance by increasing fuel grain size, minimizing fuel densification during irradiation and possibly making a softer fuel. Of course, large grain size (> 30 μm) may also be achieved in undoped UO<sub>2</sub> but that would require higher sintering temperatures and longer sintering times than vendors usually apply to fabricate standard light water reactor (LWR) fuel pellets (1600-1750°C/5-10 h [30]). Minimizing the sintering conditions could result in appreciable economic benefits both by reducing fabrication costs and increased production rates [31].

One way of achieving the same results is by addition of small amounts of appropriate metal oxides to UO<sub>2</sub> powder during manufacturing. For example, Arborelius et al. [17] report that in order to produce high density, large grain size LWR fuel, AUC (ammonium uranyl carbonate) converted UO<sub>2</sub> powder is mixed with small quantities of additives in the form of oxides for about one hour to obtain full homogeneity. In case of a Cr<sub>2</sub>O<sub>3</sub>-dopant, e.g. 1000 wppm (weight part per million) of Cr<sub>2</sub>O<sub>3</sub>-dopant was mixed with UO<sub>2</sub> powder, then the powder was pressed to green pellets with a force of about 50 kN. The green pellets were sintered in a H<sub>2</sub>/CO<sub>2</sub> atmosphere at a maximum temperature of 1800°C for 14 h to a solid UO<sub>2</sub> pellet. The mean fuel grain size and density obtained for the doped UO<sub>2</sub> were 44 μm and 10.62 g/cm<sup>3</sup>, respectively, as compared to 11 μm and 10.52 g/cm<sup>3</sup> of the standard Westinghouse Sweden undoped UO<sub>2</sub> fuel [17].

Industrial groups in France led by AREVA NP have utilized and doped UO<sub>2</sub> fuels for LWRs over the years [18, 19, 32, 33]. In particular, chromium oxide with a concentration of 0.16 wt% is used as an additive with grain size varying in the range of 50 to 70 μm, figure 1. These materials have densities in a range of 96 to 97 %TD (theoretical density) and have exhibited less in-reactor densification than standard UO<sub>2</sub> fuel. Increasing the fuel density also gives an increase in the <sup>235</sup>U mass per fuel assembly for employing fuel utilization schemes with longer reactor cycles, considering that Cr<sub>2</sub>O<sub>3</sub> has a very small impact on thermal neutron absorption. Factors governing microstructure development of Cr<sub>2</sub>O<sub>3</sub>-doped UO<sub>2</sub> during sintering are investigated by Bourgeois et al. [34] and Leenaers et al. [35], whereas the lattice parameter and theoretical density of this fuel have been determined by Cardinaels et al. [36]. Solid solubility of Cr<sub>2</sub>O<sub>3</sub> in UO<sub>2</sub> is discussed in [27, 34, 36].

In table 1, we have listed some metal oxides used or experimented with as fuel additives in thermal reactors. A combination of these oxides, e.g. Al<sub>2</sub>O<sub>3</sub>-Cr<sub>2</sub>O<sub>3</sub> also have been used in UO<sub>2</sub>. Included in the table are the values for the thermal neutron capture cross-section ( $\sigma_{ab}^{th}$ ) for the additive elements. It is seen that Al and Mg will have the best neutronic performance (i.e. lowest  $\sigma_{ab}^{th}$ ), whereas La, Ti and V are the poorest in this respect. Table 2 gives typical fuel elemental composition for a 0.16 wt% Cr<sub>2</sub>O<sub>3</sub>-doped UO<sub>2</sub> and that of two variants of "pure" or standard UO<sub>2</sub> fuel.

Table 1: Oxides and their base metals used as additives in UO<sub>2</sub> fuel [26].

Base element	Al	Ca	Cr	La	Mg	Nb	Si	Ti	V
Atomic mass	26.98	40.08	51.996	138.91	24.31	92.91	28.09	47.88	50.94
$\sigma_{ab}^{th}$ (barns)	0.23	0.43	3.1	8.9	0.064	1.15	0.16	6.1	5.06
Major oxide	Al <sub>2</sub> O <sub>3</sub>	CaO	Cr <sub>2</sub> O <sub>3</sub>	La <sub>2</sub> O <sub>3</sub>	MgO	Nb <sub>2</sub> O <sub>5</sub>	SiO <sub>2</sub>	TiO <sub>2</sub>	V <sub>2</sub> O <sub>5</sub>

$\sigma_{ab}^{th}$ : Thermal neutron capture cross-section.

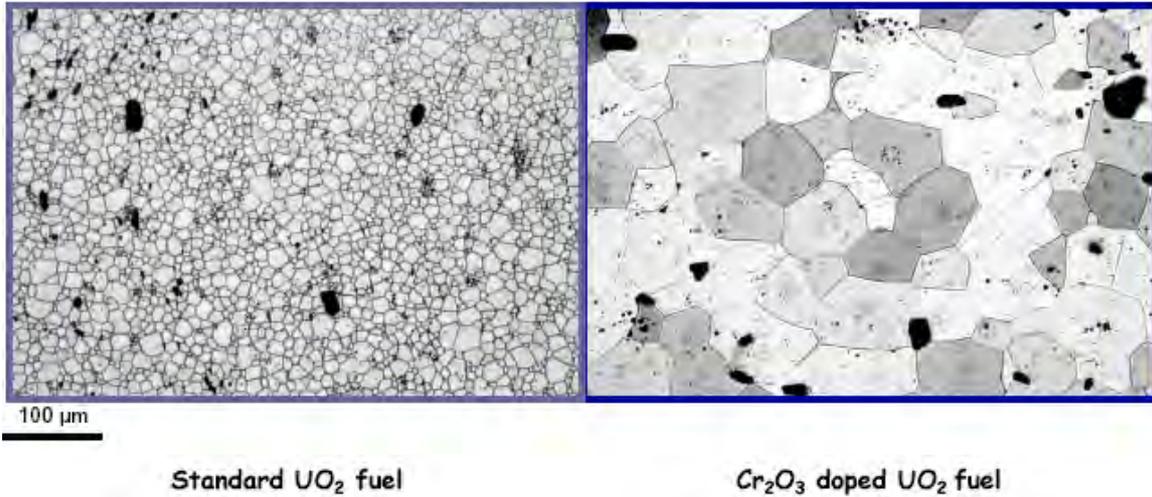


Figure 1: Micrographs of AREVA NP Cr<sub>2</sub>O<sub>3</sub>-doped (grain size 60 μm) and standard UO<sub>2</sub> (grain size 8 μm) fuels; from Delafoy et al. [18, 33].

Table 2: Typical UO<sub>2</sub>-base fuel elemental composition (wppm).

Dopant [Ref.]	Cr <sub>2</sub> O <sub>3</sub> [37]	Undoped [37]	Undoped [31]
Grain size (μm)	70	11	8
Fuel density (%TD)	95.97	96.26	97.83
Al	6	8	<10
B	0.1	0.1	0.15
C	5	5	200
Ca	5	5	<5
Cd	0.2	0.2	<0.2
Cr	<b>1079</b>	5	<5
Cl	3	3	...
F	3	3	<5
Fe	10	10	40
Mg	0.5	0.5	<1
N	10	10	...
Ni	2	2	10
Si	4	4	<10
W	0.5	0.5	...

TD: Theoretical density of UO<sub>2</sub> = 10.97 g/cm<sup>3</sup> [26].

Radford and Pope [31] compared the effect of addition of oxides of titanium, niobium, vanadium, barium and Ti-Ba at different levels, ranging from 0.05 to 1.66 mol% metal, to the UO<sub>2</sub> powder characterized in the far right column of table 2. These elements all suppressed the density during the initial sintering below about 1200°C followed by enhancing the density at intermediate temperatures (1200-1400°C). At higher levels of concentrations, especially for Ti and Ca-Ti, a pronounced sweeping of the fine pores (< 2μm) was observed [31]. The grain size was increased with the level of the additives, figure 2.

So, additives affect physical properties of UO<sub>2</sub>. They influence fuel thermodynamics and the kinetic processes involved during fabrication and reactor operation. This is due to restructuring of point defects and defect processes in UO<sub>2</sub>. Uranium dioxide has a face-

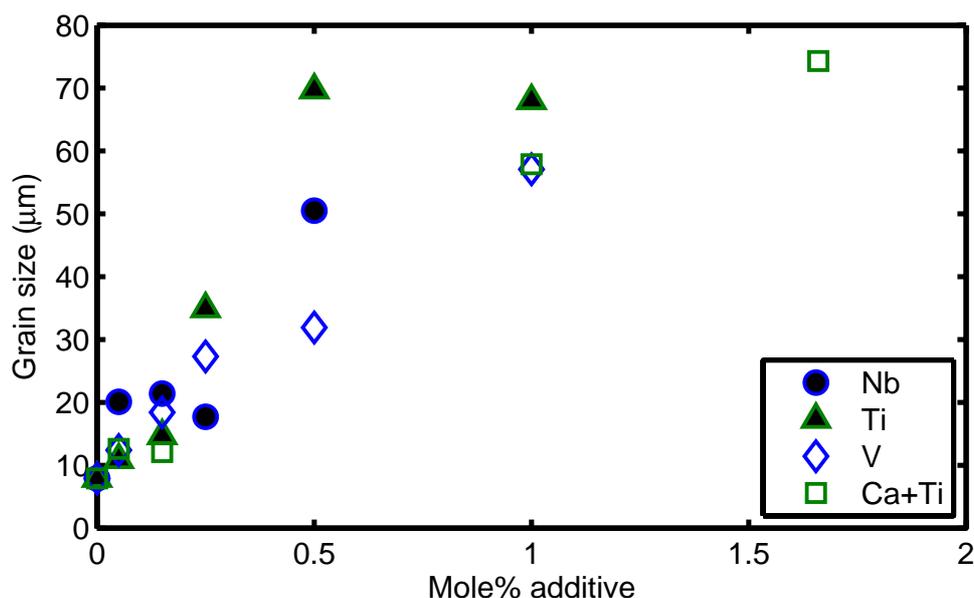


Figure 2: Grain size versus concentration level of additive metal after Radford and Pope [31]; Nb and Ti more strongly affect grain size than V or Ca+Ti.

centered cubic (fcc) crystal with fluorite structure named after the compound  $\text{CaF}_2$ . The unit cell contains four molecules of  $\text{UO}_2$ . It is face-centered with respect to the uranium ions, which occupy the octahedral positions  $(0,0,0)$ ,  $(1/2,1/2,0)$ ,  $(1/2,0,1/2)$  and  $(0,1/2,1/2)$ , whereas the oxygen ions occupy the  $(1/4,1/4,1/4)$  and its equivalent positions (tetrahedrally coordinated by uranium). Interstitial ions may be accommodated at octahedral vacant sites [38].

The  $\text{UO}_2$  fuel can also readily take up oxygen interstitially to form hyperstoichiometric  $\text{UO}_{2+x}$ , where  $x$  can range as high as 0.25 at high temperatures;  $\text{U}_4\text{O}_9$  will precipitate out as the temperature is lowered. Hypostoichiometric uranium dioxide  $\text{UO}_{2-x}$  form under low partial pressures of oxygen at high temperatures. They revert to stoichiometric  $\text{UO}_2$  and precipitate metallic U upon cooling [30]. The properties of the uranium dioxide phase strongly vary as a function of the oxygen to metal uranium atom ratio (O/U). The variation of the chemical potential of oxygen  $\mu_{\text{O}}$  with the O/U ratio is very distinct. It reflects the equilibrium between oxygen in the crystal lattice and the gas phase. In the hypostoichiometric domain,  $\mu_{\text{O}}$  is relatively low, that is, the oxygen is strongly bonded in the lattice. Whereas in the hyperstoichiometric domain  $\mu_{\text{O}}$  is much higher, since the bonding of the  $\text{O}^{2-}$  ions in the interstitial sites is relatively weak. The variation of  $\mu_{\text{O}}$  data as a function of O/U ratio and temperature is related to the evolution of the defect concentration in the crystal. Various suggestions for the defect chemistry in  $\text{UO}_{2\pm x}$  have been presented but are still subject of dispute [38].

In addition to point defects such as cation and anion vacancies and interstitials, the combination of these point defects is also of importance, especially under irradiation. Such defects include the oxygen Frenkel pair, uranium Frenkel pair, the uranium-oxygen divacancy pair, Schottky defect (one U and two O vacancies separated), and the bound-Schottky trivacancy; see Liu et al. for illustrations [39].<sup>1</sup>

Regarding the effect of additive  $\text{Nb}_2\text{O}_5$  on the point defect structure of  $\text{UO}_2$ , Matsui and Naito's experimental results [40] indicate that for the same  $\mu_{\text{O}}$ , the O/M ratio for  $\text{Nb}_2\text{O}_5$ -

doped  $\text{UO}_2$ , is larger than that for undoped, implying that the concentrations of oxygen interstitials and cation (U) vacancies are increased by  $\text{Nb}_2\text{O}_5$  addition. This nonstoichiometric effect on defect structure may be responsible for the augmentations of the diffusion coefficients of cations and fission gas (see section 4) due to  $\text{Nb}_2\text{O}_5$  doping. The enhancement of the cation diffusion by addition of  $\text{Nb}_2\text{O}_5$  is generally explained by the following defect structure [41]: Higher valent  $\text{Nb}^{5+}$  ions, substituting for the  $\text{U}^{4+}$  ions in the  $\text{UO}_2$  lattice, impart an effective positive charge to the lattice. This should increase the concentration of oxygen interstitials and decrease that of oxygen vacancies, thereby increasing the concentration of cation vacancies through the Schottky defects in equilibrium. The increase in the concentrations of cation vacancies and oxygen interstitials is expected to increase the diffusivities of cation and fission gas. Moreover, the enhanced cation diffusion would increase the creep and grain growth rates.

As regards the effect of  $\text{Cr}_2\text{O}_3$  dopant on the point defect structure of  $\text{UO}_2$ , Kashibe and Une [11] assumed that Cr atoms enter interstitial sites in the  $\text{UO}_2$  lattice and are ionized to a trivalency of +3. Their thermodynamic analysis [11] shows that for slightly hyperstoichiometric  $(\text{U,Cr})\text{O}_{1+x}$ , in equilibrium, the uranium vacancy concentration is proportional to the square of  $\text{Cr}^{3+}$  concentration. Thus, by dissolving  $\text{Cr}^{3+}$  ions into the  $\text{UO}_2$  lattice, it is expected that the concentrations of cation vacancies and oxygen interstitials increase, thereby both cation and fission gas diffusivity would increase. However, author's generic thermodynamic calculations for trivalent dopants ( $\text{M}_2\text{O}_3$ ) show that for hypostoichiometric  $(\text{U,M})\text{O}_{1-x}$ , when  $\text{M}^{3+}$  ions substitute for  $\text{U}^{4+}$  ions in  $\text{UO}_2$ , this has the opposite effect. That is, oxygen vacancies increase while oxygen interstitials decrease with  $\text{M}_2\text{O}_3$  concentration [42]; see section 4.3 for concrete examples.

Before closing this section, we should note that for a dopant to be an effective grain growth promoter, i.e. to enhance self diffusion, it should be in solid solution at the applicable sintering condition. For example, for the dopant  $\text{Nb}_2\text{O}_5$  in  $\text{UO}_2$  at the sintering temperature of  $1700^\circ\text{C}$  and  $\mu_{\text{O}_2}$  between  $-420$  and  $-470$  kJ/mol $\text{O}_2$ , the solubility limit is estimated to be about 0.4 wt% [43]. Beyond that limit, the second phase with composition close to  $\text{Nb}_2\text{UO}_6$  has been observed at grain boundaries of the fuel [43]. For  $\text{Cr}_2\text{O}_3$  in  $\text{UO}_2$ , Leenaers et al. [35] using electron probe microanalysis (EPMA) have found that for specimens sintered at  $1600^\circ\text{C}$  ( $\mu_{\text{O}_2} = -370$  kJ/mol $\text{O}_2$ ),  $1660^\circ\text{C}$  ( $\mu_{\text{O}_2} = -370$  kJ/mol $\text{O}_2$ ),  $1760^\circ\text{C}$  ( $\mu_{\text{O}_2} = -360$ ,  $\mu_{\text{O}_2} = -390$  kJ/mol $\text{O}_2$ ), the solubility limits for  $\text{Cr}_2\text{O}_3$  are 0.095, 0.126 and 0.149 wt%, respectively.

### 3 Thermophysical properties

In this section some important solid-state physical properties of doped  $\text{UO}_2$ , affecting nuclear reactor fuel behavior, are appraised. The properties comprise enthalpy, heat capacity, thermal expansion, and thermal conductivity. We assess the influence of trivalent ions, e.g.  $\text{Cr}^{3+}$  added as  $\text{Cr}_2\text{O}_3$  or generically  $\text{M}_2\text{O}_3$  to  $\text{UO}_2$ , on these properties. We also review the thermal conductivity of magnesium doped  $\text{UO}_2$  fuel which is available in the literature.

We are interested in relationships or data that describe the temperature and doping concentration dependence of the aforementioned quantities. However, we could not find such relationships or data systematically, except for  $\text{MgO}$  additive, in the literature. It is usually stated that the thermophysical behavior for doped and pure  $\text{UO}_2$  are the same or similar and hence the same model correlations can be used for both fuel types irrespective of the dopant concentration [17, 33, 44]. The doping concentrations utilized by the fabricators in the form of  $\text{M}_2\text{O}_3$  usually vary between 500 and 2000 wppm. Because, strictly speaking, such dopants, even in small amount, affect the properties of interest, we have used generic relationships for trivalent oxides added to uranium oxide to calculate its effect as a function of temperature. In particular, relationships that describe  $\text{UO}_2$  alloyed with  $\text{Gd}_2\text{O}_3$  are selected as our platform for  $\text{M}_2\text{O}_3$  additives, since they are well established with ample experimental basis; however, we account and/or point out the differences between  $\text{Gd}_2\text{O}_3$  and  $\text{Cr}_2\text{O}_3$  or any other trivalent oxide additive compounds.

#### 3.1 Enthalpy and heat capacity

Fuel enthalpy  $H_p$  and its derivative with respect to temperature, the heat capacity or specific heat, are key fuel behavior parameters for reactor safety analysis. For example, the heat capacity of fuel affects the Doppler feedback during a reactor excursion, since it is the heat capacity that determines fuel temperature during an excursion: the higher is the temperature, the larger is the Doppler feedback and the larger reduction in the associated fuel reactivity. In fact, regarding the sensitivity of excursion yields on fuel parameters, the heat capacity,  $C_p$ , is considered to be the most important through its effect on the value of the Doppler constant [45].

From room temperature to 1000 K, the increase in heat capacity is governed by the harmonic lattice vibrations or phonons, which may be described by a Debye model [46, 47]. The Debye temperature  $\Theta_D$  of  $\text{UO}_2$  in the temperature range 300-1000 K is less than 600 K, hence the Debye function is almost unity by  $T > 1000$  K, where harmonic  $C_p$  reaches an asymptotic limit. Also, a minor contribution to heat capacity is provided by thermal excitation of localized electrons of  $\text{U}^{4+}$ , i.e.  $(5f)^2$  electrons in the crystal field (CF) levels. At low temperatures, this contribution is  $\propto T$ , while, at high temperatures, where the concentration of  $\text{U}^{4+}$  decreases via  $\text{U}^{4+} \rightarrow \text{U}^{3+} + \text{U}^{5+}$ ,  $C_p$  becomes virtually independent of temperature [46].

Between 1000 and 1500 K, the heat capacity increase arises from the anharmonicity of the lattice vibrations as reflected in thermal expansion. From 1500 to 2670 K ( $= T_c$ : the critical temperature<sup>2</sup>), an anomalous exponential rise in enthalpy  $H_p$  and the associated  $C_p$  is observed; see, e.g. the forthcoming figure 7. This is attributed to the formation of lattice and electronic defects. The  $C_p$  peak measured at  $T_c \approx 0.8T_{\text{melt}}$  is similar to that observed in ionic fluorides, which exhibit a superionic second-order (or  $\lambda$ ) phase transition to a disordered state prior to melting [48, 49]. The main contributor to this thermodynamic anomaly seems to be the buildup of Frenkel defects in the crystalline structure [50, 51]. For

$T_c < T < T_{\text{melt}}$ , the Frenkel defect concentration becomes saturated and Schottky defects become important. From  $T_c$  to  $T_{\text{melt}}$ ,  $C_p$  is characterized by a steep descending wing of the transition peak, due to the rapid saturation of the defect concentration, anion disordered phase, followed by a weakly increasing stage caused by the creation of more energetic atomic defects (UO<sub>2</sub> Schottky trios) [46].

The question is how and to what degree the introduction of trivalent oxides in UO<sub>2</sub> would affect the different stages of  $C_p$  versus  $T$  curve? As noted in the foregoing section, introducing a trivalent doping element such as Cr, Gd, La, Al, etc. in UO<sub>2</sub> leads to formation of Frenkel pairs of oxygen. The concentration of these Frenkel pairs ( $x$ ) can be estimated from a generic formula derived years ago by Szwarc [52] by thermodynamic analysis, namely

$$x = \sqrt{2} \exp\left(\frac{\Delta S_f}{2R}\right) \exp\left(-\frac{\Delta H_f}{2RT}\right), \quad (1)$$

where  $\Delta S_f$  and  $\Delta H_f$  are the entropy and enthalpy of formation per Frenkel pair and other symbols have their usual meanings. Now the anomalous increase in the heat capacity can be quantified by an excess (extra) heat capacity term accounting for the formation of the Frenkel pairs of oxygen

$$\begin{aligned} \Delta C_p &= \frac{d(x\Delta H_f)}{dT} \\ &= \frac{(\Delta H_f)^2}{\sqrt{2}RT^2} \exp\left(\frac{\Delta S_f T - \Delta H_f}{2RT}\right). \end{aligned} \quad (2)$$

The total heat capacity is then written

$$C_p = C_{p0} + \Delta C_p, \quad (3)$$

where  $C_{p0}$  is the heat capacity resulting from contributions of phonons (lattice vibrations), electrons and the Schottky defects.

Both  $\Delta S_f$  and  $\Delta H_f$  are decreasing functions of the additive concentration, as can be seen from figures 3-4. These figures are based on various experimental data put together by Matsui et al. [53], here averaged, to show the trend of the enthalpy and entropy of the Frenkel oxygen pair formation with the content of different dopants (M = Y, Gd, La, Sc, Eu) in UO<sub>2</sub>.

From second-degree polynomial curve fits to these data (Appendix A), we have used equation (2) to calculate the excess heat capacity as a function of the cation content at several temperatures, figure 5. It is seen that the excess heat capacity is an increasing function of temperature and the cation content in UO<sub>2</sub>, however, at high contents it levels off.

Matsui and colleagues also found that the onset temperature of the heat capacity anomaly decreases with the dopant content. This was especially distinct for Gd dopant as indicated in an earlier paper by Naito [54], figure 6.

Let's next calculate the total heat capacity  $C_p$  according to equation (3) using for  $C_{p0}$  relationships based on the data by Inaba et al. [55] on U<sub>1-y</sub>Gd<sub>y</sub>O<sub>2</sub>; also appraised in [56] and listed in Appendix A. The results of calculations as a function of temperature for several (low) concentrations of dopant, related to the weights of Cr<sub>2</sub>O<sub>3</sub> in UO<sub>2</sub>, are plotted in figure 7. It is seen that for temperatures less than 1600 K, the results are almost identical. For  $T \geq 1600$  there is an increase in heat capacity with an increase in dopant concentration, but the deviations are insignificant in the range of concentrations considered. For example, the maximum deviation in heat capacity from "pure" UO<sub>2</sub> to UO<sub>2</sub> + 0.24 wt% additive is

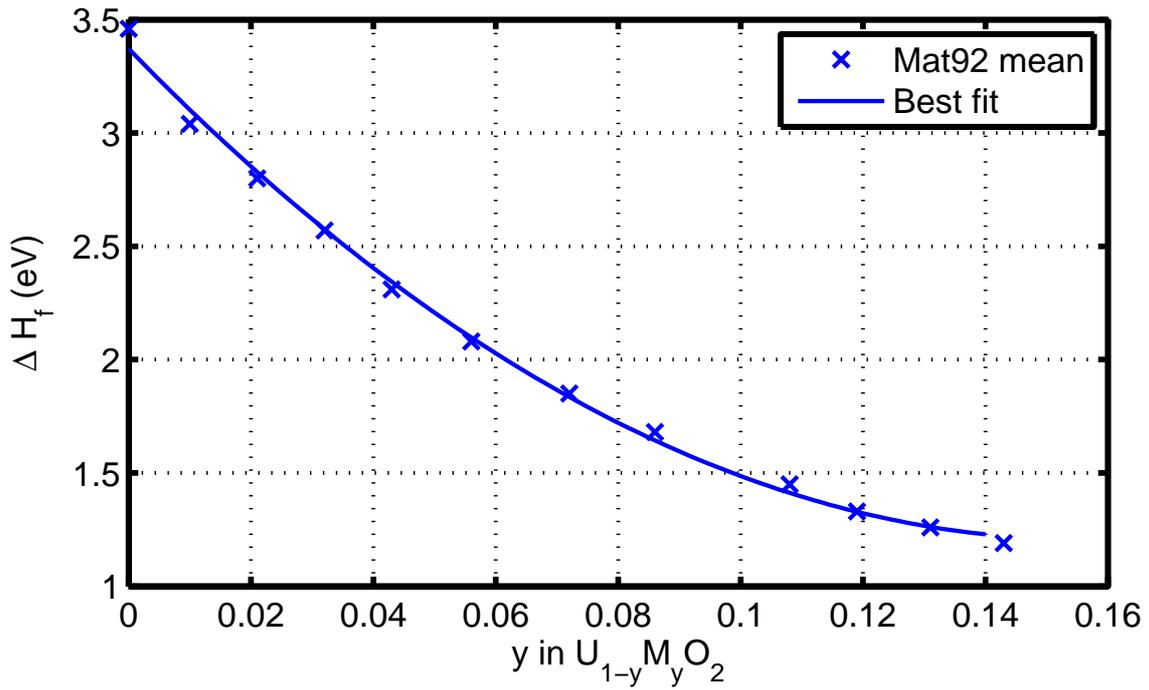


Figure 3: Enthalpy of Frenkel pair formation as a function of the dopant (M) content  $y$  in  $UO_2$  based on data presented in Mat92 [53].

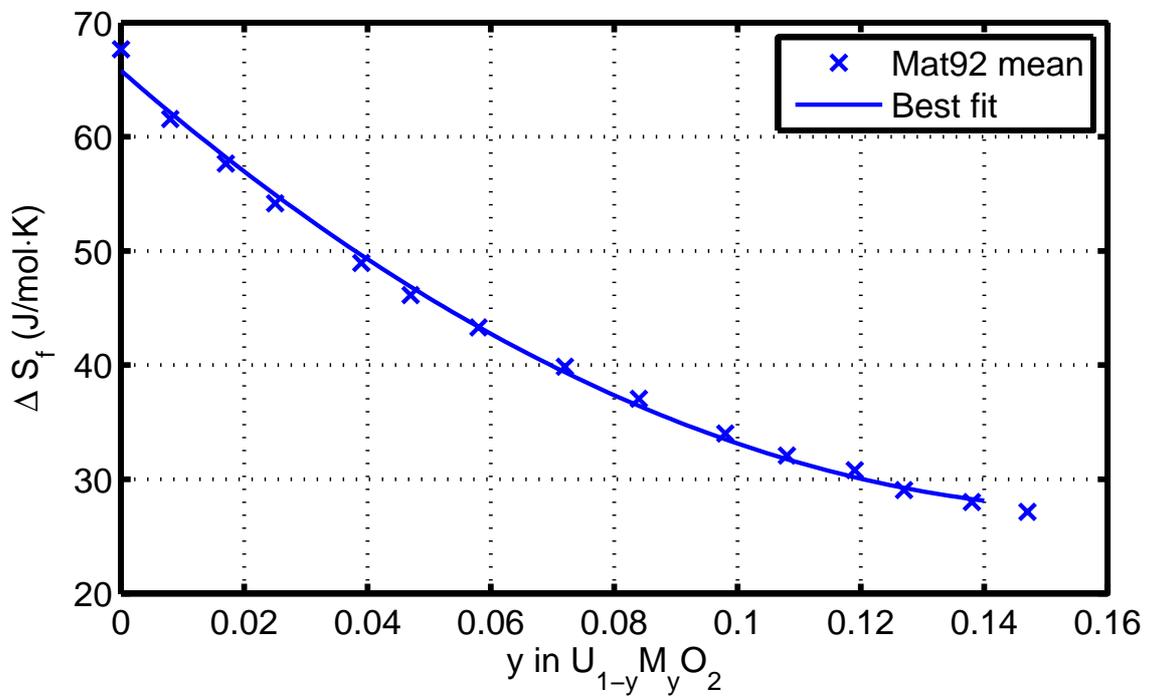


Figure 4: Entropy of Frenkel pair formation as a function of the dopant (M) content  $y$  in  $UO_2$  based on data presented in Mat92 [53].

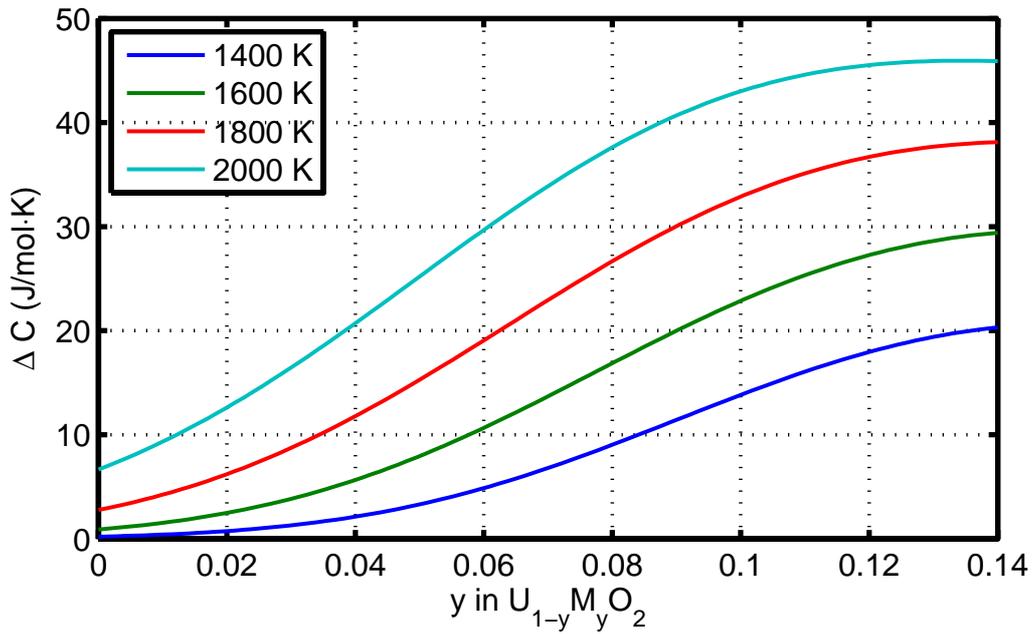


Figure 5: Excess heat capacity due to the Frenkel pair formation as a function of the dopant (M) content  $y$  in  $UO_2$  based on data presented in figures 3-4 and equation (2).

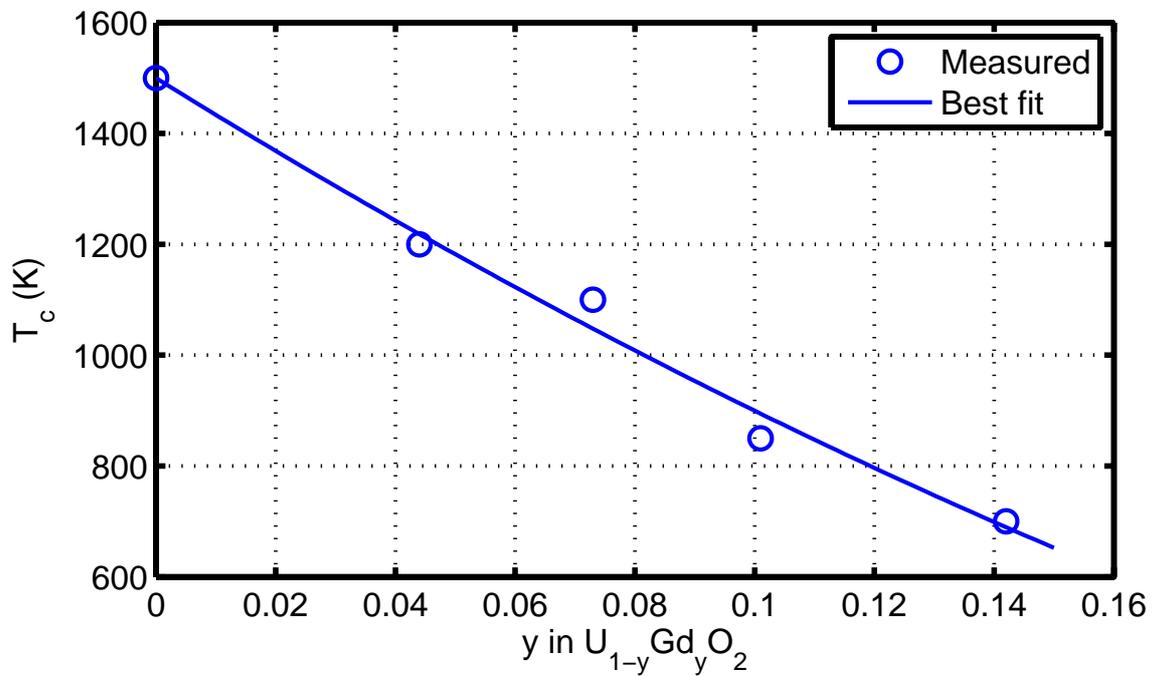


Figure 6: Temperature onset for heat capacity anomaly  $T_c$  as a function of the dopant (Gd) content  $y$  in  $UO_2$  based on the data presented by Naito [54].

about 1.5% at 2200 K. Regarding fuel enthalpy, relative to the enthalpy at room temperature, for the considered dopant concentrations and temperature range, the calculated values are indistinguishable from that of pure  $\text{UO}_2$ .

### 3.2 Thermal expansion

The coefficient of thermal expansion ( $\alpha$ ) for an isotropic solid such as  $\text{UO}_2$  is defined as

$$\alpha = \frac{1}{L} \left( \frac{\partial L}{\partial T} \right)_P = \frac{1}{3V} \left( \frac{\partial V}{\partial T} \right)_P = \frac{1}{3B} \left( \frac{\partial P}{\partial T} \right)_V, \quad (4)$$

where  $L$  is the linear dimension of the crystal,  $V$  its volume, and  $P$  the applied pressure. Here,  $B = -V(\partial P/\partial V)_T$  is the bulk modulus.

Thermal expansion data on doped  $\text{UO}_2$  (except mixed with  $\text{Gd}_2\text{O}_3$ ) are virtually non-existent. Arborelius et al. [17] mention that  $\text{UO}_2$  mixed with 0.1 wt%  $\text{Cr}_2\text{O}_3$  exhibits the same behavior as  $\text{UO}_2$  in the temperature range 293 to 1773 K. Here, we apply the empirical correlation for  $(\text{U,Gd})\text{O}_2$  [56] based on the data of Une [57] to the dopant contents of interest, see Appendix A. The results in the temperature range of 300 to 2400 K indicate that up to the dopant concentration of 0.5 wt%, the deviations in thermal expansion of doped  $\text{UO}_2$  from that of pure  $\text{UO}_2$  is insignificant. Figure 8 shows this deviation as a function of temperature relative to 0.5 wt% dopant.

We should mention that there is a thermodynamic relationship between the specific heat and the thermal expansion coefficient [58], namely

$$\alpha = \frac{\gamma C_p}{3BV_m}, \quad (5)$$

where  $\gamma$  is the Grüneisen parameter (dimensionless) and  $V_m$  the molar volume. For  $\text{UO}_2$ ,  $\gamma = 2.17$ ,  $B = 208$  GPa and  $V_m = 24.62$  cm<sup>3</sup>/mol [59]. This relationship indicates that temperature and dopant concentration dependence of the coefficient of thermal expansion follows that of the heat capacity, since  $B$  is weakly dependent on these quantities.

### 3.3 Thermal conductivity

The accommodation of trivalent oxides ( $\text{M}_2\text{O}_3$ ) in  $\text{UO}_2$  matrix distorts the lattice of the matrix locally. For example, for  $\text{M} = \text{Cr}$ , the chromium oxide,  $\text{Cr}_2\text{O}_3$  consists of the rhombohedral primitive cell, where Cr atoms are eight-coordinated with two oxygen layers. The lattice constants at room temperature for  $\text{Cr}_2\text{O}_3$  are  $a = 4.937$  Å and  $c = 3.627$  Å [60]. Conversely,  $\text{UO}_2$  has a face-centered cubic lattice with  $a = 5.458$  Å with 4 molecules per unit cell. The presence of chromium implies a strong distortion of the  $\text{UO}_2$  lattice in its surrounding and results in an increase in the population of defects. It is expected that the number of defects increases with the chromium content, so the thermal conductivity decreases with the increase in chromium content. But the rate of decrease gets smaller at higher temperatures. All this is expected to have an impact on the phonon-lattice and phonon-phonon interactions, leading to a decrease of the thermal conductivity of  $(\text{U}, \text{M})\text{O}_{2-x}$ .

Klemens's thermal conductivity model [61], which is based on the relaxation-time theory when phonon-phonon scattering and phonon-point defect scattering occur simultaneously, is suitable for the aforementioned description. According to this model, the lattice or

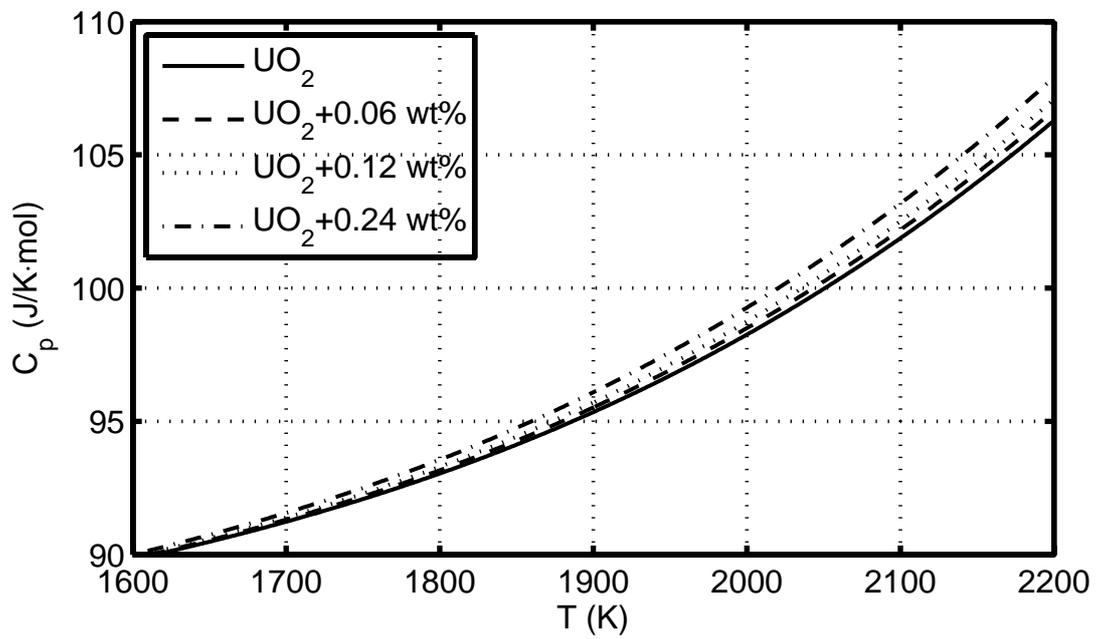
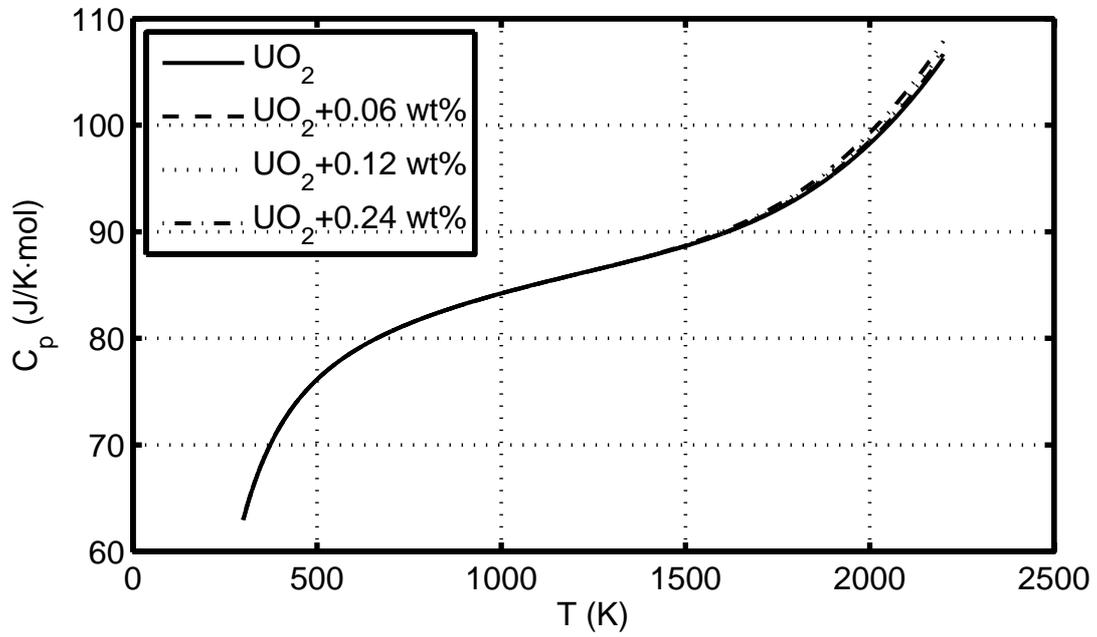


Figure 7: Heat capacity of  $UO_2$  versus temperature as a function of the dopant ( $M_2O_3$ ) mass content.

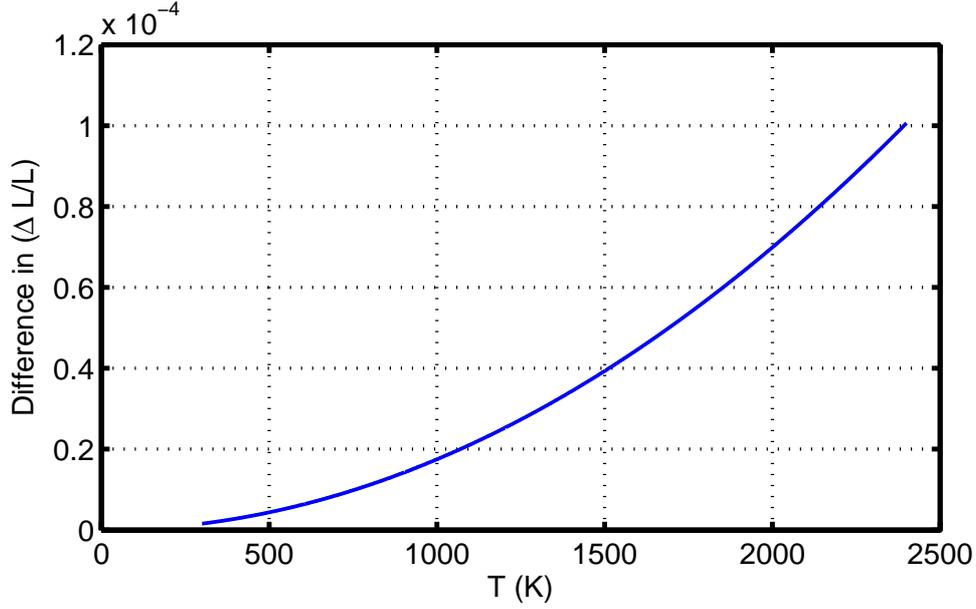


Figure 8: Calculated difference between the relative thermal expansion,  $\Delta L/L \equiv [L(T) - L(273)]/L(273)$ , of pure  $\text{UO}_2$  and 0.5 wt% doped  $\text{UO}_2$  with  $\text{M}_2\text{O}_3$ .

phonon thermal conductivity  $\lambda_p$  can be expressed by

$$\lambda_p = \frac{\lambda_0}{w} \arctan(w), \quad (6)$$

$$w = \sigma(\Gamma\lambda_0)^{1/2}, \quad (7)$$

where  $\lambda_0$  is the thermal conductivity for point defect free  $\text{UO}_2$ ,  $\sigma$  is a physical constant<sup>3</sup> and  $\Gamma$  characterizes the sum of the phonon scattering cross sections of the impurity atoms [62]; it is expressed as

$$\Gamma = \sum_i x_i \left[ \left( \frac{\Delta M_i}{M} \right)^2 + \xi \left( \frac{\Delta r_i}{r} \right)^2 \right], \quad (8)$$

where  $x_i$  is the atomic fraction of point defect  $i$ ,  $r$  the average ionic radius,  $M$  the average mass,  $\Delta r_i$  and  $\Delta M_i$  are the difference in ionic radius and mass between an impurity  $i$  and a matrix, respectively, and  $\xi = 39$  according to Abeles [62], but can be taken as an adjustable parameter.

In case of mixture of two kinds of compounds  $A$  (e.g.  $\text{UO}_2$ ) and  $B$  (e.g.  $\text{Cr}_2\text{O}_3$ ), equation (8) becomes

$$\Gamma = x(1-x) \left[ \left( \frac{\Delta M}{M} \right)^2 + \xi \left( \frac{\Delta r}{r} \right)^2 \right], \quad (9)$$

$$\Delta M = M_A - M_B, \quad (10)$$

$$\Delta r = r_A - r_B, \quad (11)$$

$$M = xM_A + (1-x)M_B. \quad (12)$$

From these relations we see that the larger is the mass (or the ionic radius) difference between the  $\text{UO}_2$  and the dopant, the larger would be  $\Gamma$ . Comparison between the values for

dopants  $\text{Cr}_2\text{O}_3$  and  $\text{Gd}_2\text{O}_3$  are listed in table 3. Hence, adding  $\text{Cr}_2\text{O}_3$  has a closer  $\lambda_p$  to  $\text{UO}_2$  than adding  $\text{Gd}_2\text{O}_3$ . A usable correlation based on equation (7) is given in Appendix A for  $\text{UO}_2$  with the additive  $\text{Gd}_2\text{O}_3$ . In addition to the phonon contribution to the thermal conductivity, there is an additive electronic term  $\lambda_e$  from the transport of heat by electrons, which is considered to be impurity (dopant) independent and it becomes effective at temperatures beyond 1800 K. Uranium dioxide, being classified as a Mott-Hubbard insulator, its  $\lambda_e$  temperature dependence is rather subtle [63, 64]. Despite this, Ronchi et al. [65] based on the theoretical analysis of Casado et al. [63] and the experimental work of Killeen [10] have obtained a usable formula for  $\lambda_e$  in the form

$$\lambda_e = \frac{A_0}{T^{3/2}} \exp(-\epsilon/k_B T) \quad (13)$$

where  $A_0$  and  $\epsilon$  are constants given in Appendix A for  $\text{UO}_2$ .

At high temperatures ( $T \geq 2000$  K), there is also radiative heat transfer due to diffusion of photons, which may contribute to the thermal conductivity of fuel. This term varies with temperature as  $\lambda_r \propto T^3$ . However, analysis by Young [66] indicates that for  $\text{UO}_2$   $\lambda_r \ll \lambda_e$ , and hence we ignore it here.

In figure 9, the thermal conductivity is plotted as a function of temperature for  $\text{UO}_2$  and doped  $\text{UO}_2$  for several concentrations of dopants. The correlation, based on the aforementioned theory, for  $(\text{U}_{1-y}, \text{Gd}_y)\text{O}_2$  is used with adjusted weights for  $\text{Gd}_2\text{O}_3$ . It is seen that as the concentration of dopant is increased, the thermal conductivity is decreased for temperatures below 800 K. Nevertheless, this decrease in thermal conductivity is insignificant for dopant concentrations up to 2000 wppm. We should mention that fuel porosity (or density) also will affect the thermal conductivity. A denser, less porous fuel gives a higher thermal conductivity than a lighter one. The applied thermal conductivity correlation is listed in Appendix A.

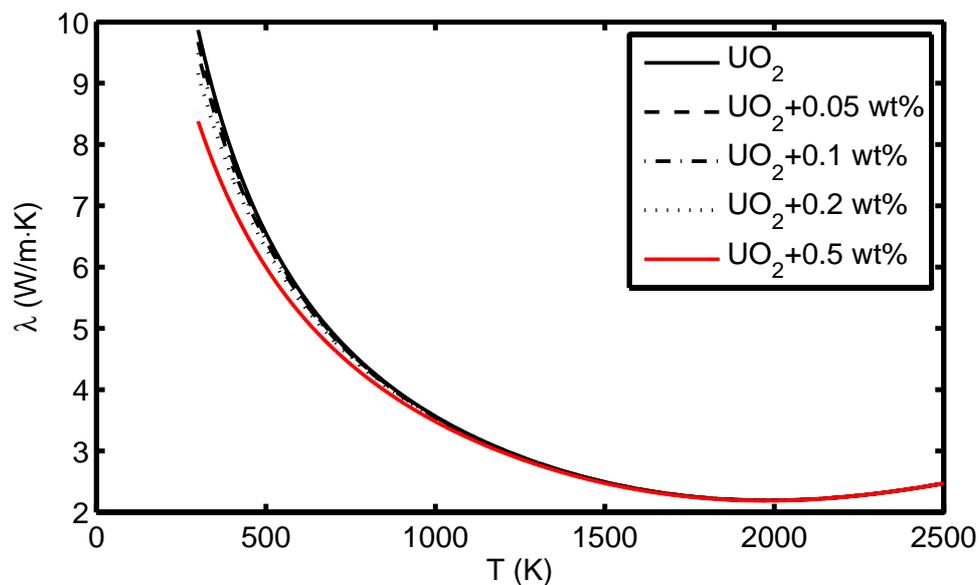


Figure 9: Calculated thermal conductivity  $\lambda$  of  $\text{UO}_2$  versus temperature as a function of dopant ( $\text{M}_2\text{O}_3$ ) mass content, see Appendix A.

Table 3: Mass and ionic radius difference between  $\text{UO}_2$  and dopants calculated according to eqs.(10)-(12) for  $x = 0.998$ , see e.g. [26].

Formula	$M_i$	$\Delta M/M$	Ion	$r_i$ (Å)	$\Delta r/r$
$\text{UO}_2$	270.07	...	$\text{U}^{4+}$	0.93	...
$\text{Cr}_2\text{O}_3$	152.02	0.437	$\text{Cr}^{3+}$	0.64	0.312
$\text{Gd}_2\text{O}_3$	362.50	-0.342	$\text{Gd}^{3+}$	0.94	-0.011

We mention next the thermal conductivity of magnesium doped  $\text{UO}_2$  determined by Fujino et al. [67] as a function of temperature for Mg concentrations of 0, 5, and 15 at.%. Fujino et al. [67], within a large program on irradiation behavior of Mg doped (and also Mg-Nb doped and Ti doped)  $\text{UO}_2$ , made thermal diffusivity measurements of the unirradiated and irradiated fuel pellets. They used laser-flash method for that purpose. The temperature was measured by In-Sb infrared detector. Measurements were made at every 200 K from 473 to 1673 K.

The thermal conductivity is the product of thermal diffusivity and heat capacity. In more detail,  $\lambda = \nu\rho C_p$ , where  $\nu$  is thermal diffusivity,  $\rho$  the bulk density and  $C_p$  the heat capacity of the specimen. The heat capacity was not measured by Fujino and colleagues but calculated (approximately) by combining that of MgO and  $\text{UO}_2$  using a mixing rule

$$C_p(\text{Mg}_y\text{U}_{1-y}\text{O}_{2-y}) = yC_p(\text{MgO}) + (1 - y)C_p(\text{UO}_2), \quad (14)$$

where separate heat capacity data for MgO and  $\text{UO}_2$  were used from the literature [67]. Based on these measurements and calculations, a relationship for thermal conductivity (phonon contribution) as a function of temperature and Mg concentration in  $\text{UO}_2$  was established (Appendix A). Figure 10 depicts this correlation for unirradiated samples ( $\text{UO}_2$  with 96%TD) for several Mg concentrations. It is seen that the conductivity first decreases with Mg concentrations up to 5 at% Mg- $\text{UO}_2$ , then raises again and exceeds that of  $\text{UO}_2$  at 15 at% Mg- $\text{UO}_2$ . The irradiation (burnup) reduces the thermal conductivity in a usual way, e.g. see [68]. It can be argued that at low Mg concentrations, the thermal conductivity is reduced by phonon-impurity scattering, while at higher Mg concentrations, samples are largely composed of MgO and  $\text{UO}_2$ , and the former compound has a higher thermal conductivity than the latter.

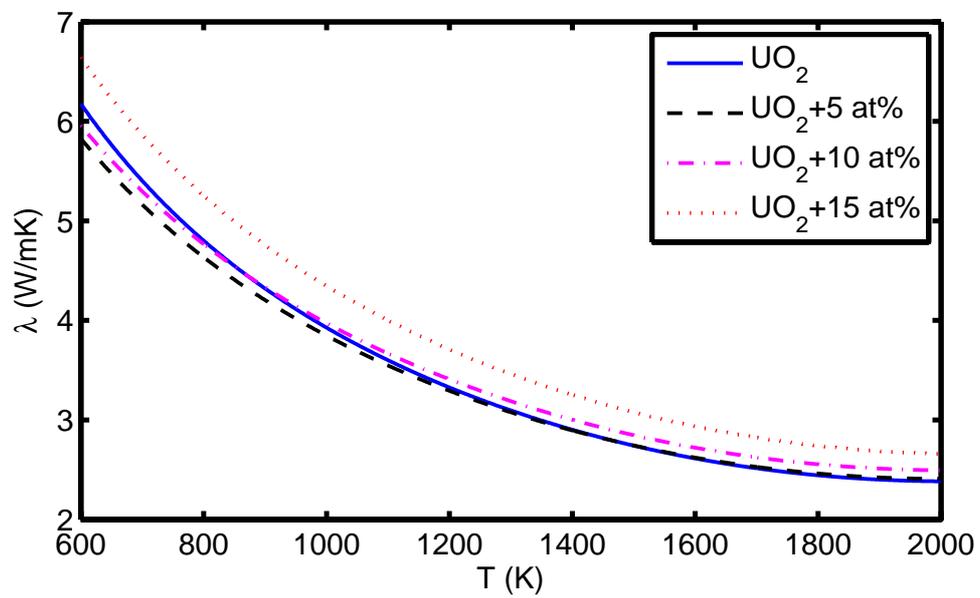


Figure 10: Calculated thermal conductivity  $\lambda$  versus temperature of  $\text{UO}_2$  as a function of dopant (Mg) content, see Appendix A.

## 4 Fission gas behavior

Fission product gases Xe and Kr comprise roughly 13% of the fission products, and are insoluble in  $\text{UO}_2$  fuel [30, 69]. At reactor operating temperatures, the gases migrate to fuel grain boundaries, dislocation loops or preexisting pores where they aggregate into bubbles. A portion of these gases, primarily through the grain boundary gas bubble interlinkage, escape to free surfaces of the fuel [70]. The amount of fission gas released depends crucially on the operating conditions (linear power density and fuel burnup) and is a subtle and important part of the fuel rod design. Nevertheless, due to modest power ratings and restriction on linear heat generation rate (LHGR) versus fuel burnup (thermal-mechanical operating limit), most  $\text{UO}_2$  fuel in LWR core retain 95% and more of its gas. As pointed out by Lassmann and Benk [71] the fission gas behavior needs to be embraced in fuel rod analysis because:

- The fission gases xenon and krypton degrade the thermal conductivity of the backed-filled helium gas inside the fuel rod, decreasing the gap conductance and thereby elevating fuel temperatures. Enhanced fuel temperatures may further increase fission gas release and may even initiate an unstable process called "thermal feedback."
- The release of fission gases increases the rod internal pressure. This pressure increase may limit the design life of a fuel rod since the inner pressure should not exceed a prescribed pressure.
- The swelling due to gaseous fission products may lead to enhanced pellet-cladding mechanical interaction, especially during anticipated or postulated reactor transients, which may cause fuel cladding failure.
- The release of radioactive gases from  $\text{UO}_2$  to the free volume of fuel rod would decrease the safety margin of a nuclear plant. In this regard, the nuclear fuel matrix is considered as the first barrier to the release of radioactive fission products [72].

Hence, an assessment of fission gas behavior in various  $\text{UO}_2$  doped fuels is prudent and indispensable. Since the concentrations of dopants are usually quite low in  $\text{UO}_2$ , the main effect of additives is on the fission gas diffusivity and the fuel grain size, which in turn affect gas release [6].

In this section, we first assess fission gas diffusivity data reported in the literature for some  $\text{UO}_2$  doped fuels, where appropriate correlations are compared against those for undoped  $\text{UO}_2$  as a function of temperature. Next, these correlations are used in a fission gas release and a gaseous swelling model to evaluate gas release and fuel swelling as a function of irradiation time at different constant fuel temperatures. Moreover, the effect of grain size is studied. The considered additives include  $\text{Cr}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ , and  $\text{Nb}_2\text{O}_5$ .

### 4.1 Fission gas diffusivity in $\text{UO}_2$ -base fuels

As noted in section 3, additives alter the stoichiometry or the oxygen to uranium ratio of  $\text{UO}_2$  fuel. The effect of the O/U ratio on the fission gas diffusion has been studied and assessed by a number of investigators in the past, see e.g. [25, 73–75] and references therein. They indicated that the fission gas release rate from hyperstoichiometric  $\text{UO}_{2+x}$  is higher than that from stoichiometric  $\text{UO}_2$ . On the other hand, the gas diffusion coefficient is lowered in hypostoichiometric  $\text{UO}_{2-x}$  relative to  $\text{UO}_2$ .

The effect of additives on diffusion coefficient of xenon has been investigated by Matzke [2, 25, 76] and Long et al. [77]. Matzke obtained gas release curves of radioactive xenon from  $\text{UO}_2$ , doped with 0.1 mole%  $\text{Nb}_2\text{O}_5$ ,  $\text{Y}_2\text{O}_3$ ,  $\text{La}_2\text{O}_3$  or  $\text{TiO}_2$ . The xenon was introduced by ion bombardment technique and reactor irradiation. Also, he obtained the uranium self-diffusion coefficients in the same specimens. The fission gas release data were obtained following a short reactor irradiation at elevated temperatures after a fast release (burst) within the first few minutes. The cumulative gas release fraction increased linearly with the square-root of time or  $t^{1/2}$ . This part of the release was used to evaluate the diffusion coefficients (see below). Matzke's results are summarized in table 4. From the fact that the doping did not affect appreciably the xenon release in the specimens at low doses,  $5 \times 10^{20}$  fissions/ $\text{m}^3$ , whereas it greatly enhanced the uranium diffusion, Matzke concluded that xenon does not diffuse in uranium or oxygen vacancies.

Table 4: Ratio of Xe diffusivity in fuel containing 0.1 mol% additives to that for pure  $\text{UO}_2$  determined upon irradiation to low dose; from Matzke [76].

Fuel Oxide	Fission density fissions/ $\text{m}^3$	Temperature	
		1400°C	1550°C
$\text{UO}_2+\text{Nb}_2\text{O}_5$	$5 \times 10^{20}$	0.32	1.29
$\text{UO}_2+\text{Y}_2\text{O}_3$	$5 \times 10^{20}$	0.79	1.00
$\text{UO}_2+\text{La}_2\text{O}_3$	$5 \times 10^{20}$	0.37	0.93

Experiments by Long et al. [77] indicated that the diffusion coefficient of xenon from  $\text{UO}_2$  doped with 10-30 mol%  $\text{Y}_2\text{O}_3$  appeared to be about 20-50 times larger than that from undoped  $\text{UO}_2$ . MacDonald [78] and Killeen [6, 79] indicated no reduction in the fission gas release rate for the large grain  $\text{UO}_2$  fuels (grain size: 50-100  $\mu\text{m}$ ) doped with 0.1 wt%  $\text{TiO}_2$ , 0.4 wt%  $\text{Nb}_2\text{O}_5$ , or 0.5 wt%  $\text{Cr}_2\text{O}_3$ , when compared to undoped fuels (grain size: < 10  $\mu\text{m}$ ). To clarify these results, these workers suggest enhanced fission gas diffusions in the doped fuels. Nevertheless, it is believed that at higher fuel burnups, the diffusion coefficient of the fission gases in additive fuel may become similar to that in undoped  $\text{UO}_2$ , since solid fission products soluble in the  $\text{UO}_2$  lattice such as rare earth elements and zirconium are accumulated in higher concentrations than in initial additive concentrations.

Nonetheless, since none of these past experiments were conducted at controlled oxygen potentials, no definite conclusions on the effect of additives on fission gas behavior could be drawn. For this reason, a new set of more careful experiments were conducted in Japan by Une and his coworkers to quantify the effect of additives and the oxygen potential on fission gas diffusion and release in and from  $\text{UO}_2$  fuel [5, 11, 41].

#### 4.1.1 Specimens

In the first series of  $^{133}\text{Xe}$  diffusivity measurements (1987), Une and company [41] prepared specimens by mixing 0.5 wt%  $\text{Nb}_2\text{O}_5$  and 0.20 wt%  $\text{TiO}_2$  with  $\text{UO}_2$  powder, followed by pressing and sintering in hydrogen gas at 1750°C for 8 h for the  $\text{Nb}_2\text{O}_5$  mixture and at 1700°C for 2 h for the  $\text{TiO}_2$  mixture. Undoped  $\text{UO}_2$  pellets were sintered in  $\text{H}_2$  at 1700°C for 2 h. In the subsequent 1998 tests, Kashibe and Une [11] studied the effect of additives,  $\text{Cr}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{SiO}_2$  and  $\text{MgO}$ , on diffusive release of  $^{133}\text{Xe}$  from  $\text{UO}_2$  fuel. In the 1998 measurements, Kashibe and Une sintered the undoped and ( $\text{Cr}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$ )-mixed  $\text{UO}_2$  compacts in hydrogen at 1750°C for 2 h. Whereas, they sintered the  $\text{MgO}$ -mixed

UO<sub>2</sub> compact in argon at 1660°C for 2 h to form a (U,Mg)O<sub>2</sub> solid solution with good homogeneity. Then they annealed it in a slightly oxidizing atmosphere of wet N<sub>2</sub>+8%H<sub>2</sub> at 1660°C for 2 h and finally, in a reducing atmosphere of dry N<sub>2</sub>+8%H<sub>2</sub> at 1660°C for 2 h to precipitate MgO particles of nanometer size in the UO<sub>2</sub> matrix. In table 5, we summarize the basic material characteristics of these specimens including their Booth equivalent sphere radius  $a_e$ ; cf. Sec. 4.1.3. More details can be found in the original papers [11,41].

Table 5: Nominal values of the specimens basic characteristics used by Une et al. for fission gas diffusivity and release measurements, where  $a_e$  is the Booth equivalent sphere radius.

Fuel pellet	Content	Grain size	Density	O/M ratio	$a_e$	Ref.
-	wt%	$\mu\text{m}$	$\text{g}/\text{cm}^3$	-	$\mu\text{m}$	-
UO <sub>2</sub>	...	15	10.71	2.001,2.004	1.88	[11]
+ Nb <sub>2</sub> O <sub>5</sub>	0.5	NA <sup>a</sup>	10.41	NA	2.03	[41]
+ TiO <sub>2</sub>	0.2	NA <sup>b</sup>	10.68	NA	2.62	[41]
+ Cr <sub>2</sub> O <sub>3</sub>	0.065	15	10.73	2.002	1.63	[11]
+ Al <sub>2</sub> O <sub>3</sub>	0.076	30	10.75	2.002	1.73	[11]
+ SiO <sub>2</sub>	0.085	17	10.75	2.002	1.28	[11]
+ MgO	0.50	26	10.46	1.999	3.75	[11]

<sup>a</sup> 110  $\mu\text{m}$  with density 10.8  $\text{g}/\text{cm}^3$  in ref. [5]; <sup>b</sup> 85  $\mu\text{m}$  with density 10.8  $\text{g}/\text{cm}^3$  [5].

#### 4.1.2 Irradiation and annealing

Une and colleagues [11,41] irradiated the specimens in evacuated quartz capsules for 6 h at a thermal neutron flux of  $5.5 \times 10^{13}$  neutrons/cm<sup>2</sup>s in the Japan Atomic Energy Research Institute (JAERI) test reactor JRR-4, yielding a total dose of  $1.2 \times 10^{17}$  fissions/cm<sup>3</sup> (4 MWd/tU). After irradiation, the specimens were cooled for a period of 7-10 days to allow the short-lived nuclides to decay.

In the 1998 experiment, Kashibe and Une [11] used Mo capsule containing the irradiated specimen (Cr<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub> or SiO<sub>2</sub>)-mixed UO<sub>2</sub>, to heat by induction furnace in a stepwise manner from 1100-1600°C with a heating rate of 1.7°C/s, temperature step of 100°C and a holding time of 1 h. Sweep gas was a high purity He+2%H<sub>2</sub> mixture at a flow rate of 60 cm<sup>3</sup>/min. The  $\beta$ -activity, with an energy of 346 keV and half-life of 5.27 d, of released <sup>133</sup>Xe during heating was continuously measured within an ionization chamber. After the annealing experiments, the residual <sup>133</sup>Xe in the specimen was determined upon dissolving the powder in hot nitric acid. The annealing procedure in Une et al.'s 1987 experiment (Nb<sub>2</sub>O<sub>5</sub>,TiO<sub>2</sub> with UO<sub>2</sub> specimens) was similar but details were different [41]. The method used by Une and company to determine the xenon diffusivity was that used by Davies and Long [80].

#### 4.1.3 Fission gas diffusivity and release

For post-irradiation annealing experiments, the cumulative fractional release,  $F$ , may be related to the equivalent sphere radius,  $a_e$ , and the effective gas diffusivity  $D$  by the following short time approximation of Booth's equivalent sphere model [81]

$$F(t) \approx \frac{6}{a_e} \sqrt{Dt/\pi}, \quad (15)$$

where the approximation should be valid for  $F \leq 0.3$ .<sup>4</sup> The equivalent radius is expressed as  $a_e = 3/S\rho$  where  $S$  is the specific surface area and  $\rho$  the bulk density of the specimen. The burst release is usually observed followed by a steady state release. Hence,  $D$  can be calculated from the steady state part of a plot of  $F$  versus the square-root of time  $t$ . The specific surface area  $S$  was determined by BET<sup>5</sup> measurements [11, 41].

Kashibe and Une [11] measured fractional release of  $^{133}\text{Xe}$  gas during a  $\approx 6$  h stepwise heating ramp test from 1100 to 1600°C for undoped and ( $\text{Cr}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$  or  $\text{SiO}_2$ )-doped  $\text{UO}_2$ . The total release obtained in this set of measurements were larger in the order:  $\text{Cr}_2\text{O}_3$ - $\text{UO}_2$  (16.5%), undoped and  $\text{Al}_2\text{O}_3$ - $\text{UO}_2$  (12%), and  $\text{SiO}_2$ - $\text{UO}_2$  (4.8%). The  $^{133}\text{Xe}$  release of the  $\text{Cr}_2\text{O}_3$ - $\text{UO}_2$  at high temperatures of 1500-1600°C was clearly greater than that of undoped and  $\text{Al}_2\text{O}_3$ - $\text{UO}_2$  specimens. In another set of identical measurements the  $^{133}\text{Xe}$  release of  $\text{MgO}$ - $\text{UO}_2$  and undoped- $\text{UO}_2$  were determined. The two specimens had comparable release values. Thus, Kashibe-Une's measurements indicate that the additive  $\text{Cr}_2\text{O}_3$  enhances the diffusive release of  $^{133}\text{Xe}$  and the additive  $\text{SiO}_2$  suppresses it. On the other hand, the additives  $\text{Al}_2\text{O}_3$  and  $\text{MgO}$  have no appreciable effect on gas release.

Kashibe and Une evaluated the diffusion coefficient of  $^{133}\text{Xe}$  for specimens annealed according to the stepwise pattern from 1100 to 1600°C from the least squares fitted gradient of  $36D/(a_e^2\pi)$  obtained by the  $F^2 - t$  plot of equation (15). In this fitting, Kashibe and Une precluded the 1100°C data since they did not fit well due to a small amount of  $^{133}\text{Xe}$ . The obtained results are in the form of Arrhenius relation with different or same coefficients listed in table 6, where we have also included Une et al.'s 1987 results [41] on  $\text{UO}_2$ - $\text{Nb}_2\text{O}_5$  and  $\text{UO}_2$ - $\text{TiO}_2$  samples.

Some remarks on the results presented in table 6 are merited. The experimentally determined diffusivity for the insoluble  $\text{Al}_2\text{O}_3$ -doped  $\text{UO}_2$  and the soluble  $\text{MgO}$ -doped  $\text{UO}_2$  (dissolved concentration: 0.08 wt%) are almost equivalent to that of the undoped  $\text{UO}_2$ . The diffusivities for these three specimens are approximated by the same Arrhenius relation, see table 6.

The scatter in Kashibe-Une's data from undoped  $\text{UO}_2$  samples were within 30%, relative to the corresponding Arrhenius relation. Moreover, the values of diffusion coefficient for the undoped  $\text{UO}_2$  obtained by Kashibe-Une's 1998 study [11] was about three times larger than the values reported by Une et al. in 1987 [41] in the temperature range of 1473-1873 K. Compared to the 1987 activation energy of 264 kJ/mol, the 1998 value is slightly smaller, by about 30 kJ/mol. Une and Kashibe attribute this difference in diffusion coefficient for undoped  $\text{UO}_2$  to a difference in the annealing pattern. Namely, in the 1998 Kashibe-Une experiments, a stepwise annealing pattern (annealing time 1 h) was used, whereas in the Une et al. 1987 experiments a one-step annealing (12 h) was used. Moreover, Kashibe and Une note that slight differences during the specimen preparation and irradiation may affect the diffusion coefficients for undoped  $\text{UO}_2$  in the two experiments. Regarding the doped  $\text{UO}_2$  results, Une et al.'s 1987 paper [41] does not provide data on grain size and the O/M ratio of the samples.

In figure 11 Arrhenius plots of  $^{133}\text{Xe}$  in undoped and doped  $\text{UO}_2$  with various additives per table 6 are compared. Note that the xenon diffusivity in ( $\text{Al}_2\text{O}_3$  or  $\text{MgO}$ )-doped  $\text{UO}_2$  is the same as in undoped  $\text{UO}_2$  according to [11]. Figure 12 compares the temperature dependence of  $^{133}\text{Xe}$  in undoped  $\text{UO}_2$  with that in  $\text{UO}_2$ + $\text{Cr}_2\text{O}_3$  and  $\text{UO}_2$ + $\text{Nb}_2\text{O}_5$ . It is seen that for temperatures below 1500 K, xenon diffusivity in  $\text{UO}_2$  is somewhat higher than that in  $\text{UO}_2$ + $\text{Cr}_2\text{O}_3$ , while for  $T > 1600$  K it is vice versa. However, xenon diffusivity in  $\text{UO}_2$ + $\text{Nb}_2\text{O}_5$  is resolutely higher than in  $\text{UO}_2$ . It is worthwhile to compare Une's diffusivity for undoped  $\text{UO}_2$  (table 6) with the corresponding ones used in the literature, figure 13. In

this figure Dav63 is the Davies and Long xenon diffusivity in  $\text{UO}_2$  [80], which is widely used in the literature and usually is attributed to Turnbull et al. [82].

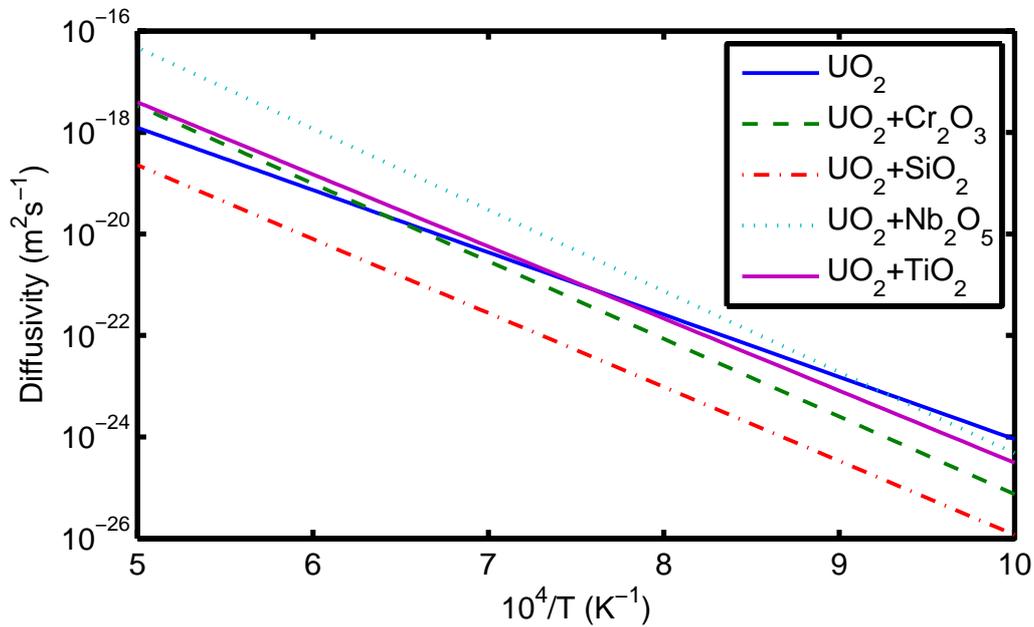


Figure 11: Effective diffusivity of  $^{133}\text{Xe}$  versus inverse temperature in undoped and doped  $\text{UO}_2$  with various additives in temperature range 1000 to 2000 K, see tables 5 and 6.  $^{133}\text{Xe}$  diffusivity in  $(\text{Al}_2\text{O}_3$  or  $\text{MgO})$ -doped  $\text{UO}_2$  is the same as in  $\text{UO}_2$ .

Table 6: Diffusivity of  $^{133}\text{Xe}$  in  $\text{UO}_2$  with and without additives evaluated from gas release measurements, with  $D = D_0 \exp(-Q_D/RT)$ .

Fuel	Temperature range K	$D_0$ $\text{m}^2/\text{s}$	$Q_D$ J/mol	Source
$\text{UO}_2$	1473 – 1873	$1.7 \times 10^{-12}$	235000	[11]
+ $\text{Nb}_2\text{O}_5$	1273 – 1873	$4.6 \times 10^{-9}$	306000	[41]
+ $\text{TiO}_2$	1273 – 1873	$5.0 \times 10^{-11}$	272000	[41]
+ $\text{Cr}_2\text{O}_3$	1473 – 1873	$1.5 \times 10^{-10}$	293000	[11]
+ $\text{MgO}$	1473 – 1873	$1.7 \times 10^{-12}$	235000	[11]
+ $\text{Al}_2\text{O}_3$	1473 – 1873	$1.7 \times 10^{-12}$	235000	[11]
+ $\text{SiO}_2$	1473 – 1873	$4.4 \times 10^{-12}$	279000	[11]

## 4.2 Model computations

In this subsection we present the results of model computations on fission gas release (FGR) and gaseous swelling for some of the fuel types discussed in the foregoing section, including  $\text{UO}_2+\text{Cr}_2\text{O}_3$ . The standard model [83–85] for fission gas release through grain-boundary saturation and re-solution is utilized. The grain size, fuel density and gas diffusivity data listed in tables 5 and 6 are used as input to the model. These computations are considered as generic and putative rather than *bona fide*, specific to given experiments or irradiation conditions.

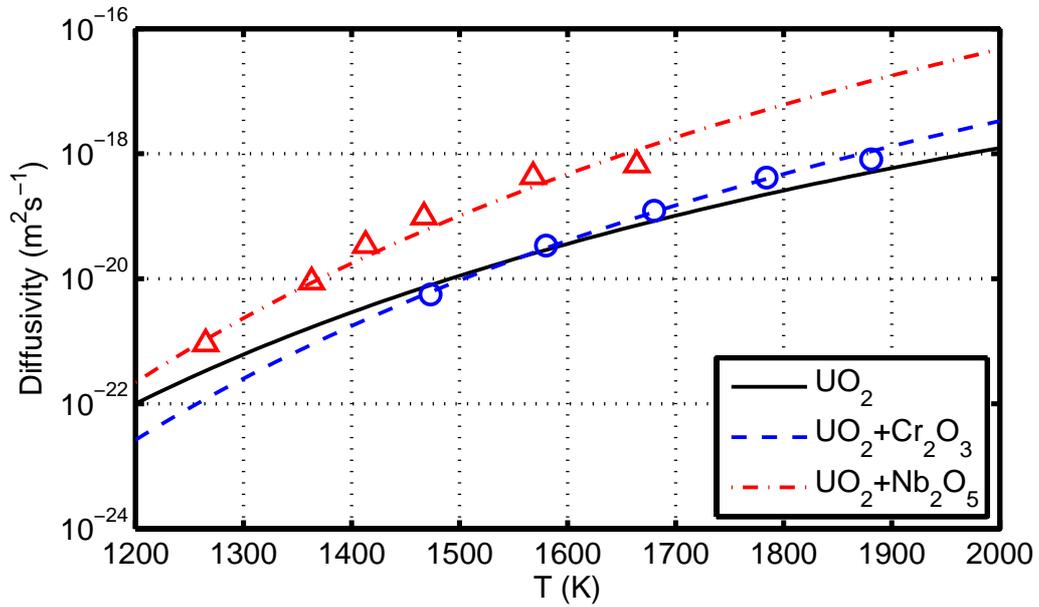


Figure 12: Effective diffusivity of  $^{133}\text{Xe}$  as a function of temperature in  $\text{UO}_2$ ,  $\text{UO}_2+0.5\text{wt}\%\text{Nb}_2\text{O}_5$  and  $\text{UO}_2+0.065\text{wt}\%\text{Cr}_2\text{O}_3$ , see tables 5 and 6.

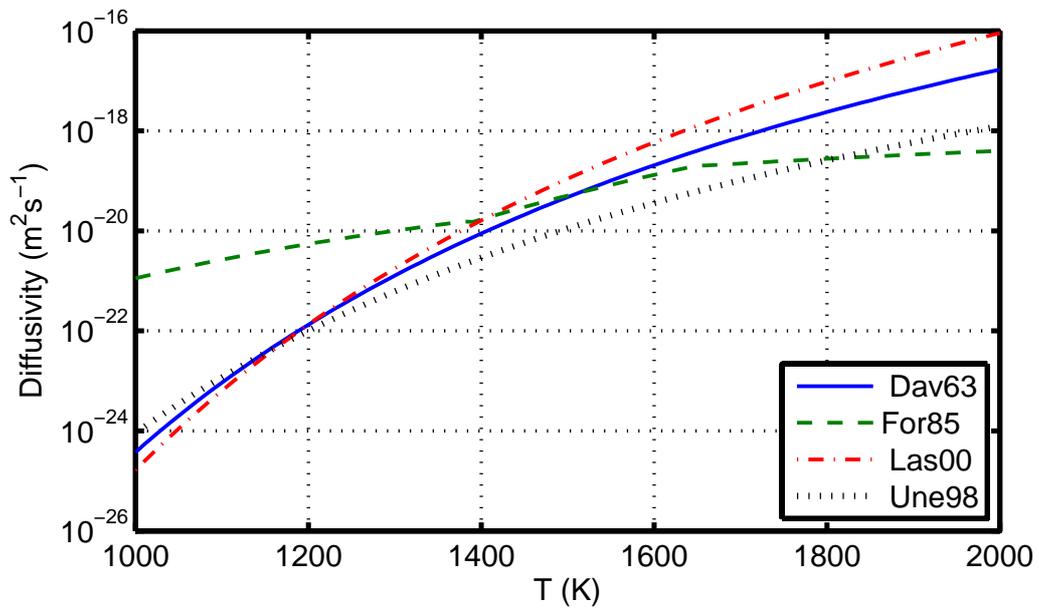


Figure 13: Comparison between various effective thermal diffusion coefficients used for fission gas in  $\text{UO}_2$  from the literature, where Dav63 [80], For85 [83], Las00 [71], Une98 [11].

### 4.2.1 Fission gas release

The equations for the standard fission gas release model through grain boundary saturation and re-resolution used in our computations are outlined in Appendix B. The input data to the model, except those for gas diffusivity, fuel density and grain size which are given in tables 5 and 6 or otherwise specified, are listed in table B1 of Appendix B.

Let us first calculate the threshold for the onset of thermal gas release using the aforementioned model, where threshold temperature vs. irradiation time (or fuel burnup) is evaluated. We compare the behavior of undoped  $\text{UO}_2$  and  $\text{UO}_2+\text{Cr}_2\text{O}_3$  in figure 14. It is seen that for irradiation times less than 5000 h, the threshold temperature for  $\text{UO}_2+\text{Cr}_2\text{O}_3$  is below that of undoped  $\text{UO}_2$ , in conformity with the diffusivity results displayed in figure 12. Here, for fission gas production rate, a linear power density (or LHGR) of 27 kW/m was used, cf. table B1, Appendix B.

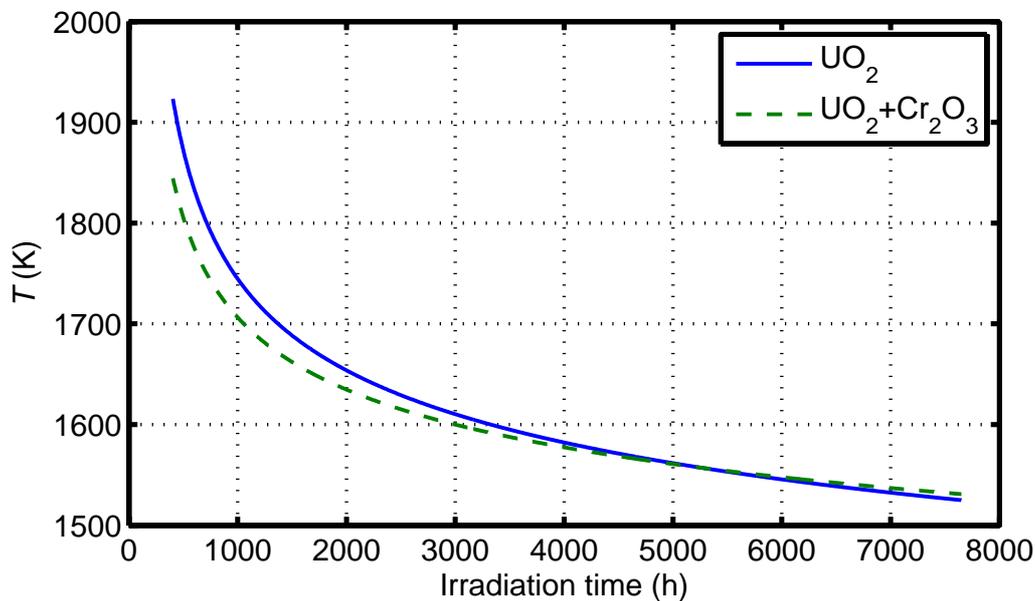


Figure 14: Calculated temperature versus irradiation time for the onset of thermal gas release (grain boundary saturation) for two types of fuel using the model outlined in Appendix B.

In a series of figures 15-17, we plot the results of our computations of thermal fission gas release versus irradiation time at several constant local fuel temperatures, 1600-2000 K, for ( $\text{Cr}_2\text{O}_3$ ,  $\text{Al}_2\text{O}_3$ ,  $\text{Nb}_2\text{O}_5$ )-doped and "pure"  $\text{UO}_2$  fuels. It is seen that among these four samples, the  $\text{Nb}_2\text{O}_5$ -doped has the largest FGR while the  $\text{Al}_2\text{O}_3$ -doped the lowest. The relative gas release from the  $\text{Cr}_2\text{O}_3$ -doped sample depends on the temperature, i.e., at 1600 K its release is in the order of that from "pure"  $\text{UO}_2$ , while at 2000 K it is close to that from  $\text{Nb}_2\text{O}_5$ -doped sample.

We recall that the grain radius for pure  $\text{UO}_2$  and  $\text{Cr}_2\text{O}_3$ -doped sample was the same, whereas for  $\text{Nb}_2\text{O}_5$ -doped sample we used a grain radius of 55  $\mu\text{m}$  in our computations, see table 5. To illustrate the impact of grain size on FGR, we have done computations on release from the  $\text{Cr}_2\text{O}_3$ -doped sample for several grain sizes. The results at 1800 K are shown in figure 18. The release rate is predicted to be inversely dependent on the fuel grain size.

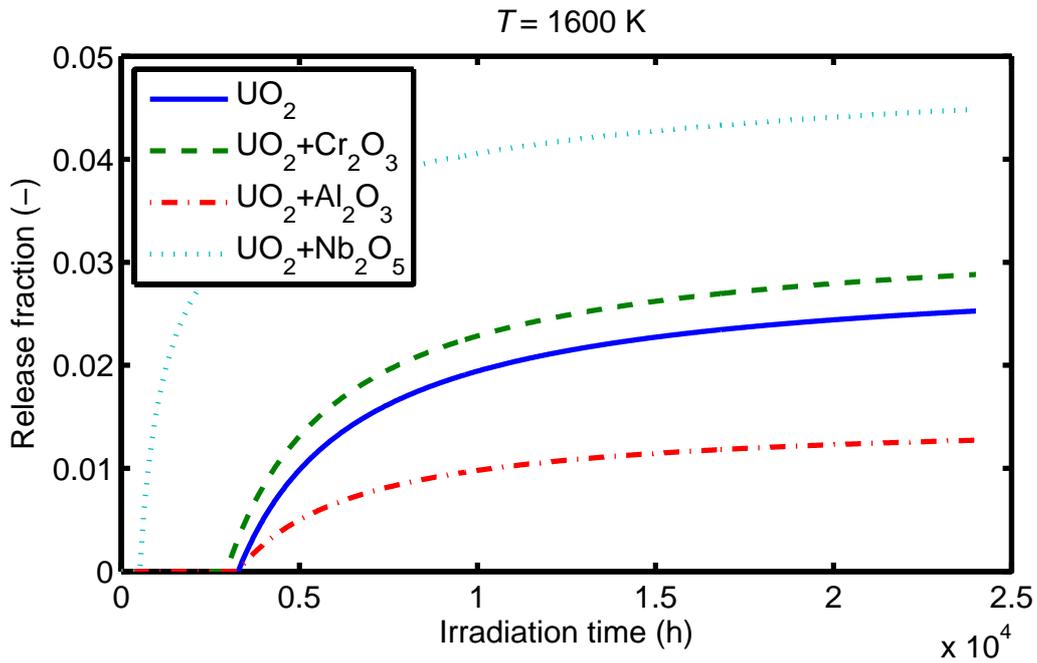


Figure 15: Calculated fractional fission gas release from different  $\text{UO}_2$ -base fuels at a constant temperature of 1600 K, using the model outlined in Appendix B.

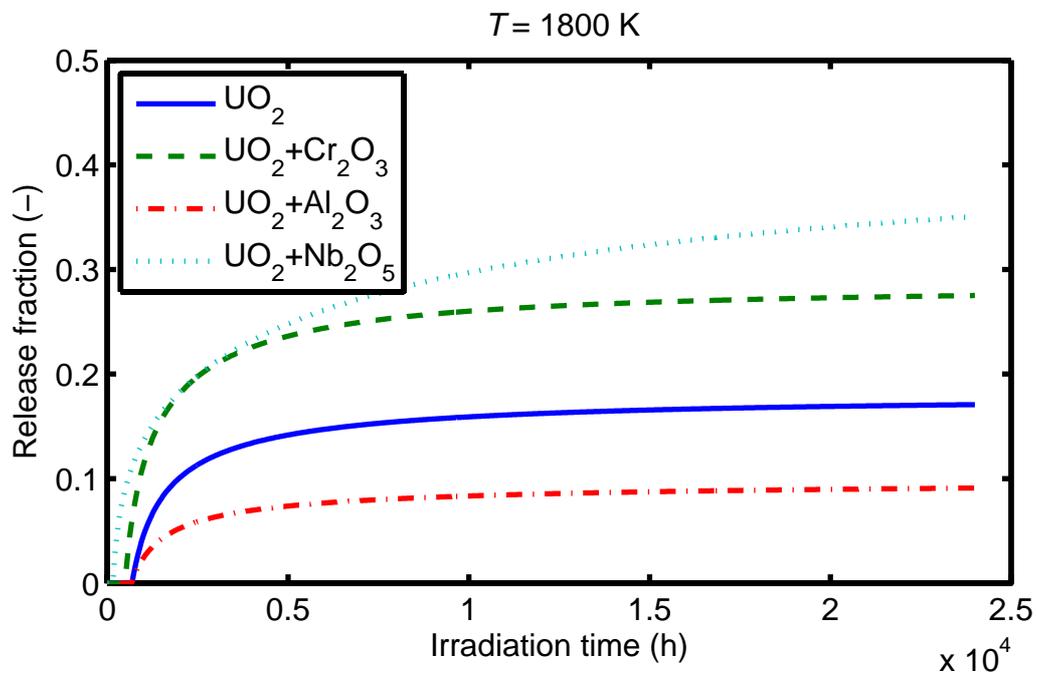


Figure 16: Calculated fractional fission gas release from different  $\text{UO}_2$ -base fuels at a constant temperature of 1800 K, using the model outlined in Appendix B.

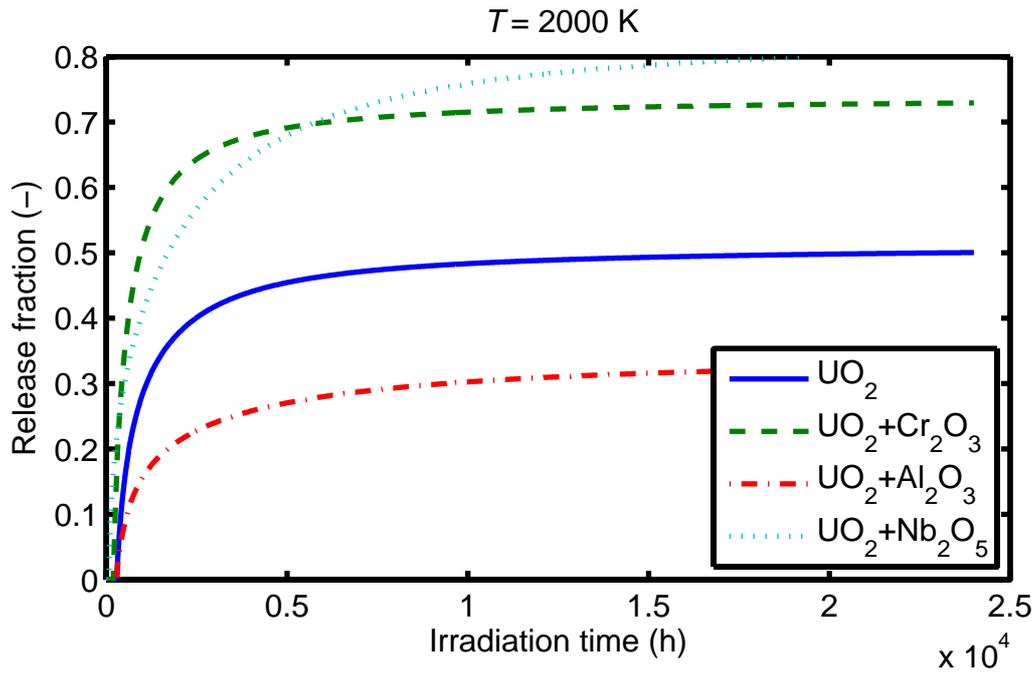


Figure 17: Calculated fractional fission gas release from different  $\text{UO}_2$ -base fuels at a constant temperature of 2000 K, using the model outlined in Appendix B.

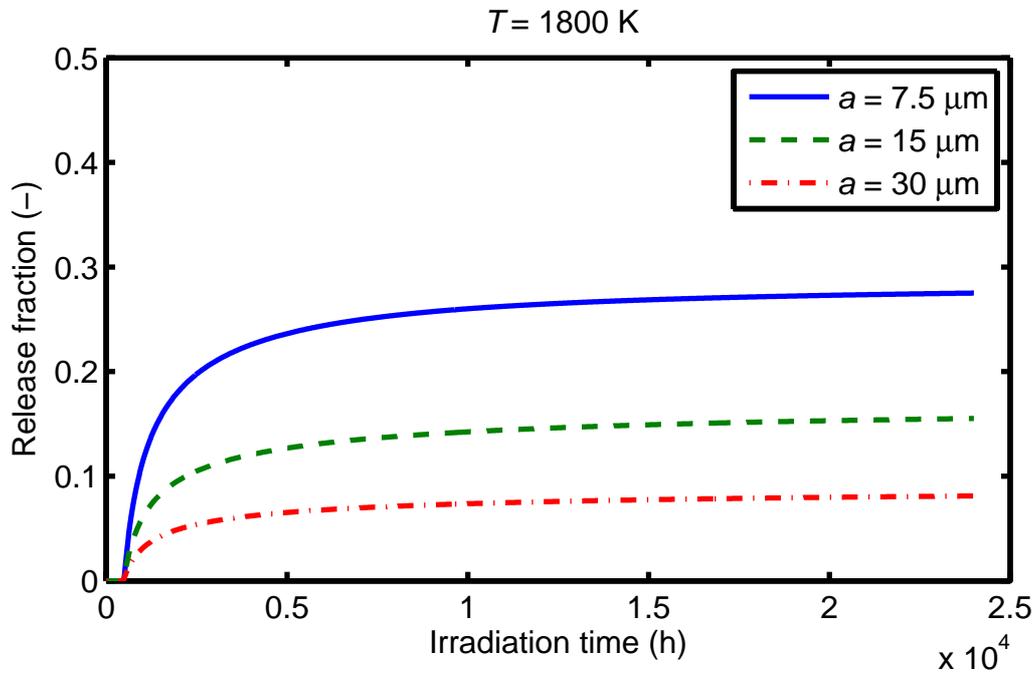


Figure 18: Calculated fractional fission gas release from a  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  sample at a constant temperature of 1800 K for several grain radii, using the model outlined in Appendix B.

## 4.2.2 Fuel gaseous swelling

Fuel swelling is the increase in volume by the fission products located in the fuel. The solid fission products are theoretically predicted to contribute to fuel swelling on the average by  $0.032\%$  per  $\text{MWd}(\text{kgU})^{-1}$  [86]. The contribution of gaseous fission products to fuel swelling includes rare gases such as krypton and xenon in solid solution and the volume change arising from the formation of fission gas filled bubbles. For the gases in solid solution and the small intragranular gas bubbles, it is estimated that they contribute about  $0.056\%$  per  $\text{MWd}(\text{kgU})^{-1}$  to matrix swelling rate [87]. The intergranular gas bubbles can make the largest contribution to volume change depending on temperature and their amount. Large fission gas bubbles with diameters around a few microns on grain faces and also along grain edges have been observed [88]. At sufficiently high exposures and temperatures, the bubbles interlink, forming a tunnel network, which concomitantly leads to gaseous swelling and gas release [89, 90].

It is plausible that for the considered  $\text{UO}_2$ -base fuels, with low concentration of additives, the solid fission product swelling is the same as that for pure  $\text{UO}_2$ . So here we only evaluate fission gas swelling due to intergranular gas (grain face) bubbles. The model we use here rests on the fission gas release model employed in the foregoing subsection and outlined in Appendix B. The method for computation of swelling is fully described in [91] and hence is not repeated here.

We basically repeat our FGR computations presented in section 4.2.1 for fuel swelling. Figures 19-21 show the relative increase in fuel volume  $\Delta V/V$  versus irradiation time at several constant local fuel temperatures, 1600-2000 K, for  $(\text{Cr}_2\text{O}_3, \text{Al}_2\text{O}_3, \text{Nb}_2\text{O}_5)$ -doped and "pure"  $\text{UO}_2$  fuels. As can be seen, among these four specimens,  $\text{Cr}_2\text{O}_3$ -doped sample has the highest swelling rate, while  $\text{Nb}_2\text{O}_5$ -doped sample has the lowest. It is a combination of gas diffusion, grain boundary saturation and grain size, which yields the present behavior.<sup>6</sup> Note that gaseous swelling saturation is an inverse function of grain size [91]. Figure 22 illustrates this for the  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  sample. It is also seen that the larger is the grain size, the smaller is the swelling rate and the saturation value.

## 4.3 Discussion

Let us briefly draw attention to some experimental results regarding the effects of additives and grain size on  $\text{UO}_2$  fuel FGR and swelling behavior. In a 1980 paper, Sawbridge et al. [92] report the performance of fuel from an experiment, which was loaded into the Windscale experimental AGR (advanced gas-cooled reactor) in the UK in February 1970, aimed to assess the effects of magnesia ( $\text{MgO}$ ) additions to  $\text{UO}_2$  and grain size on fission product release. The fuel elements (assemblies) were discharged unfailed after 1840 effective full power days or EFPD, where the doped fuel pellets had attained burnups between 24.5 and 28.5  $\text{MWd}/\text{kgU}$ . The details of the fuel rod design, material characteristics and irradiation history are described in [92]. Two fuel elements contained standard  $\text{UO}_2$  fuel and two others contained three pins (rods) of experimental fuel doped with 5 mol%  $\text{MgO}$ , sintered to a density of  $10.25 \text{ g}/\text{cm}^3$  with a mean linear intercept grain size of about  $35 \mu\text{m}$ . Pre-irradiation measurements suggested that  $\approx 0.8 \text{ mol}\%$  of the  $\text{MgO}$  was in solid solution in  $\text{UO}_2$  with the remainder present as intra- and inter-granular precipitates. The remaining pins contained 97% dense  $\text{UO}_2$  with a grain size of about  $4 \mu\text{m}$  (reference design). A number of conclusions could be drawn from this study:

(i) Post-irradiation examination of fuel pins containing large grain sized  $\text{UO}_2$  pellets doped

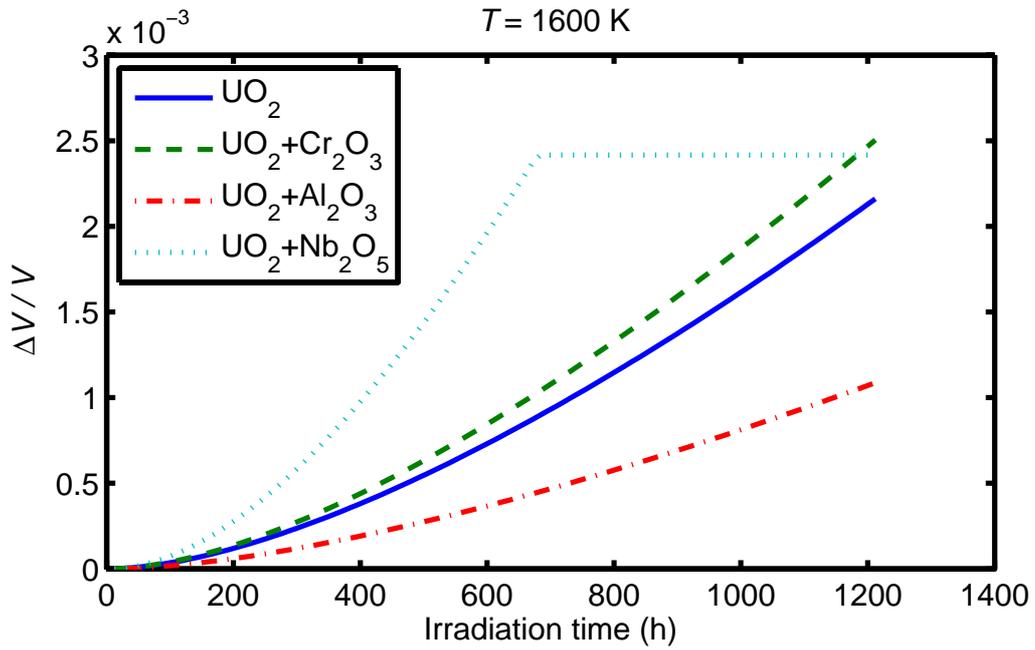


Figure 19: Calculated relative increase in fuel volume versus time for different  $\text{UO}_2$ -base fuels at a constant temperature of 1600 K, using the gaseous swelling model in [91].

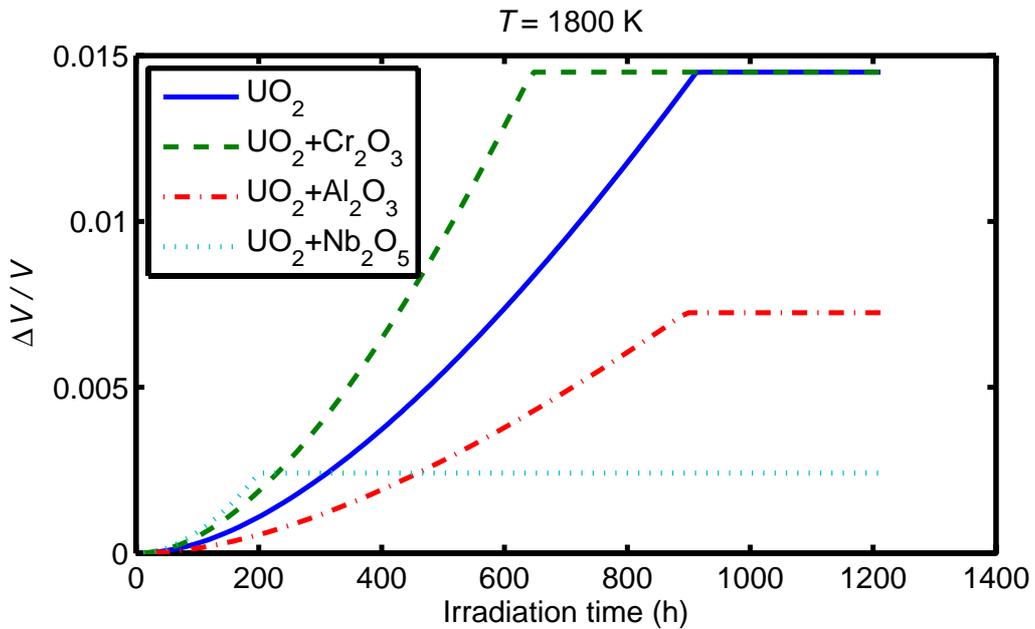


Figure 20: Calculated relative increase in fuel volume versus time for different  $\text{UO}_2$ -base fuels at a constant temperature of 1800 K, using the gaseous swelling model in [91].

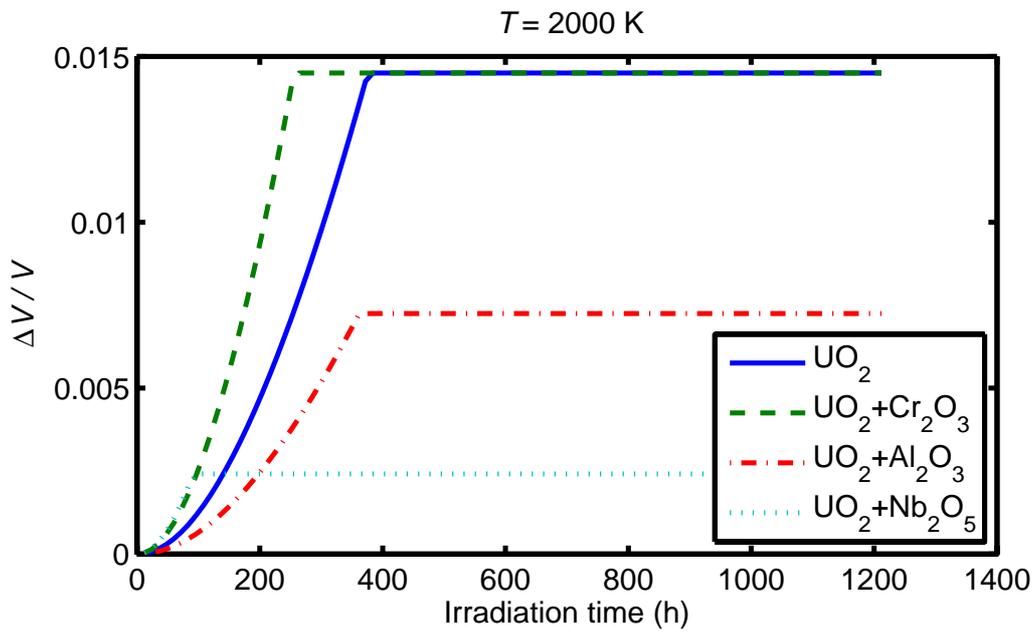


Figure 21: Calculated relative increase in fuel volume versus time for different  $\text{UO}_2$ -base fuels at a constant temperature of 2000 K, using the gaseous swelling model in [91].

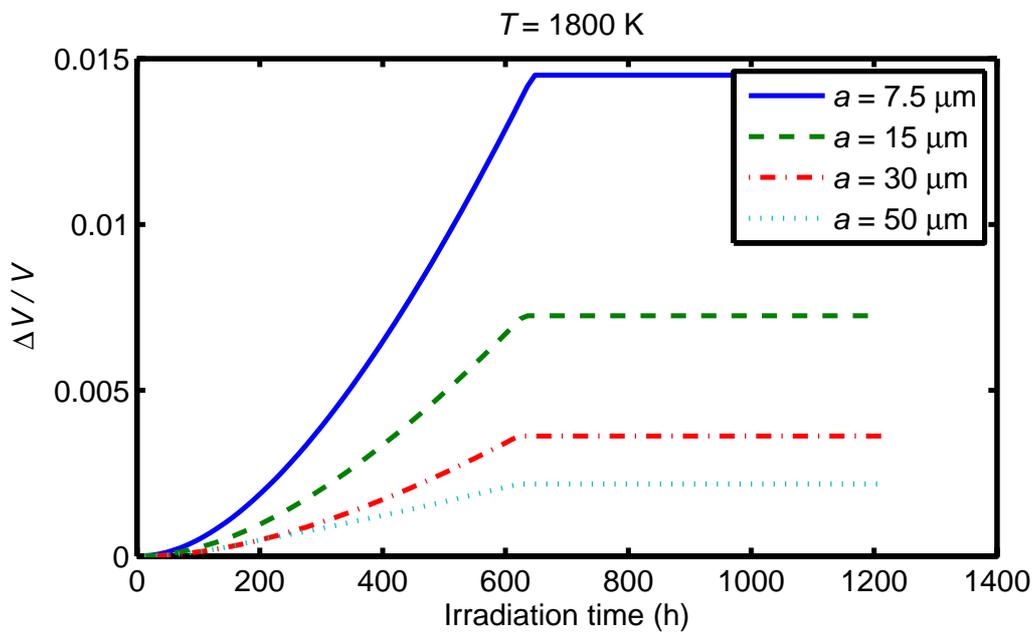


Figure 22: Calculated relative increase in fuel volume versus time for a  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  sample at a constant temperature of 1800 K for several grain radii, using the gaseous swelling model in [91].

with magnesia and irradiated in the AGR showed that the FGR in the pins containing doped fuel was reduced by a factor of  $> 2.5$  compared with "pure"  $\text{UO}_2$  irradiated under identical conditions. (ii) Micro-gamma scanning indicated that there was a much greater retention of  $^{137}\text{Cs}$  in MgO-doped fuel than in  $\text{UO}_2$  irradiated under identical conditions. (iii) Computer modeling, assuming same fission gas diffusivity for MgO-doped and  $\text{UO}_2$  fuel, suggested that the improvement in gas release was largely due to differences in grain size.

Recall that Kashibe-Une's 1998 experiment [11], see table 6, indicated roughly the same  $^{133}\text{Xe}$  diffusivity in their MgO-doped and pure  $\text{UO}_2$  samples. (iv) No inter-granular gas bubbles were observed in the doped fuel but in the high temperature regions, a high density of large intra-granular bubbles  $\approx 0.2 \mu\text{m}$  in diameter was observed. Sawbridge and company suggest that these large bubbles were stabilized by interaction with the MgO precipitates.

In a related investigation, Killeen in 1994 reported [21] on a series of post-irradiation anneal tests which had been carried out on fuels taken from an experimental stringer from the Hinkley Point B AGR. The stringer was part of an in-reactor study on the effect of large grain size fuel. Three different fuel types were present in separate pins in the stringer. One variant of large grain size fuel had been fabricated by using an MgO dopant in  $\text{UO}_2$  with a fuel density of  $10.54 \text{ g/cm}^3$ , a second variant was fabricated by high temperature sintering of standard fuel, with a density of  $10.76 \text{ g/cm}^3$ , and the third was a reference  $\text{UO}_2$  fuel, with  $12 \mu\text{m}$  grain size and a density of  $10.65 \text{ g/cm}^3$ . Both large grain size variants had similar grain sizes, i.e. around  $35 \mu\text{m}$ . The experimenters took fuel specimens from highly rated pins from the stringer with local burnups in excess of  $25 \text{ MWd/kgU}$  and annealed them to temperatures of up to  $1810 \text{ K}$  under reducing conditions to allow a comparison of fission gas behavior at high release levels. The results showed the favorable effect of large grain size on release rate of  $^{85}\text{Kr}$  following gas bubble interlinkage. At low temperatures and release rates, there was no difference between the fuel types, but at temperatures in excess of  $1673 \text{ K}$ , the release rate was found to be inversely dependent on the fuel grain size. The experiments showed some differences between the doped and undoped large grains size fuel such that in the former the gas bubbles were interlinked at a lower temperature than in the latter fuel, thereby releasing fission gas at an increased rate at that temperature. At higher temperatures, the grain size effect was dominant. The temperature dependence for FGR was determined over a narrow range of temperature and found to be similar for all the three types; for both bubble pre-interlinkage and post-interlinkage releases. The difference between the release rates is then seen to be controlled by grain size. Both Killeen's and Sawbridge et al's results are in qualitative agreement with our analysis.

Finally, it is worth mentioning the 1993 work of Une and coworkers [5], who investigated fission gas behavior of  $\text{UO}_2$  fuel pellets with controlled microstructure, irradiated to  $23 \text{ MWd/kgU}$  in the Halden boiling water test reactor in Norway, by using a post-irradiation annealing experiment. Four types of fuel pellets with or without additives were examined: (i) undoped standard grain size, (ii) undoped large grained, (iii)  $\text{Nb}_2\text{O}_5$ -doped large grained, and (iv)  $\text{TiO}_2$ -doped large grained ( $85 \mu\text{m}$ ) fuels. The fuel rods tested by Une et al. had a traditional BWR design. The basic data for the fuel pellets are listed in table 7. The annealing was performed at  $1873$  or  $2073 \text{ K}$  for  $5 \text{ h}$  in reducing or oxidizing atmospheres. Fission gas release and bubble swelling caused by the high temperature annealing for the two undoped fuels were reduced to about  $1/3$ - $1/2$  by increasing the grain size from  $16$  to  $43 \mu\text{m}$ , which roughly corresponded to the ratio of their respective grain size. On the contrary, the performance of the two large grained fuels doped with  $\text{Nb}_2\text{O}_5$  or  $\text{TiO}_2$  was roughly equivalent to, or rather inferior to that of the standard fuel, despite their large grain

sizes of 110 and 85  $\mu\text{m}$ . This may be attributed partly to a much higher diffusivity of fission gases in these doped fuels at high temperatures as noted in section 4.1, see figure 11. The fission gas behavior of undoped fuels was intensified by increasing the chemical potential of oxygen in the annealing atmosphere, while that of additive doped fuels did not depend on it. Une and coworkers found that the diffusivities of undoped large grained and standard fuels were enhanced by about three and one orders of magnitude, respectively, by changing the annealing atmosphere from reducing to oxidizing. They observed that for undoped fuels, intergranular bubble swelling was predominant, while for additive doped fuels, both coarsened inter- and intragranular bubbles contributed to larger swelling. Une et al.'s results on FGR and bubble swelling for microstructure controlled  $\text{UO}_2$ -base fuels indicate a close relationship between gas diffusion and cation vacancy diffusion [5].

Table 7: Fuel pellet data in Une et al.'s 1993 study [5].

Fuel type	Additive conc.	Grain size	Density	Pellet $\emptyset$
-	wt%	$\mu\text{m}$	$\text{g}/\text{cm}^3$	mm
Undoped standard	...	16	10.6	10.35
Undoped large grain	...	43	10.5	10.35
Nb <sub>2</sub> O <sub>5</sub> -doped	0.7	110	10.8	10.35
TiO <sub>2</sub> -doped	0.2	85	10.8	10.35

Some words of explanation regarding our sample computations on grain boundary bubble swelling (sec. 4.2.2) are in order here. Our computations showed that both swelling rate and the threshold for swelling saturation, which is intimately related to the onset of FGR, are a reciprocal function of the grain size, using the model described in [91]. Regarding the latter quantity, the model gives the swelling saturation by

$$\left(\frac{\Delta V}{V}\right)_{\text{gs}} = B_g f_b \frac{r_{\text{bs}}}{2a}, \quad (16)$$

where  $B_g$  is a gas bubble geometry factor,  $f_b$  is the fractional coverage of grain boundary by the bubbles,  $r_{\text{bs}}$  is the bubble radius at the onset of interlinkage, and  $a$  is the mean grain radius. In the parametric computations in section 4.2.2, we kept all these parameters except the grain radius constant. If, for that matter,  $r_{\text{bs}}$  is related somehow to the fuel grain size, then that empirical correlation is unknown to us. Computations presented in section 4.2.2 should be regarded as a parametric study to accentuate the influence of grain size on FGR and bubble swelling which, however, seem to conform, in general, with experimental results reported in the literature. Our analysis of gaseous swelling does not include the contribution of intragranular bubbles, which may become important in case of large grain fuel.

The effect of doping  $\text{UO}_2$  on its crystal defect structure and its consequent impact on fission gas behavior has been discussed sporadically in the literature [2, 11, 25, 76, 93]. The conventional understanding is that, in general, adding dopants such as  $\text{Nb}_2\text{O}_5$  should lead to hyperstoichiometric fuel  $\text{UO}_{2+x}$ , i.e. produce uranium vacancies, while adding trivalent dopants such as  $\text{Cr}_2\text{O}_3$  or  $\text{Gd}_2\text{O}_3$  will produce oxygen vacancies and hence hypostoichiometric fuel  $\text{UO}_{2-x}$ . It has also been known for a long time, based on the work of Miekeley and Felix [73], that xenon diffusivity in  $\text{UO}_{2+x}$  is much higher than in  $\text{UO}_2$  and vice versa in  $\text{UO}_{2-x}$ . The schematic picture shown in figure 23 illustrates this effect as a log-log plot of fission gas diffusivity versus the fission density (fission/ $\text{m}^3$ ). The reduction in diffusivity

is attributed to the presence of the Frenkel defects (oxygen vacancy-interstitial pairs) and Schottky trivacancies (a cation vacancy and two anion vacancies) [94], which may act as traps to fission product gases [25]. Thus, it is expected that dopant concentration would affect the O/M ratio and that in turn influence the gas diffusivity [75, 95, 96].

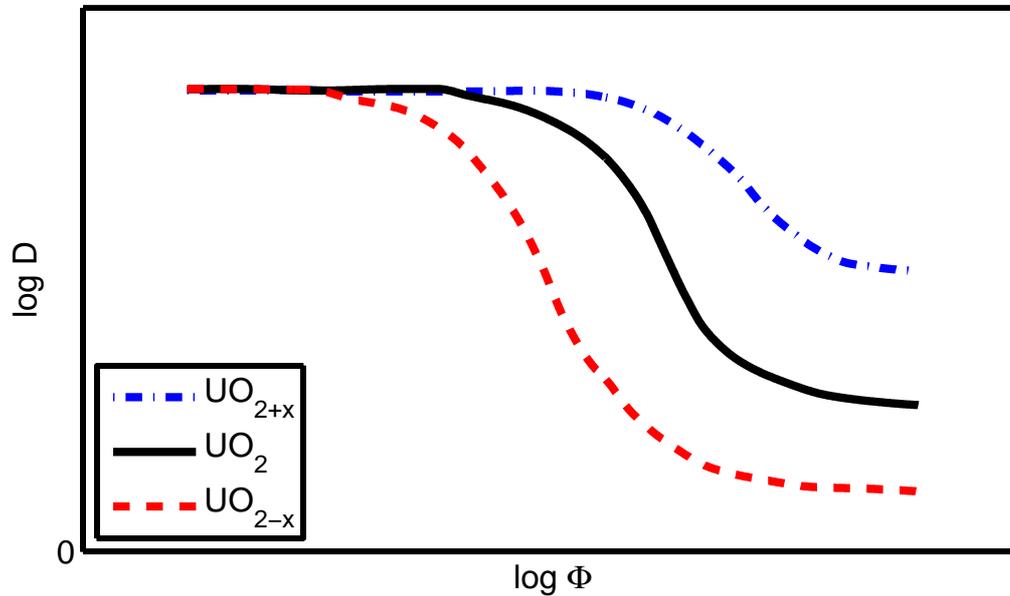


Figure 23: Schematic dependence of gas diffusivity  $D$  versus fission density (dose)  $\Phi$  on the O/U-ratio at constant temperature; after Matzke [25].

The fission gas release rate is roughly proportional to the square root of gas diffusivity  $\propto \sqrt{D}$  and inversely to the fuel grain size  $\propto 1/d_g$ . It has been known that xenon diffusivities in  $\text{UO}_2$  and  $(\text{Gd,U})\text{O}_2$  are markedly lower in hypostoichiometric regime than in hyperstoichiometric regime [73, 93, 97] or in stoichiometric  $\text{UO}_2$ . So hypostoichiometric  $(\text{Gd,U})\text{O}_2$  fuel may experience lower fission gas release rate than hyperstoichiometric  $(\text{Gd,U})\text{O}_2$  or  $\text{UO}_2$  even if it possesses a smaller grain size than the latter fuels as noted in [97]. In the hypostoichiometric regime, the xenon atoms are considered to get trapped in the Schottky defects [94], thereby reducing the effective gas diffusivity. In hyperstoichiometry, however, the cation vacancies enhance the diffusivity of xenon in  $\text{UO}_{2+x}$  [95].

Finally, we should mention some results from the work of Hirai et al. [93] regarding fission gas diffusivity in  $\text{UO}_2$  and  $(\text{U,Gd})\text{O}_2$  fuels. These workers, *inter alia*, examined the oxygen-to-metal ratio dependence of diffusion coefficient at 1673 K for these fuels. Figure 24 shows these results for three kinds of specimen, table 8. Below the stoichiometric composition, the diffusion coefficient decreases only slightly, but increases sharply with increasing O/M above and near stoichiometry. The decrease in the diffusivity for G81 specimens are hardly visible from figure 24 due to the log scale of the ordinate. Indeed, the decrease in diffusivity in G81 from about O/M = 2.00 to O/M = 1.99 is  $1.78 \times 10^{-19}$  to  $1.45 \times 10^{-19}$  m<sup>2</sup>/s, respectively.

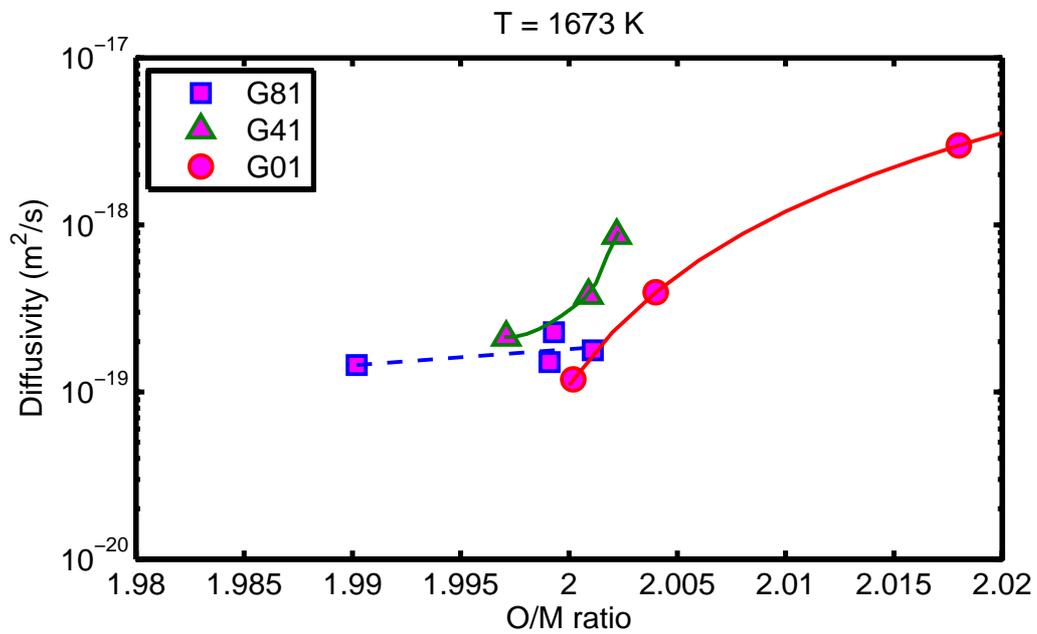


Figure 24: Oxygen-to-metal ratio (O/M) dependence of fission gas diffusivity in (U,Gd)O<sub>2</sub> fuel specimens (table 8), read off from figure 19 of Hirai et al. [93].

Table 8: Fuel data in Hirai et al.'s study [93].

Parameter	Unit	G01	G41	G81
Gd <sub>2</sub> O <sub>3</sub> content	wt%	0	4	8
Sintered density	%TD	96.2	96.1	96.1
Grain size	μm	31	29	38
Nominal O/M ratio	-	2.004	1.992	1.996

## 5 Mechanical properties

Doping of  $\text{UO}_2$  fuel to improve its pellet-cladding interaction (PCI) resistance during reactor operation through enhanced fuel plasticity has been discussed in the literature over the years [8, 98]. In this section, we only provide a brief survey of the literature regarding the effect of additives on the thermal creep rate of  $\text{UO}_2$  fuel and its yield stress.<sup>7</sup> No modeling effort has been expended to describe this phenomenon. The present SSM version of the fuel performance code FRAPCON-3.3 [99] does not model fuel creep in any form. A very brief survey of both thermal and irradiation-induced creep of  $\text{UO}_2$  was made earlier in [100]; a more detailed exposition is given by Olander [86].

A noted work among the early thermal creep studies is the 1981 paper of Sawbridge and coworkers [98] who investigated the creep of  $\text{UO}_2$  fuel doped with  $\text{Nb}_2\text{O}_5$ . They investigated the creep of  $\text{UO}_2$  containing small additions of  $\text{Nb}_2\text{O}_5$  in the stress range 0.5-90 MPa at temperatures between 1422 and 1573 K in the Berkeley Nuclear Laboratories, Berkeley, UK. Compression creep tests were carried out under a constant load in atmosphere of flowing purified argon. They report data on the creep rate of seven dopant concentrations from 0.2 mol% to 1.0 mol%  $\text{Nb}_2\text{O}_5$ . The samples examined had different mean (linear intercept) grain sizes, depicted in figure 25.

At high stresses, Sawbridge et al. found a strong dependence of creep rate on stress, typical of dislocation-controlled creep. At lower stresses ( $< 70$  MPa), a roughly linear dependence, typical of diffusion creep was observed. It is the lower stress regions, typified by a linear stress dependence, that are the most significant creep modes under normal reactor operating conditions. Sawbridge et al. established that in all the specimens the secondary creep rate could be represented by the equation of the form

$$\dot{\epsilon} = A\sigma^n \exp\left(-\frac{Q}{RT}\right), \quad (17)$$

where  $\dot{\epsilon}$  is the steady state creep strain rate (per unit time),  $\sigma$  the uniaxial stress,  $Q$  the activation energy, and  $A$  and  $n$  are constants for each material, and  $RT$  has its usual meaning. Sawbridge and colleagues observed that  $\text{Nb}_2\text{O}_5$  additions can cause a dramatic increase in the steady state creep rate as long as the niobium ion is maintained in the  $\text{Nb}^{5+}$  valence state. Material containing 0.4 mol%  $\text{Nb}_2\text{O}_5$  crept three orders of magnitude faster than the "pure"  $\text{UO}_2$  material.

Figure 26 shows the variation of creep rate with  $\text{Nb}_2\text{O}_5$  content, at a temperature of 1523 K and normalized stress of 20 MPa. As can be seen, the variation of creep rate with composition is not smooth. The main reason for this is that the grain size of the different batches of material is not constant, cf. figure 25. To normalize the creep rates, Sawbridge et al. plotted the grain size compensated viscosity  $\eta/d_g^2$ , against  $\text{Nb}_2\text{O}_5$  concentration. This smoothed the data somewhat, however, we could not reproduce it. The  $\eta/d_g^2$  term arises, since it was noted that diffusional creep rate varies as the reciprocal square of the grain size  $d_g$ , which is in accordance to the Nabarro-Herring creep law [86], namely  $\dot{\epsilon} \propto \sigma D_v/d_g^2$ , where  $D_v$  is the uranium volume diffusion coefficient. The viscosity of a solid is defined as the reciprocal of the shear rate per unit shear stress. In the present case of uniaxial stress, we have  $\eta \equiv \sigma/\dot{\epsilon}$ . Instead of depicting the logarithm of fuel viscosity as a function of  $\text{Nb}_2\text{O}_5$  concentration à la Sawbridge and co., we have scaled the creep data with  $d_g^2/\sigma$  and plotted the results in figure 27. To analyze further, we have considered a model outlined in [98], which utilizes the Nabarro-Herring creep model with the uranium volume diffusivity. In more detail,  $D_v = D_{\text{U}}U_v$ , where  $D_{\text{U}}$  is the diffusion coefficient for uranium vacancies and  $U_v$  is the uranium

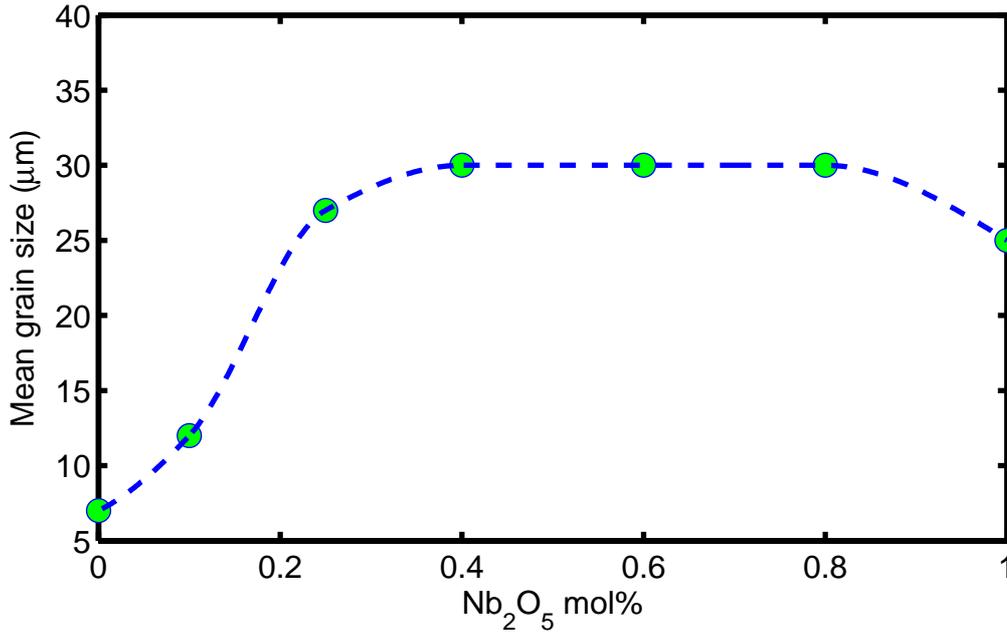


Figure 25: UO<sub>2</sub> fuel grain size versus Nb<sub>2</sub>O<sub>5</sub> concentration in samples tested by Sawbridge et al. [98].

vacancy concentration, the latter depending on the concentration (mole fraction) of dopant Nb<sub>2</sub>O<sub>5</sub>, here denoted by  $x$ . Sawbridge et al. derived the following relations for the thermal creep rate of Nb<sub>2</sub>O<sub>5</sub>-doped UO<sub>2</sub>

$$\dot{\epsilon} = A \frac{\sigma}{d_g^2} \exp\left(-\frac{Q_u + E_2 - E_1}{RT}\right), \quad \text{as } x \rightarrow 0, \quad (18)$$

$$\dot{\epsilon} = A \frac{\sigma x^2}{d_g^2} \exp\left(-\frac{Q_u + E_2 - 2E_1}{RT}\right), \quad \text{for } x > 0, \quad (19)$$

where  $Q_u$  is the activation energy for the diffusivity of uranium vacancies, and  $E_1$  and  $E_2$  are the formation energies of the Frenkel and Schottky defects, respectively. A more complete derivation is given elsewhere [42].

Unfortunately, the authors of [98] do not provide numerical values for the aforementioned energy parameters; hence, we could not use relation (19) faithfully to evaluate the creep rate data presented in figure 26. Nevertheless, we have attempted to fit the data to a relation in the form  $\mathcal{S} \equiv \dot{\epsilon} d_g^2 / \sigma$  versus  $x^2$ , which may be sensible at  $T = 1523$  K and  $\sigma = 20$  MPa. The result  $\mathcal{S} = 6.65 \times 10^{-8} x^2$ , where  $\mathcal{S}$  has units of [ $\mu\text{m}^2/\text{MPa}\cdot\text{s}$ ], is plotted as a dashed line (Nabarro-Herring fit) along the measured data as a function of Nb<sub>2</sub>O<sub>5</sub> content in figure 27. *N.B.*, here  $x$  is in mole fraction, whereas in the figure  $\mathcal{S}$  versus mole% is displayed.

The results in figure 27 clearly show that the Nabarro-Herring fit is quite proper for up to 0.5% mole Nb<sub>2</sub>O<sub>5</sub>, but it overestimates the measurements for higher concentrations of Nb<sub>2</sub>O<sub>5</sub>. Likewise, computation made on (logarithm of) fuel viscosity versus  $x$  by Sawbridge et al., which is presented in their figure 11 [98], indicates a similar trend.

The main conclusions of Sawbridge et al.'s paper [98] are as follows:

- In the stress range applicable to normal reactor operation, UO<sub>2</sub> doped with Nb<sub>2</sub>O<sub>5</sub> deforms by a diffusional creep mechanism (Nabarro-Herring) and the creep rate is

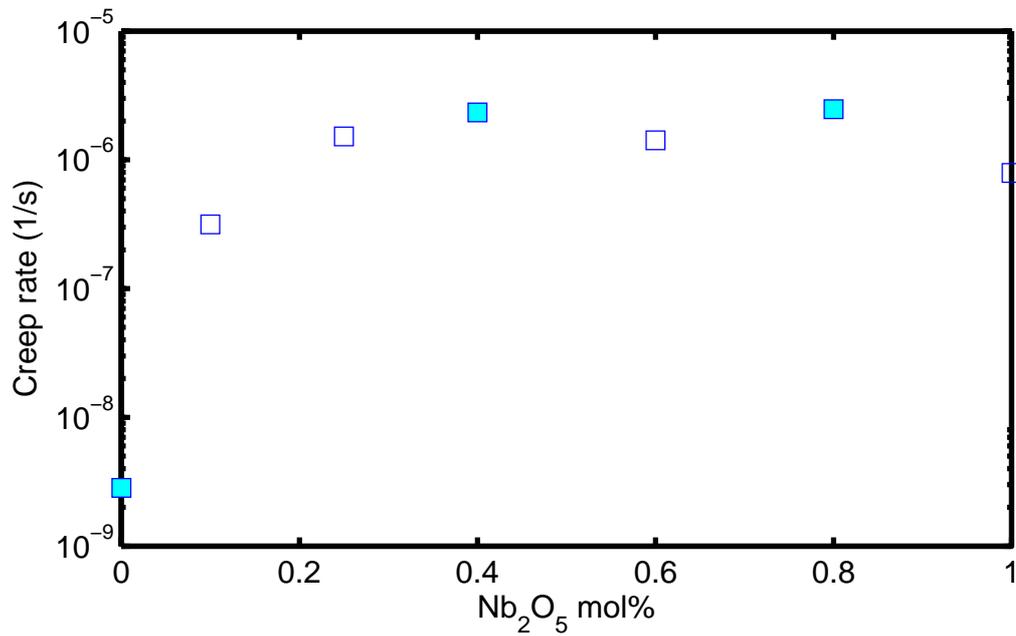


Figure 26: Thermal creep rate of UO<sub>2</sub>-base fuel versus Nb<sub>2</sub>O<sub>5</sub> concentration at 1523 K and normalized stress of 20 MPa; from Sawbridge et al. [98].

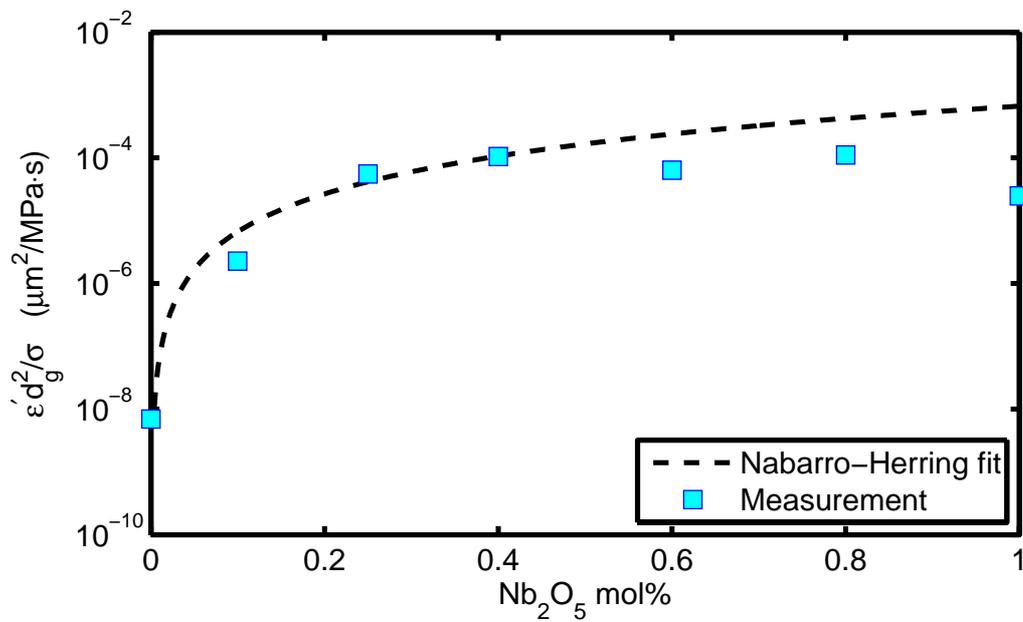


Figure 27: Scaled creep rate of UO<sub>2</sub>, with square of grain size divided by stress (20 MPa), versus Nb<sub>2</sub>O<sub>5</sub> concentration at 1523 K, based on the data in figs. 25-26 .

linearly proportional to the applied stress.

- The addition of  $\text{Nb}_2\text{O}_5$  leads to a sharp increase in the creep rate of  $\text{UO}_2$ . This is credited to the suppression of the  $\text{U}^{5+}$  ion concentration and the modification of the crystal defect structure by the addition of  $\text{Nb}^{5+}$  ions.
- The  $\text{Nb}^{5+}$  ion can be rapidly reduced in atmospheres with a low partial molar free energy of oxygen. When this occurred by switching the test atmosphere from argon to dry hydrogen, the creep rate was reverted to that of undoped uranium dioxide.

Another relevant work on the subject of thermal creep of doped  $\text{UO}_2$  detailed here is Dugay et al.'s study [101, 102] on the influence of the dopants  $\text{Cr}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3$  on the thermal creep behavior of  $\text{UO}_2$  and its yield (flow) stress. Here, their work on  $\text{Cr}_2\text{O}_3$  dopant is assessed. They tested five batches of PWR-geometry fuel pellets, without dishing. One undoped batch, serving as a reference material, and four doped batches with  $\text{Cr}_2\text{O}_3$  concentrations ranging from 0.025 to 0.2 wt% in  $\text{UO}_2$  were prepared for testing. The mixture of powders was dry blended by ball-milling. The mixture was then sintered under  $\text{H}_2+1.7\%\text{H}_2\text{O}$  atmosphere at  $1700^\circ\text{C}$ , thereby restricting the hyperstoichiometric range of  $\text{UO}_{2+x}$  to  $x = x_{\text{max}} = 5 \times 10^{-4}$  which promoted grain growth. The basic characteristics of the sintered materials are listed in table 9. Grain size was determined by linear intercept measurements.

Table 9: Dugay et al.  $\text{UO}_2\text{-Cr}_2\text{O}_3$  fuel material data [102].

$\text{Cr}_2\text{O}_3$ conc. wt%	Fraction of T.D. -	Density <sup>a</sup> $\text{g/cm}^3$	Grain size $\mu\text{m}$
0.0	0.968	10.62	7
0.025	0.958	10.51	15
0.06	0.970	10.64	27
0.1	0.975	10.70	45
0.2	0.976	10.71	70

<sup>a</sup> $\text{UO}_2$  theoretical density (T.D.) of  $10.97 \text{ g/cm}^3$  is used.

Dugay et al. [101, 102] conducted two kinds of tests in a CEA-Grenoble laboratory in France, namely, (i) compression tests under a constant applied strain rate, from which the stress versus strain curves were produced beyond the yield point, and (ii) compression creep tests in which the strain rate as a function of stress level was determined.

The constant strain rate compression tests were done at 1773 K under argon gas with 4% hydrogen to maintain the stoichiometry of the specimens during the experiment. The tests were conducted at a crosshead speed of  $20 \mu\text{m/min}$ . corresponding to a strain rate of 0.09/h. The stress-strain curves presented in [101, 102] show that  $\text{Cr}_2\text{O}_3$  additions cause a decrease in the yield stress from about 85 MPa (undoped  $\text{UO}_2$  specimen) to 70 MPa (0.1 wt%  $\text{Cr}_2\text{O}_3$ ) at 1773 K. However, when the doped specimens (with 0.06 wt%  $\text{Cr}_2\text{O}_3$ ) were reduced in hydrogen atmosphere at 1773 K for 12 h or 24 h, their stress-strain curves exhibited peaks close to that of the yield stress of undoped  $\text{UO}_2$  ( $\approx 85 \text{ MPa}$ ), especially the specimen which was reduced for 24 h in hydrogen; see refs. [101, 102] for more details.

All the creep tests were done by compression under argon or reducing (hydrogenated argon) milieu comprising temperatures 1623-1923 K on unirradiated specimens. In particular, measurements of creep rate were made at 1773 K subject to applied stresses varying from

20 to 70 MPa. The Grenoble workers found that  $\text{Cr}_2\text{O}_3$  additions starkly increase the creep rate relative to that of pure  $\text{UO}_2$  in argon atmosphere. All the doped specimens were assumed to follow equation (17) with varying stress exponent  $n$ , which varied from 4.9 to 6.3, in contrast to the Sawbridge et al.'s measurements on  $\text{Nb}_2\text{O}_5$ -doped  $\text{UO}_2$ , which exhibited  $n \approx 1$  dependence. In table 10, we have listed the creep parameters given in [102], which the authors apparently have taken from the 1773 K data. Moreover, we have included in this table the values of the creep model parameter  $A$  that we deduced from the creep-rate data presented in [102] at 1773 K and 45 MPa. We have also narrowed the stress range of applicability for the doped specimens compared to [102], which is ranged from 30-65 MPa, based on our own evaluation of data. Dugay et al. [102] also state that doping  $\text{UO}_2$  with  $\text{Al}_2\text{O}_3$  would lead to similar observations.

Table 10:  $\text{UO}_2$ - $\text{Cr}_2\text{O}_3$  creep model<sup>a</sup> parameters at 1773 K from [102].

$\text{Cr}_2\text{O}_3$ conc. wt%	Stress range MPa	$A^b$ (MPa) <sup>-n</sup> s <sup>-1</sup>	$n$ -	$Q$ kJ/mol
0.0	20-45	$1.176 \times 10^2$	2.1	410
0.025	40-65	$9.129 \times 10^{-3}$	6.3	487
0.06	40-65	$5.919 \times 10^{-3}$	6.1	466
0.1	40-65	$3.625 \times 10^2$	4.9	551
0.2	40-65	$1.236 \times 10^2$	5.2	550

<sup>a</sup> $\dot{\epsilon} = A\sigma^n \exp(-Q/RT)$ ; <sup>b</sup>Values are determined from measured data at  $\sigma = 45$  MPa.

The parameters in table 10 are used to plot creep strain rate as a function of  $\text{Cr}_2\text{O}_3$  concentration in  $\text{UO}_2$  at high temperatures at 45 MPa, figure 28. It is seen that, for example at 1773 K, the creep rate of  $\text{UO}_2$ -0.1wt% $\text{Cr}_2\text{O}_3$  increases roughly by a factor of 5 relative to that of pure  $\text{UO}_2$ . For pure  $\text{UO}_2$ , Dugay et al. [102] found that in the stress range 45-60 MPa,  $n = 4.8$ . Hence, there is a shift in creep mechanism around 45 MPa at 1773 K from  $n \approx 2$  to  $n \approx 5$ . This result is somewhat in agreement with the 1970 work of Langdon on creep mechanisms in pure  $\text{UO}_2$  [103], which showed a transition stress of about 40 MPa at 1808 K, at which the stress exponent changed from  $n \approx 1$  to  $n \approx 4.5$ . To compare these results with the thermal creep behavior of niobia-doped  $\text{UO}_2$ , we should mention the work of Ainscough et al. [7], which showed a transition stress of 20 MPa at 1773 K and an oxygen potential of -423 kJ/mol, where the creep rate stress exponent for  $\text{UO}_2$ -0.4wt% $\text{Nb}_2\text{O}_5$  changed from  $n = 1.1$  to  $n = 2.4$ .

Of course, different creep stress exponents mean different creep mechanisms as classified by Langdon and others. Figure 29, taken from a paper by Langdon [104], illustrates this connection. So one may conclude that Dugay et al.'s data [102] on  $\text{UO}_2$  creep behavior, in the stress range 20-45 MPa at 1773, with  $n \approx 2$  falls into the superplasticity region, while the  $\text{UO}_2$ - $\text{Cr}_2\text{O}_3$  behavior (40-65 MPa) is in the dislocation climb domain, according to Langdon's classification. The various deformation mechanisms have been clarified by Langdon in [105].

To sum up the work of Dugay et al. [102] on  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  thermal creep, there is a large scatter in the raw data, and hence difficult to build a usable or an empirical model to describe the thermal creep behavior of this material in the wide range of applicable stress and temperature for various  $\text{Cr}_2\text{O}_3$  concentrations. Additional and more refined measurements in a carefully controlled laboratory environment are necessary for this endeavor. Moreover, the influence of grain size on creep rate was not examined by Dugay and com-

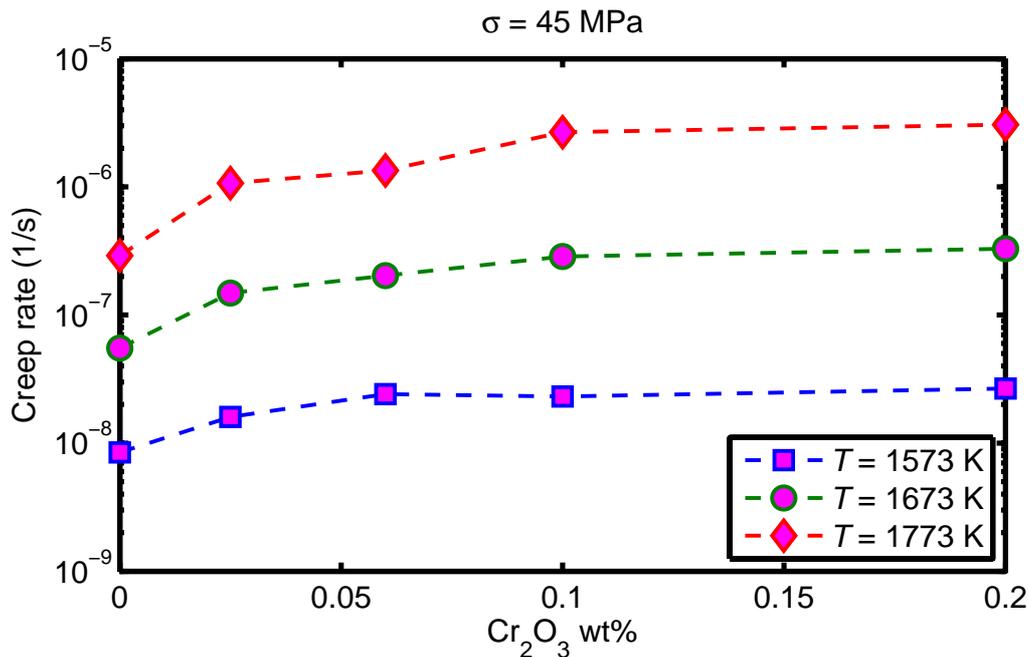


Figure 28: Thermal creep rate of UO<sub>2</sub>-base fuel versus Cr<sub>2</sub>O<sub>3</sub> concentration at a stress level of 45 MPa using the data in table 10.

pany, which as discussed earlier can be significant.

Thermal creep behavior of Cr<sub>2</sub>O<sub>3</sub>-doped UO<sub>2</sub> has also been examined experimentally by Nonon et al. [106]. Nonon and company report on creep tests that were performed at 1743 K and 45 MPa, under a controlled atmosphere of argon with 5% hydrogen to prevent oxidation and stoichiometry changes of the samples during the experiment. They compared the creep rate under compression in the same experimental conditions of an undoped UO<sub>2</sub> sample and different Cr<sub>2</sub>O<sub>3</sub>-doped samples with the dopant concentration varying from 0.075 to 0.225 wt%. They found that addition of Cr<sub>2</sub>O<sub>3</sub> increases considerably the creep rate of the material, i.e., by up to a factor of 10. However, this effect saturates at higher additive contents ( $\approx 0.2$  wt%).

Nonon et al. [106] also examined the effect of stress on thermal creep rate. They obtained results at several applied stresses (20 to 60 MPa), under the same experimental conditions ( $T = 1743$  K) in order to evaluate a creep law. As in Dugay et al.'s study [102], the steady state creep rate of UO<sub>2</sub> doped with Cr<sub>2</sub>O<sub>3</sub> was described by equation of the form (17). Nonon and coworkers only found a single creep regime with a stress exponent value of  $n = 4$  and a creep activation energy  $Q$  close to the uranium self-diffusion energy in UO<sub>2</sub>, which according to Matzke [107] is about 460 kJ/mol. Nonon et al.'s value of  $n = 4$  is lower than those found by Dugay and co. for the same material, cf. table 10. Details of the measurements and data are not given in [106].

Other published works on the the creep behavior of doped UO<sub>2</sub> include the study by Rhee et al. [108] on the effect of SiO<sub>2</sub>-CaO-Cr<sub>2</sub>O<sub>3</sub> or SCC additive and the investigation by Matsunaga et al. [15] on Al-Si-O additive.

Rhee and coworkers conducted compressive creep tests in Ar-5%H<sub>2</sub> atmosphere subject to 20, 35, 50, and 65 MPa uniaxial stress at 1773 K. They observed that the creep rate of the 0.07 wt% SCC-added UO<sub>2</sub> was lower than that of the pure UO<sub>2</sub>, whereas, the creep of the

0.22 wt% SCC-added  $\text{UO}_2$  was about 3.48 times faster than that of the pure  $\text{UO}_2$ , depending on the applied stress in the lower stress range (20-35 MPa). In the case of the 0.35 wt% SCC-added  $\text{UO}_2$ , the creep rate decreased in comparison with that of the 0.22 wt% SCC-added  $\text{UO}_2$ . They suggested that the increase in the creep rate of the 0.22 wt% material could be due to the enhanced diffusivity through the amorphous intergranular phases and to the low viscosity of the second phase. Whereas, in the case of 0.35 wt% SCC-doped fuel, the creep rate decreased in comparison with the 0.22 wt% SCC-doped material, due to grain size of the 0.35 wt% SCC-added  $\text{UO}_2$ , which was three times larger than those of the pure  $\text{UO}_2$  and the 0.22 wt% SCC-added  $\text{UO}_2$  ( $8 \mu\text{m}$ ).

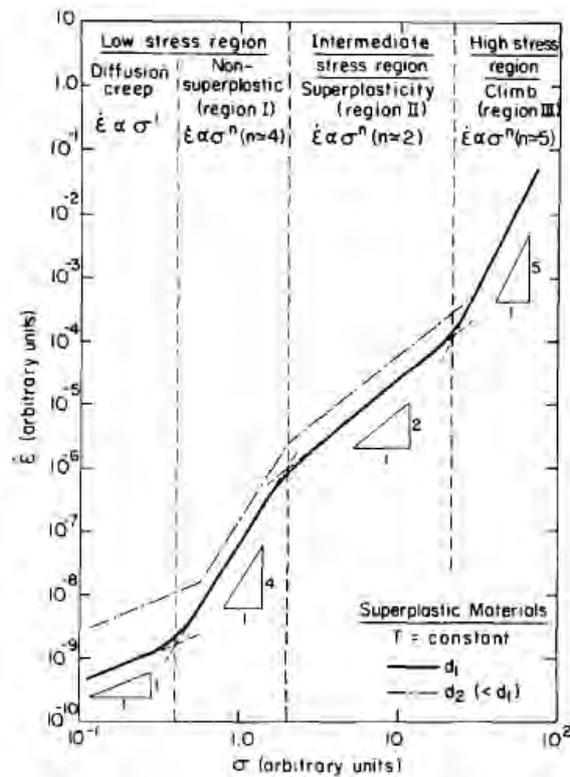


Figure 29: Langdon's schematic diagram of strain rate  $\dot{\epsilon}$  vs. stress  $\sigma$  showing the partition into different regions of creep behavior at constant temperature  $T$ . It also shows the effect of grain size from  $d_1$  to  $d_2 < d_1$ . The relation  $\dot{\epsilon} \propto \sigma^n$  is obtained with  $n = 1$  in the diffusion creep region,  $n \approx 4$  in the non-superplastic region,  $n \approx 2$  in the superplasticity region, and  $n \approx 5$  in the climb region; from [104].

Matsunaga et al.'s [15] determined the steady creep rate and the yield stress under uniaxial compression at a constant load in dry  $8\% \text{H}_2 + 92\% \text{N}_2$  gas flow for (Al-Si-O)-doped  $\text{UO}_2$  specimens with a dopant concentration of 0.025 wt%. For the creep test, the applied stresses were about 12 MPa and temperature ranged from 1723 to 1823 K. For the yield stress test, temperature ranged from 1273 to 1673 K, and the strain rate chosen was 0.1/min. Matsunaga et al. showed that the steady state creep rate of (Al-Si-O)- $\text{UO}_2$  fuel is higher than that of standard  $\text{UO}_2$ ; whereas the yield stress of (Al-Si-O)-doped fuel is slightly lower than that of the standard  $\text{UO}_2$  fuel and the difference gets larger with increase of temperature, figure 30. At lower temperatures, the Al-Si-O precipitation effect and grain size effect would be balanced, hence the difference in yield stress would be small. At higher

temperatures, the precipitation effect would be reduced due to the softer Al-Si-O phase, according to Matsunaga and coworkers [15].

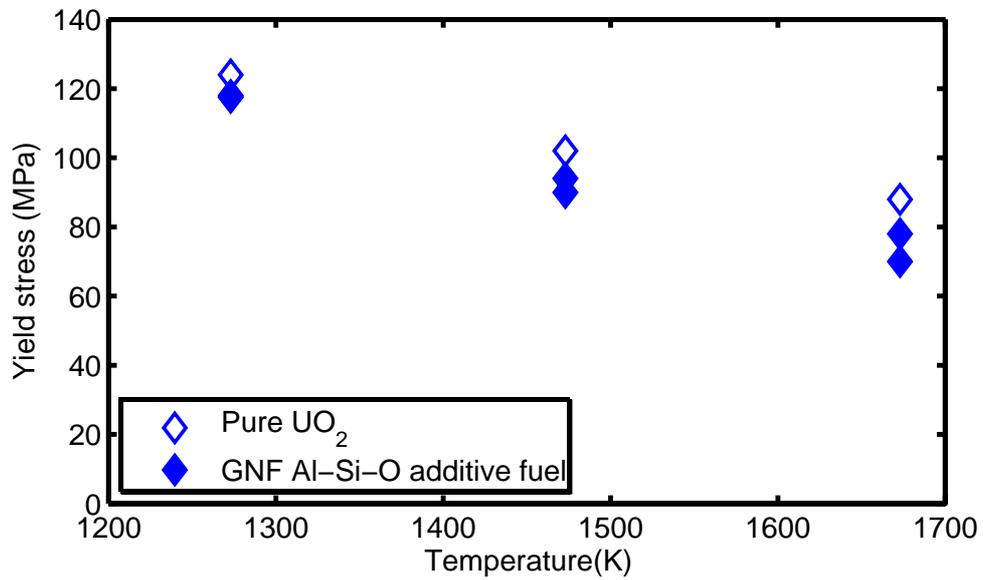


Figure 30: Effect of Al-Si-O additive (0.025 wt%) on yield stress of UO<sub>2</sub>; after Matsunaga et al. [15].

## 6 Irradiation tests and experience

There has been a number of irradiation or "qualification" programs to compare in-reactor fuel performance of  $\text{UO}_2$  fuel with additives against that of standard "pure"  $\text{UO}_2$ . The results of these programs are usually presented in conferences and published in the proceedings and hence, are not subjected to rigorous peer review process commonly exercised for journal publications. As such, the quality of the presentations may vary considerably from one study to another. In this section, we provide a brief survey of some results from these programs as presented in the publications.

Arborelius and colleagues [17] have reported an outcome of a comprehensive demonstration program on doped  $\text{UO}_2$  fuel performance. The fuel rods were first irradiated in a boiling water reactor then subjected to power ramps in a test reactor. In this program, two segmented rods containing fuel pellets (table 11) were irradiated at the Barsebäck 2 BWR in Sweden. Each of the two rods consisted of five segments with five different pellet types, Std, Std Opt2 and three variants of doped fuel denoted by D1, D2 and D3 in table 11. The fuel pellets of the rods had a diameter of 8.36 mm with length of 10 mm. The two rods were irradiated in a fuel assembly to a burnup of about 30 MWd/kgU under normal BWR conditions. The rod power history of base irradiation is shown in [17]. The three middle segments of each rod were examined after irradiation at the Studsvik Nuclear laboratories, Nyköping, Sweden. Examination after base irradiation using both the cladding profilometry and pellet-cladding gap measurement at Studsvik Nuclear revealed that the volume change of the pellets was  $-0.2\%$  for the Std Opt2 pellets and between  $+0.8$  to  $+1.4\%$  (positive sign  $\equiv$  swelling) for the doped pellets D1, D2 and D3. Ceramographic examination performed at mid pellet position on D2 pellets indicated fuel grain growth in the central part of the pellet [17].

Table 11: Fuel types used in a Swedish BWR irradiation program [17].

Specimen	$\text{UO}_2$ fuel composition	Density $\text{g/cm}^3$	Grain size $\mu\text{m}$	$^{235}\text{U}^*$ wt%
Std	$\text{UO}_2$	10.52	10-12	1.7, 2.8
Std Opt2	$\text{UO}_2$	10.60	10-12	4.2
D1	+ 0.1wt% $\text{Cr}_2\text{O}_3$	10.66	44	4.2
D2	+ 0.1wt% $\text{Cr}_2\text{O}_3$ +0.01wt% $\text{MgO}$	10.68	42	4.2
D3	+ 0.1wt% $\text{Cr}_2\text{O}_3$ +0.02wt% $\text{Al}_2\text{O}_3$	10.68	52	4.2

\* Uranium-235 enrichment.

Subsequent to the post irradiation examination (PIE), two rod segments, Std Opt2 and D1, were refabricated into rodlets of roughly 600 mm in length and ramp tested under BWR simulated coolant conditions (9 MPa,  $285^\circ\text{C}$ ) in the R2 test reactor at Studsvik Nuclear. The details of power ramping are described in [17]. The peak linear power densities reached for Std Opt2 and D1 rodlets were 56.7 and 57.7 kW/m, respectively; with hold times 7-12 h. Both of the rodlets survived the ramp test. In a similar experiment, referred to as "bump test", two rodlets with Std Opt2 and D3 pellets were post-irradiated in R2 to moderate power levels. The bump test irradiation was initiated from a linear power density of 22 kW/m, then ramped to 46.4 and 45.1 kW/m for the Std Opt2 and the D3, respectively; both with a hold time of 17.5 days. Following the test, the rodlets were punctured to examine fission gas release of the two pellet types.

PIE after the ramp tests showed that the fractional fission gas release (FGR) of D1 and Std

Opt2 were 17.2 and 30.2%, respectively. Furthermore, the ceramography of peak power region of the rodlets revealed that the D1 pellets had formed a central hole, in contrast to the Std Opt2 pellets. This was attributed to D1's slightly higher linear power density (57.7 versus 56.7 kW/m). Post-irradiation measurements after the bump tests showed that FGR was 29.7 and 20.5% for Std Opt2 and D3 segment, respectively. Hence, D3 pellets had roughly 30% lower FGR than the Std Opt2 pellets. The lower FGR measured in these doped fuels is primarily due to the much larger grain size of the fuel (table 11) as our computations on the influence of grain size on FGR in section 4.2 indicate.

Besides the PIEs and measurements, Arborelius and company [17] also report results from BWR pool-side measurements made on doped  $\text{UO}_2$  fuels, with similar characteristics as the ones presented in table 11. The pool-side rod axial length measurements show a higher fuel rod irradiation-induced growth for rods containing doped  $\text{UO}_2$  than those holding Std fuel pellets. Subsequent data presented in [109, 110] confirm this effect. That is, the rod growth with doped  $\text{UO}_2$  is appreciably faster than that with pure  $\text{UO}_2$  fuel pellets. This may be attributed to a higher fuel swelling rate and/or lower fuel in-reactor densification of doped fuel, which closes the fuel-cladding gap earlier during irradiation, thereby leading to a larger rod length increase. Note that the nominal as-fabricated densities of doped fuels are larger than Std fuel (table 11), hence less in-reactor densification of doped fuel.

In a parallel paper to that of Arborelius et al., the same group led by Zhou [111] provided further data and computational results on the Westinghouse doped  $\text{UO}_2$  versus undoped  $\text{UO}_2$  subjected to the aforementioned ramp/bump tests. Here, we only refer to their profilometry measurements of cladding outer diameter along the rodlets containing D1 and Std Opt2 pellets after the ramps, figure 31. It is seen that the D1 fuel cladding experienced appreciably larger strains than Std Opt2.

In a 2009 presentation by Backman et al. [110], additional data on FGR of Westinghouse doped  $\text{UO}_2$  fuels obtained by reactor pool-side gamma scanning (i.e. non-destructive examination) of rods (in two fuel assemblies) which were irradiated under normal BWR operation to burnups of up to 55 MWd/kgU, and also on rods that were subjected to heavy-duty power histories in the Halden test reactor are cursorily mentioned.

The pool-side gamma scanning of  $\text{Cr}_2\text{O}_3$ - $\text{Al}_2\text{O}_3$  doped  $\text{UO}_2$  fuel exhibited lower fractional FGR (2 rods with 1.3%) than undoped  $\text{UO}_2$  fuel rods (3 rods with 1.5-2.1%) at burnups in the range 50-55 MWd/kgU. However, since the individual power histories for these rods are not specified, it is hard to draw confident conclusions about the outcome. Also more data in this burnup range are needed to confirm the trend.

The fuels tested in the Halden IFA-677 test rig comprised two  $\text{Cr}_2\text{O}_3$ - $\text{Al}_2\text{O}_3$  doped  $\text{UO}_2$  rods and one pure  $\text{UO}_2$  rod irradiated to about 24 MWd/kgU in BWR conditions. The doped fuels had 0.09wt% $\text{Cr}_2\text{O}_3$ -0.02wt% $\text{Al}_2\text{O}_3$  (rod 1) and 0.05wt% $\text{Cr}_2\text{O}_3$ -0.02wt% $\text{Al}_2\text{O}_3$  (rod 5). The mean fuel grain sizes were 56  $\mu\text{m}$  (rod 1), 46  $\mu\text{m}$  (rod 5) and  $\approx 12 \mu\text{m}$  for pure  $\text{UO}_2$  (rod 6) [112]. The fractional FGR of the tested rods were similar, that is, 22% (rod 1), 17% (rod 5) and 19% (rod 6) [112]. One may conclude that rods that are subjected to high power densities ( $> 35 \text{ kW/m}$ ) for sufficiently long periods would have similar FGR whether they are doped with  $\text{Cr}_2\text{O}_3$ - $\text{Al}_2\text{O}_3$  or not.

Industrial groups in France led by AREVA NP (formerly Framatome ANP) have utilized and advanced doped  $\text{UO}_2$  fuels for LWRs over the years [18, 19, 32, 33]. Chromium oxide with concentration of 0.16 wt% is used as an additive with grain size varying in the range 50 to 60  $\mu\text{m}$ . This type of fuels have densities in a range of 96 to 97 %TD and are reported to have less densification. Both separate effect irradiation experiments in test reactor [32, 106] and irradiation campaigns in LWRs [18, 19, 33] have been conducted to assess the

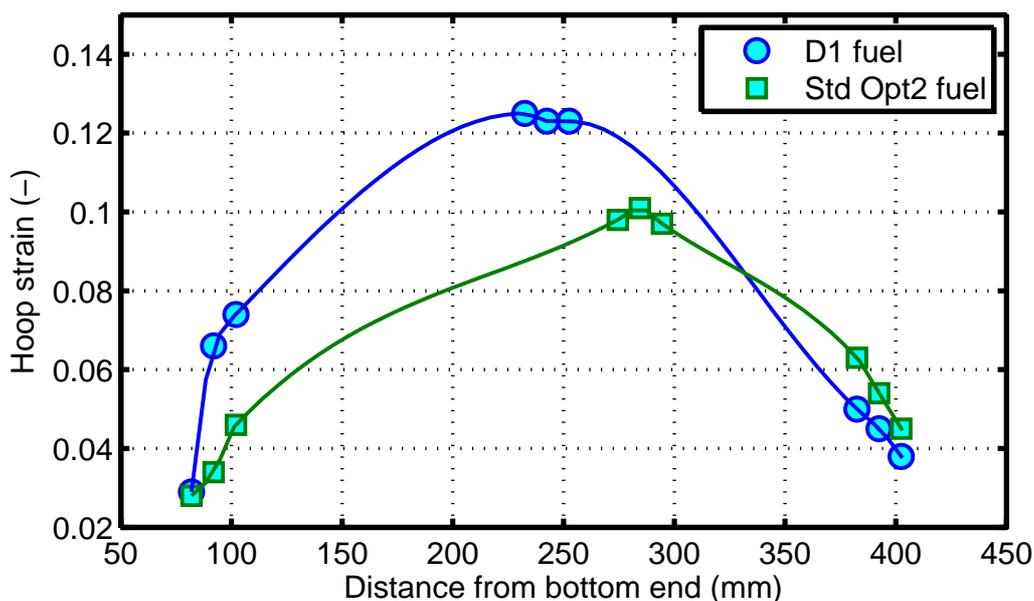


Figure 31: Engineering plastic hoop strain of fuel cladding after power ramp along the axial position of the rodlet. The cladding outer diameters are measured, whereas the strains are calculated from the nominal as-fabricated outer diameter of 9.63 mm; from table 2 of Zhou et al. [111]. The lines are piecewise cubic interpolations through the points; see table 11 for sample identification.

performance of doped  $\text{UO}_2$  versus standard pure  $\text{UO}_2$  fuel.

Valin and company [32] have tested fission gas retention and release of a variety of experimental doped and undoped  $\text{UO}_2$  fuels irradiated in a facility at the periphery of the Siloé test reactor in Grenoble to burnup of about 10 MWd/kgU. They kept the central temperature of the annular pellets below 973 K to avoid triggering of thermal fission gas release. After irradiation, the rodlets were punctured and their gas contents were analyzed, which showed very low release during the base irradiation. Then, the fission gas retention capacities of the fuel pellets were examined by post-irradiation annealing tests made in a dedicated facility. The annealing was done in a high frequency furnace at 1973 K for either 30 minutes or 5 hours, whereupon  $^{85}\text{Kr}$  released from the fuel was measured by gamma spectrometry. In figure 32, we reproduce Valin et al.'s informative diagram, showing  $^{85}\text{Kr}$  release after 5 h at 1973 K versus grain size for the tested samples. Note that every data point belongs to a different kind of fuel. The samples included several variants (concentrations) of  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  plus  $\text{MgO}$ -,  $\text{SiO}_2$ -,  $\text{Al}_2\text{O}_3$ -,  $\text{ZrO}_2$ -doped, and several alternates of non-standard  $\text{UO}_2$ . As Valin et al. noted, the highest gas retention samples were fuels having the larger grain sizes (over 50  $\mu\text{m}$ ). This characteristic, however, was not sufficient, since the large-grained  $\text{UO}_2$  fuel release reached 30%. They point out that the other important feature for an improved fission gas retention is the presence of intragranular sites, which are favorable to bubble nucleation and pinning. These sites are structural defects due to the hyperstoichiometry in  $\text{UO}_{2+x}$  and second phase precipitates in fuels doped with 0.2%  $\text{Cr}_2\text{O}_3$ . The intragranular bubbles formed on these sites were observed on the micrographs, which suggest that the annealing in reduced milieu made on some fuel batches eliminated some of these precipitates, thereby resulting in higher release and fewer gas bubbles. According to Valin and coworkers, the fuels containing only  $\text{Cr}_2\text{O}_3$  as a dopant showed an improved gas re-



doped fuel. Moreover, the microstructural examinations showed that precipitation of fission gases occurred in the intragranular bubbles rather than the intergranular bubbles, in contrast to what observed in the undoped  $\text{UO}_2$  fuel. The microstructural examinations also revealed a great stability of the  $\text{Cr}_2\text{O}_3$ -doped fuel, in particular no grain growth had occurred during irradiation up to about 60 MWd/kgU. This behavior is in line with the observations made by Westinghouse in Sweden, as we alluded earlier in this section. For additional data and PCI test results performed on this type of doped fuel, briefed by Delafoy et al., the reader may consult their presentations [19, 33].

The effects of high burnup at low fuel temperatures on Mg-doped  $\text{UO}_2$  fuel's swelling and fission gas retention capacity have been investigated by Fujino et al. [13, 67]. Fuel pellets of undoped and doped  $\text{UO}_2$ , with different  $^{235}\text{U}$  enrichments, were irradiated in a special capsule in the JRR-3M test reactor of Japan Atomic Energy Research Institute (JAERI) to burnups ranging from 19 to 94 MWd/kgU at temperatures 820-1100 K. The doped pellets included Mg concentrations: 2.5, 5, 10, and 15 mol%. To vary burnup, the samples were prepared with  $^{235}\text{U}$  enrichments: 6, 10 and 20 wt%. The sintering for these pellets was done at 1983 K, 5 h, in a stream of 4% $\text{H}_2$ -He. The addition of magnesium caused large grain size fuel on sintering. Table 12 lists the grain sizes and densities for the 10 wt%  $^{235}\text{U}$  samples. The aim of Fujino et al.'s study was to simulate and give information on irradiation behavior near pellet periphery of the LWR fuel at high burnups. Thermal conductivity of unirradiated Mg- $\text{UO}_2$  was higher than that of undoped  $\text{UO}_2$  (see section 3.3), which apparently seemed to also hold for irradiated specimens. Limited measurements indicated that the swelling of Mg-doped and undoped  $\text{UO}_2$  as a function of burnup was similar. By the same token, fission product gas xenon retention capacity of the doped versus undoped fuels was similar. Fujino et al. study [67] indicates that the effect of addition of metal oxides on fission gas release seems to be small or subsidiary at high burnups. The large doping effects were not observed even for 5%Mg-5%Nb- $\text{UO}_2$  and 3.5%Ti- $\text{UO}_2$  specimens. This could be due to the heavily-damaged fuel matrix structure resulted in at high burnups.

Table 12: Fuel (10%  $^{235}\text{U}$ ) data used in Fujino et al.'s study [67].

Specimen	Mg content mol%	Grain size $\mu\text{m}$	Density $\text{g/cm}^3$
$\text{UO}_2$	-	30	10.51
$\text{UO}_2$ -2.5Mg	2.5	47	10.52
$\text{UO}_2$ -5Mg	5.0	50	10.49
$\text{UO}_2$ -10Mg	10.0	NA	10.49 <sup>a</sup>
$\text{UO}_2$ -15Mg	15.0	71	10.19

<sup>a</sup> Calculated from fuel swelling data.

Yanagisawa has investigated the behavior of  $\text{Nb}_2\text{O}_5$  doped  $\text{UO}_2$  fuel under reactivity initiated accident (RIA) conditions [113, 114]. In a 1991 paper [113], Yanagisawa reported the behavior of  $\text{UO}_2$  fuel containing 0.29 wt%  $\text{Nb}_2\text{O}_5$  additive using  $14 \times 14$  PWR fuel rods pre-pressurized with pure helium gas to 3.4 MPa. He found that the failure threshold, in terms of peak fuel enthalpy, of the pressurized doped fuel was equal or greater than that of the earlier experimental data obtained from the Nuclear Safety Research Reactor (NSRR) in JAERI. Failure mechanism was ballooning of cladding followed by rupture, which was attributed mainly to the pre-pressurization. No significant differences in the failure mechanism existed between the doped and undoped pre-pressurized fuels, the latter were used in the earlier NSRR experiments.

In a subsequent 1995 paper [114], Yanagisawa reported the results of RIA experiments using un-pressurized  $\text{UO}_2$  fuel pellets containing  $\text{Nb}_2\text{O}_5$  additive. Two kinds of fuel rods were tested; one rod contained a fuel doped with 0.30 wt%  $\text{Nb}_2\text{O}_5$  and the other contained undoped fuel pellets. The average grain size determined by two-dimensional linear intercept method was  $29 \mu\text{m}$  for the doped fuel and  $9 \mu\text{m}$  for the undoped one. All the tested fuel rods, except for the two doped fuel rods, which had 100% He without pre-pressurization, had a gas composition of 95% He+1% Kr+4% Xe.

From the result of post-pulse irradiation examination, such as visual inspection, dimensional measurement and metallography, fuel failure threshold and failure mechanism were examined. The following conclusions were reached from Yanagisawa's study:

- The failure threshold of the un-pressurized  $\text{Nb}_2\text{O}_5$  doped fuel under RIA was almost equal to that of the previous NSRR experimental data on undoped  $\text{UO}_2$  fuel. That is, no significant differences in the threshold for mechanical energy release existed between the un-pressurized  $\text{Nb}_2\text{O}_5$  doped fuel and the previous NSRR experimental data. For a recent review of RIA, see [115].
- Failure mechanism in both the doped and undoped fuels was cladding melting followed by embrittlement of thinned cladding wall. Bonding between fuel and cladding occurred at a lower fuel enthalpy level (1155 J/g-fuel) in the doped fuel than that (1427 J/g-fuel) in the undoped fuels. The bonding was usually accompanied by fuel microstructural change at fuel periphery.
- Magnitude of axial strain, due to pellet-cladding mechanical interaction, in the doped fuel increased with increasing energy deposition or fuel enthalpy. It ranged from 8 to 16%, figure 33. This was larger than that observed in pre-pressurized doped fuel. Major structural changes in the form of small radial cracks at bonded area and large lenticular cracks at fuel periphery occurred in doped fuels.

Finally, we should mention a study by Une and coworkers [16] on the fuel rim structure formation and high burnup fuel behavior of large-grained (Al-Si-O)- $\text{UO}_2$  and pure  $\text{UO}_2$  fuels, with a grain-size range of 37-63  $\mu\text{m}$ , versus that of standard grain-sized pure  $\text{UO}_2$ , with a grain-size range of 9-12  $\mu\text{m}$ . The fuels were irradiated in the Halden heavy water reactor up to a cross-sectional pellet average burnup of 86 MWd/kg. Une and colleagues examined the effect of grain size on the rim structure formation quantitatively, in terms of the average xenon depletion (or depression) in the pellet outer region, measured by electron probe microanalysis. The Xe depression in the high burnup pellets above 60 MWd/kg was proportional to:  $\propto d_g^{-1/2}$  to  $\propto d_g^{-1.0}$  ( $d_g$ : grain size), and the two kinds of large-grained pellets exhibited noted resistance to the rim structure formation. Une et al. observed that a high density of dislocations preferentially decorated the as-fabricated grain boundaries, and the sub-divided grain structure was localized in that region. Although the swelling rate of the two large-grained pellets up to the middle burnup of about 30 MWd/kg was larger than that for the standard pellet, it became smaller at higher burnups beyond 30 MWd/kg [16].

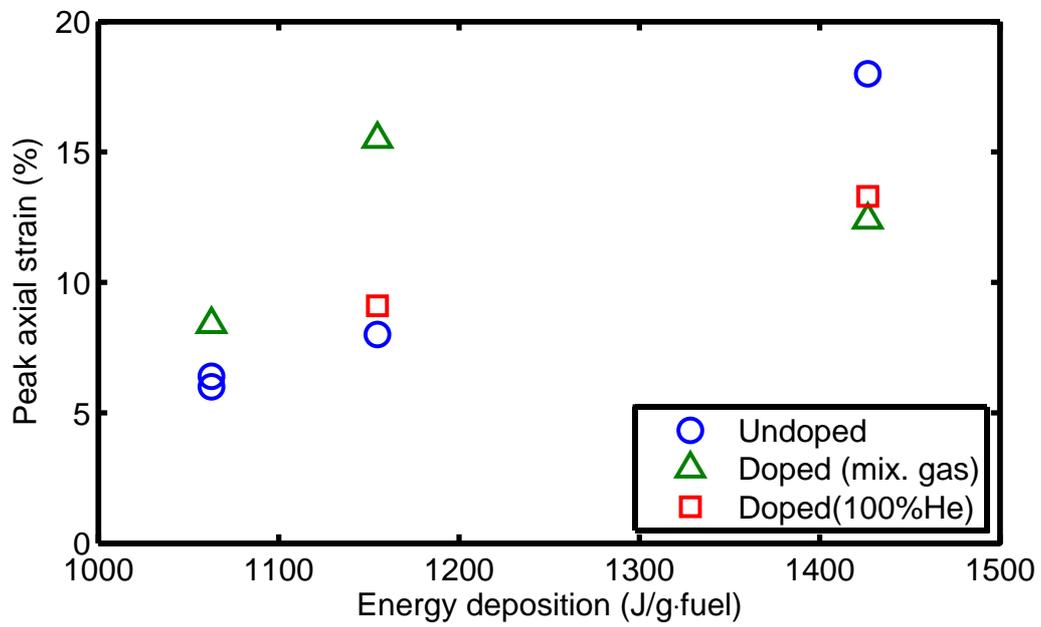


Figure 33: Yanagisawa's data on cladding axial strain versus energy deposition [114]. Here, mix. gas  $\equiv$  95%He+1%Kr+4%Xe gas composition of fuel rods.

## 7 Summary and conclusions

In this report, we have reviewed the effects of various additives on  $\text{UO}_2$  fuel behavior. Additives are introduced in  $\text{UO}_2$  during fabrication of fuel pellet to enlarge fuel grain size, reduce fuel porosity and enhance fuel plasticity. These changes emanate from redistribution of point defects and generation of new ones in the  $\text{UO}_2$  polycrystalline lattice. Doping of  $\text{UO}_2$  with an additive would modify thermophysical properties, fission gas diffusion and release in and from fuel, high temperature creep of  $\text{UO}_2$  and fuel densification during irradiation.

Among the thermophysical properties, the heat capacity and thermal conductivity are most influenced by additives, however, for small concentrations ( $\leq 0.2$  wt%) of dopants, for example  $\text{Cr}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3$ , the effects would be negligible. For certain dopants such as  $\text{MgO}$  and the burnable absorber  $\text{Gd}_2\text{O}_3$ , where high concentrations are used in  $\text{UO}_2$ , the effects are non-negligible. Also, for  $\text{UO}_2$  doped with these additives, measured data and appropriate correlations as a function of dopant concentration are available, which are summarized in this report (section 3 and Appendix A). However, for important dopants  $\text{Cr}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3$  such data and correlations, which would depend on the dopant concentration, have not been reported in the literature. For these trivalent oxides, generically designated as  $\text{M}_2\text{O}_3$ , one may utilize the thermophysical quantities of  $\text{Gd}_2\text{O}_3$ , with consideration given to the mass difference between the trivalent ions, as a first approximation.

Fission product gas retention and release in and from fuel, and also gaseous fuel swelling get affected by additives, namely (i) the larger grain size, which extends the diffusion path to fuel grain boundaries, and (ii) by the change in fission gas diffusivity caused by restructuring of point defects in the fuel. In general, oxides that simulate hypostoichiometry  $\text{UO}_{2-x}$ , e.g. by doping with  $\text{Gd}_2\text{O}_3$  or  $\text{Y}_2\text{O}_3$ , reduce the cation (U) diffusion coefficient through increased trapping. In contrast, those that simulate hyperstoichiometry  $\text{UO}_{2+x}$ , e.g. by doping with  $\text{Nb}_2\text{O}_5$ , enhance the cation diffusion coefficient and show less pronounced trapping, thereby resulting in higher xenon release.

There is dearth of data on gas diffusivity in doped  $\text{UO}_2$  fuels in the literature. But there are some data available regarding the effect of additives  $\text{Al}_2\text{O}_3$ ,  $\text{Cr}_2\text{O}_3$ ,  $\text{SiO}_2$ ,  $\text{TiO}_2$ ,  $\text{MgO}$ , and  $\text{Nb}_2\text{O}_5$  on thermal diffusivity of  $^{133}\text{Xe}$  in  $\text{UO}_2$ , obtained by means of a post-irradiation annealing technique [11, 41]. We have compared xenon diffusivities in these materials with each other and with that of undoped  $\text{UO}_2$  as a function of temperature. The literature data indicate that xenon diffusivity in (slightly hyperstoichiometric  $\text{Al}_2\text{O}_3$  and slightly hypostoichiometric  $\text{MgO}$ )-doped  $\text{UO}_2$  is close to that in undoped  $\text{UO}_2$ ; whereas the diffusivities in ( $\text{Cr}_2\text{O}_3$ ,  $\text{SiO}_2$  or  $\text{TiO}_2$ )-doped are different that in undoped  $\text{UO}_2$ . In particular, based on the limited available data, the xenon diffusivity (temperature range  $\approx 1200$  to  $1900$  K) in the  $\text{Nb}_2\text{O}_5$ -doped  $\text{UO}_2$  is consistently higher than in undoped  $\text{UO}_2$ ; whereas in a slightly hyperstoichiometric  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$ , the Xe diffusivity is close or slightly higher than  $\text{UO}_2$  above  $1500$  K and somewhat lower than in  $\text{UO}_2$  below  $1500$  K (figure 12). Hence, appropriate Arrhenius-type relations for fission gas diffusivity (cf. table 6) should be considered, when such fuels are analyzed with a fuel rod modeling code.

We have made sample computations on fission gas release and intergranular gaseous swelling, using the aforementioned diffusivities with varying grain sizes as input to a standard model (section 4.2), to illustrate the effect of these parameters on gas release and swelling as a function of irradiation time under isothermal conditions. The results of our computations of thermal fission gas release versus irradiation time at temperatures  $1600$ - $2000$  K, for  $\text{Cr}_2\text{O}_3$ -,  $\text{Al}_2\text{O}_3$ -, and  $\text{Nb}_2\text{O}_5$ -doped and "pure"  $\text{UO}_2$  fuels indicated that among these, the  $\text{Nb}_2\text{O}_5$ -

doped  $\text{UO}_2$  has the largest FGR while the  $\text{Al}_2\text{O}_3$ -doped the lowest. The relative gas release from the  $\text{Cr}_2\text{O}_3$ -doped sample depends on the temperature, e.g., at 1600 K its release is in the order of that from "pure"  $\text{UO}_2$ , while at 2000 K it is close to that from  $\text{Nb}_2\text{O}_5$ -doped sample. The gas release rate, as expected, was predicted to be inversely dependent on the fuel grain size while is roughly proportional to the square root of gas diffusivity in the fuel. Regarding fuel gaseous swelling, our computations showed that among the aforementioned four fuel types, the  $\text{Cr}_2\text{O}_3$ -doped sample has the highest swelling rate, while the  $\text{Nb}_2\text{O}_5$ -doped sample has the lowest. It is the combination of gas diffusion, grain boundary saturation and grain size, which yields this behavior. It was observed that the larger is the grain size, the smaller is the swelling rate and the saturation value.

Thermal creep rate of doped  $\text{UO}_2$  was assessed in section 5. Fuel additives usually enhance the thermal creep rate of  $\text{UO}_2$ . The creep rate depends on the applied stress, temperature, grain size, and the O/U ratio [86]. The main feature of the creep rate versus stress curves is the separation between a region in which the strain rate is linearly dependent on the applied stress, and one manifested by a power law creep, i.e.  $\dot{\epsilon} \propto \sigma^n$ , for which the stress exponent varies from  $n \approx 4$  to  $n \approx 6$ . The former region is described by a diffusional creep with a grain size dependence  $d_g^{-2}$ , whereas the latter one is consistent with the dislocation climb creep, and is regarded to be independent of grain size. The transition stress from one region to another is considered to be temperature independent and may vary with grain size [86]. The limited published data show that there exist considerable variations in creep rate among the additives. Measurements made on  $\text{Nb}_2\text{O}_5$ -doped  $\text{UO}_2$  at a uniaxial stress around 20 MPa indicates that creep occurs by Nabarro-Herring diffusion with a  $d_g^{-2}$  dependence creep rate. It is observed that  $\text{Nb}_2\text{O}_5$  addition causes a dramatic increase in the steady-state creep as long as the niobium ion is kept in the  $\text{Nb}^{5+}$  valence state [98]. Similarly,  $\text{Cr}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3$  dopants (up to 0.1 wt%) both enhance the thermal creep rate of  $\text{UO}_2$  [102]. The creep tests made on these materials at temperatures 1620-1920 K and stress levels 50-65 MPa show that the creep rate may follow a dislocation climb mechanism.

In-reactor irradiation of  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  fuel under normal BWR operation to exposures 30.5-33.5 MWd/kgU shows that the chromia-doped  $\text{UO}_2$  fuel in-reactor volume increase is appreciably larger than that of undoped  $\text{UO}_2$  (section 6). After the pre-irradiated rods were subjected to power ramps in a test reactor, the chromia-doped fuel exhibited less fission gas release than the undoped  $\text{UO}_2$ . However, the cladding diameter increase caused by pellet-cladding mechanical interaction was larger in the former than the latter. Also, rod axial length measurements, after long BWR exposures, indicate appreciably larger growth of chromia bearing rods than pure  $\text{UO}_2$  rods [17, 109, 110]. The difference in the fuel volume change, rod growth, and the cladding diametral increase under power ramps, partly can be due to the lower in-reactor densification of  $\text{Cr}_2\text{O}_3$ -doped  $\text{UO}_2$  relative to pure  $\text{UO}_2$  fuel. Suchlike fuel behavior has also been observed in a PWR [19].

The data and models appraised in this report should offer an experimental/theoretical basis for modeling doped  $\text{UO}_2$  fuel behavior through their applications in a fuel rod computer code. The code then needs to be validated with pertinent irradiation data, such as those being produced through the Halden reactor IFA-677 and IFA-716 experiments.

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## Notes

<sup>1</sup>*Frenkel defects* are vacancies and interstitials of the same species in equilibrium. For example in  $\text{UO}_2$ , one finds oxygen vacancies and oxygen interstitials in pairs. *Schottky defects* are only vacancies, e.g. when two oppositely charged ions leave their normal lattice positions forming two vacancies in the lattice structure [49].

<sup>2</sup>Critical temperature, critical phenomena and phase transitions are central and active subjects in condensed matter physics; among introductory textbooks and reviews, we mention [116–118].

<sup>3</sup>In more detail,  $\sigma = (a^3\omega_D/k_Bv^3)^{1/2}$ , where  $a^3$  is the atomic volume,  $\omega_D$  the Debye frequency, and  $v$  the phonon velocity.

<sup>4</sup>More accurately, Booth's short time approximation for post-irradiation annealing condition would result in  $F(t) \approx \frac{6}{a_e} \sqrt{Dt/\pi} - 3Dt/a_e^2$ , which is valid for  $F \leq 0.9$  [71].

<sup>5</sup>BET device is used in surface area measurements of powder or batch samples and pore size and pore size distribution. The device determines needed gas quantity to cover the sample surface with a molecular layer and calculates surface area using Brunauer, Emmett and Teller (BET) theory [119].

<sup>6</sup>In the gaseous swelling model, for the grain boundary gas saturation, the Van der Waals equation of state is used rather than the ideal gas equation of state, as it is assumed in fission gas release computations for convenience. Moreover, an external pressure of 1 MPa is used in the VdW equation of state, rather than  $P_{ext} = 0$ , see [91].

<sup>7</sup>Yield stress or yield strength, even called flow stress, is a stress level related to the onset of irreversible plastic deformation of solid materials [120].

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## Appendix A Thermophysical correlations

The correlations for thermophysical properties listed here are the enthalpy, the heat capacity (specific heat), the coefficient of thermal expansion, and the thermal conductivity. No such correlations or data have been published in the literature for the utilized doped  $\text{UO}_2$  fuels, such as dopants  $\text{Cr}_2\text{O}_3$  or  $\text{Al}_2\text{O}_3$ , as a function of temperature and dopant concentration, except for dopant  $\text{Gd}_2\text{O}_3$ , which is used as burnable-absorber. All the listed correlations pertain to unirradiated fuels.

**Enthalpy** The  $(\text{U}_{1-y}, \text{M}_y)\text{O}_2$  enthalpy (J/mol) relative to the enthalpy at room temperature is given by [56]

$$\Delta H = H(T) - H(298.15), \quad (\text{A.1})$$

where

$$H(T) = aT + \frac{b}{2}T^2 + \frac{c}{T} + \sqrt{2}\Delta H_f \exp\left(\frac{\Delta S_f T - \Delta H_f}{2RT}\right), \quad (\text{A.2})$$

$$a = 79.8 \quad (\text{A.3})$$

$$b = 0.1263y^2 - 0.0073y + 0.0061 \quad (\text{A.4})$$

$$c = (1.68 - 1.48y) \times 10^6 \quad (\text{A.5})$$

and

$$\Delta H_f = (-73880y^3 + 10190y^2 - 612.13y + 310) \times 10^3 \quad (\text{A.6})$$

$$\Delta S_f = 61.969 - 45.56y \quad (\text{A.7})$$

where  $\Delta S_f$ ,  $\Delta H_f$  are the entropy and enthalpy of formation per Frenkel pair,  $T$  is temperature in kelvin and  $R = 8.3145 \text{ J/molK}$ .

**Heat capacity** The corresponding expression for the heat capacity at constant pressure,  $C_p = (\partial H / \partial T)_P$ , is

$$C_p(T) = a + bT - \frac{c}{T^2} + \Delta C_p, \quad (\text{A.8})$$

where

$$\Delta C_p = \frac{(\Delta H_f)^2}{\sqrt{2}RT^2} \exp\left(\frac{\Delta S_f T - \Delta H_f}{2RT}\right). \quad (\text{A.9})$$

**Thermal expansion** The linear thermal expansion for  $(\text{U}, \text{Gd})\text{O}_2$  fuel is [56]

$$\begin{aligned} L(T)/L(273) = & 0.99866 + 7.2512 \times 10^{-6}T + (2.0463 \times 10^{-13}g^2 \\ & + 3.4846 \times 10^{-11}g + 2.0653 \times 10^{-9})T^2, \end{aligned} \quad (\text{A.10})$$

where  $L(T)$  and  $L(273)$  are the lengths at temperature  $T$  and 273 K, respectively, and  $g$  is wt%  $\text{Gd}_2\text{O}_3$  in  $\text{UO}_2$ . The plots of thermal expansion versus temperature are shown in figure A1 for up to 0.5 wt% dopant concentration.

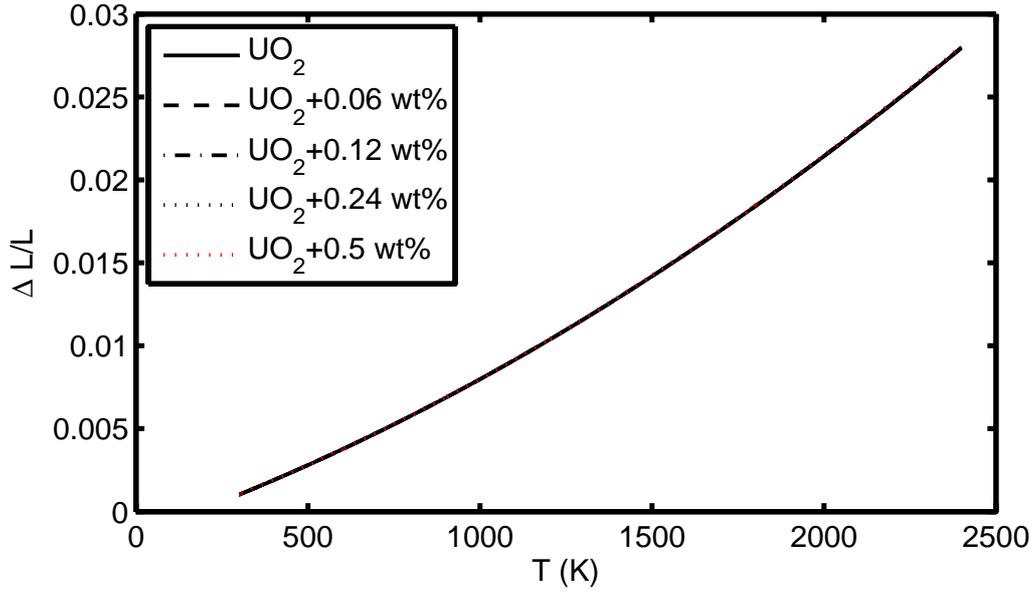


Figure A1: Calculated relative thermal expansion,  $\Delta L/L \equiv [L(T) - L(273)]/L(273)$  according to eq. (A.10).

**Thermal conductivity** The thermal conductivity of (95% TD) fuel, from [65, 121], in the temperature range 300-2800 K is

$$\lambda = \frac{\lambda_0}{w} \arctan(w) + \frac{6600}{\bar{T}^{3/2}} \exp(-16.35/\bar{T}), \quad (\text{A.11})$$

$$\lambda_0 = \frac{1}{2.45 \times 10^{-2} + 2.56 \times 10^{-4}T}, \quad (\text{A.12})$$

$$w = 3.31 \exp(-7.61 \times 10^{-4}T) \sqrt{x\lambda_0}, \quad (\text{A.13})$$

$$\bar{T} \equiv T/1000; \quad (\text{A.14})$$

where  $\lambda$  is in W/mK, the temperature  $T$  in K,  $\lambda_0$  is the thermal conductivity for point defect free  $\text{UO}_2$ ,  $w$  is the phonon scattering parameter by the impurities, and  $x$  is the  $\text{Gd}_2\text{O}_3$  content (mole fraction). The first term in (A.11) represents the contribution from phonons ( $\lambda_p$ ) and the second term that of electrons ( $\lambda_e$ ). To adjust for fuel porosity, one may use the Maxwell-Eucken correction factor given by  $\lambda = \lambda_{100}(1 - P)/(1 + \beta P)$ , where  $\lambda_{100}$  is the thermal conductivity of fully (100%) dense material,  $P$  the fractional porosity, and  $\beta = 0.5$  a constant.

The phonon thermal conductivity of Mg- $\text{UO}_2$  ( $\text{Mg}_y\text{U}_{1-y}\text{O}_{2-y}$ ) fuel based on the work of Fujino et al. [67] has the form

$$\lambda_p = \frac{1}{A + BT}, \quad (\text{A.15})$$

$$A = 2.268 \times 10^{-2} + 0.46047y - 2.6933y^2, \quad (\text{A.16})$$

$$B = 2.32 \times 10^{-4} - 2.2 \times 10^{-4}y. \quad (\text{A.17})$$

where  $\lambda_p$  is in W/mK, the temperature  $T$  in K and  $y$  is the Mg atom fraction in  $\text{UO}_2$ . The undoped  $\text{UO}_2$  thermal conductivity pertains to that of 96%TD unirradiated fuel. The measurements were made from 473 to 1673 K [67].

## Appendix B Fission gas release equations

The mole of gas in the intergranular gas bubbles per unit area of grain boundary at time  $t$ ,  $N_{gb}(t)$ , which considers the influence of irradiation-induced re-resolution of gas atoms has been derived in a number of publications in the past [83, 85, 91]. Here, the resulting equations used in the computations of section 4.2 are outlined as follows: For  $\tau/a^2 \leq 1/\pi^2$ :

$$N_{gb}(\tau) = \frac{2\beta_e}{h_1} \left( \tau + \frac{1}{h_2 h_3} - \frac{h_2 \exp(h_3^2 \tau) \operatorname{erfc}(h_3 \tau^{1/2}) + h_3 \exp(h_2^2 \tau) \operatorname{erfc}(-h_2 \tau^{1/2})}{h_2 h_3 (h_2 + h_3)} \right) + O(\tau^\infty), \quad (\text{B.1})$$

where

$$h_1 = \frac{\lambda \nu(t)}{D(t)}, \quad (\text{B.2})$$

$$\beta_e = \frac{\beta(t)}{D(t)}, \quad (\text{B.3})$$

$$h_2 = -\frac{h_1}{2} + \sqrt{\frac{h_1^2}{4} + \frac{h_1}{a}}, \quad (\text{B.4})$$

$$h_3 = \frac{h_1}{2} + \sqrt{\frac{h_1^2}{4} + \frac{h_1}{a}}, \quad (\text{B.5})$$

$$\tau(t) = \int_0^t D(s) ds. \quad (\text{B.6})$$

Here, the ratios  $h_1$  and  $\beta_e$  are assumed to be time-independent,  $a$  is the grain radius,  $\nu$  is the gas atom re-resolution rate (frequency) off the intergranular gas bubbles,  $\lambda$  is the corresponding re-resolution distance back into the grain,  $D$  is the effective fission gas diffusion coefficient in the fuel matrix, and  $\beta$  is the fission gas production rate per unit volume. For late times,  $\tau/a^2 > 1/\pi^2$ :

$$N_{gb}(\tau) = \frac{2\beta_e a}{3 + h_1 a} \left( \tau - \frac{a^2}{5(3 + h_1 a)} \right) + \sum_{m=1}^{\infty} \frac{4\beta_e a^3 e^{-(u_m/a)^2 \tau}}{u_m^2 [u_m^2 + ah_1(3 + ah_1)]} \quad (\text{B.7})$$

where

$$u_m = \arctan \left( \frac{ah_1 u_m}{u_m^2 + ah_1} \right) + m\pi. \quad (\text{B.8})$$

The model assumes that thermal fission gas release occurs when  $N_{gb} = N_{gs}$ , where  $N_{gs}$  is the grain boundary fission gas concentration upon saturation, which is calculated from an ideal gas equation of state

$$N_{gs} = \frac{8.72 \times 10^{-9}}{T} \left( \frac{2\gamma}{r_f} + P_{ext} \right), \quad (\text{B.9})$$

where  $N_{gs}$  is in mole/m<sup>2</sup>,  $T$  is the absolute temperature,  $\gamma$  is the surface tension of gas bubble,  $r_f$  is the projected radius of grain boundary bubble at saturation,  $P_{ext}$  is the external

Table B1: Input values to the model parameters used for fission gas release and gaseous swelling computations.

Parameter	Unit	Definition
$\beta = 0.3\dot{F}_m$	$\text{mol m}^{-3}\text{s}^{-1}$	Fission gas production rate
$\dot{F}_m = 5.189 \times 10^{-14}q_v$	$\text{mol m}^{-3}\text{s}^{-1}$	Fission rate density (molar)
$\dot{F} = N_A\dot{F}_m$	$\text{m}^{-3}\text{s}^{-1}$	Fission rate density
$N_A = 6.022 \times 10^{23}$	$\text{mol}^{-1}$	Avogadro constant
$q_v = q_l/4\pi r_p^2$	$\text{W m}^{-3}$	Power density
$q_l = 30000$	$\text{W m}^{-1}$	Linear power density
$r_p = 4.24$	mm	Fuel pellet radius
$\rho_T = 10.96$	$\text{g cm}^{-3}$	Fuel theoretical density
$P_{\text{ext}} = 0 \text{ or } 1^*$	MPa	External pressure
$2\gamma/r_f = 2.4$	MPa	Gas bubble surface tension to radius ratio
$\nu\lambda = 5.7 \times 10^{-8}\beta$	$\text{ms}^{-1}$	Re-resolution rate

\*See end-note 6.

pressure, see e.g. [68, 85] for more details. The fractional fission gas release from fuel is calculated according to

$$F_{gr}(t) = \frac{3}{2a} \left( \frac{\max(N_{gb}(t) - N_{gs}, 0)}{\int_0^t \beta(s) s} \right). \quad (\text{B.10})$$







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